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Who Benefits from Accountability-Driven School Closure? Evidence from New York City

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Abstract

We estimate the effects of accountability-driven school closure in New York City on students who attended middle schools that were closed at the time of closure and students who would have likely attended a closed middle school had it remained open. We find that students who would have entered the closed school, had it not closed, attended schools that perform better on standardized exams and have higher value-added measures than did the closed schools. While we find that closure did not have any measurable effect on the average student in this group, we do find that high-performing students in this group attended higher-performing schools and experienced economically-meaningful and statistically-significant improvements in their sixth, seventh, and eighth-grade math test scores. We find that these benefits persisted for several cohorts after closure. We also find that closure adversely affected students, low-performing students in particular, who were attending schools that closed. For policymakers, our results highlight a key tradeoff of closing a low-performing school: future cohorts of relatively high-performing students may benefit from closure while low-performing students in schools designated for closure are adversely affected.

JEL Codes: I20, I21, I28

Keywords: School Closure, School Accountability, Urban School Reform

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I. Introduction

Closing a school is an increasingly common response to low student performance. No Child Left Behind (NCLB) and the Race to the Top initiative included closure as a possible accountability option that districts could impose on schools that fail to make adequate yearly progress (Kemple 2015, Capps et al. 2005). In recent years, cities throughout the United States, including Chicago, Denver, Pittsburg, Hartford, among others, have closed schools due to a combination of declining enrollment and accountability reasons (Engberg et al. 2011, Steiner 2009). Proponents argue that policies that close the lowest-performing schools make it likely that students who would have attended these schools will attend higher-quality schools and thus have a greater chance at academic success. Opponents argue that school closures can be academically and socially disruptive, destabilize peer networks, increase time spent traveling to school, and expose students to violence if they are forced to travel into different neighborhoods (de la Torre and Gwynne 2009).

The empirical evidence on school closure is mixed. Some scholars find that school closure can be disruptive and negatively affect a student's academic career, while others find small positive or, more commonly, null effects of closure on student performance (CREDO 2017, Kemple 2015, Brummet 2014, de la Torre et al. 2015, Engberg et al. 2011, de la Torre and Gwynne 2009). While insightful, this literature suffers from two limitations. First, most of these studies intermingle school closures caused by low or declining enrollment and school closures solely due to accountability reasons (exceptions, see Kemple 2015, Carlson and Lavertu 2016). Districts with declining enrollments often close a large proportion of schools at once, which can be disruptive for students who attend the closed schools and students in schools that remain open and receive a large influx of students. In these situations, it is challenging to disentangle the potentially beneficial effects of closing underperforming schools from the disruptive effects of reorganizing the district into a smaller number of schools. Second, most studies examine the effects of closure on students attending a school when it

was closed. While this is a critical group to study to inform school closure implementation, it is also a group that may be particularly likely to experience negative disruption effects because of a school closure. Another group that may benefit from the closure of a low-performing school without suffering excessive disruption effects are younger cohorts of students who would have attended the closed school later had it not closed. Without considering the effect of closure on both groups of students, studies of school closure fail to capture the full effects of closure on academic outcomes.¹

Our study addresses these limitations by examining accountability-driven middle school closure in New York City on both groups of students. In New York City, school closure is implemented using a phaseout process. Under this process, schools slated for closure stop enrolling new students and eliminate the entry grade in the school. Students who are attending the school at that time are given the option of remaining at the school until the school's terminal grade or transferring to another school in the district. We estimate the effects of closure on students attending schools at the time of closure (whom we call students in the phaseout cohorts) and students who would have entered the school after closure had it not closed (whom we call students in the closure cohorts).

To estimate the effects of school closure on students who would have likely attended a closed school had it remained open, we use pre-closure information on individual students and school feeder patterns to identify cohorts of students who would have likely attended a closed school. Next, we estimate the effects of closure using a difference-in-differences strategy that compares cohorts of students affected by closure to earlier cohorts in the same school controlling for changes across contemporaneous cohorts in schools that have not yet closed.

¹ School closure can also affect students in schools that receive displaced students due to closure (Brummet 2014; Engberg et al. 2012). While we do not believe our context is the best one to study these effects, we do examine this question in Section VII below.

We find that closure caused students who would have entered the closed school (i.e. students in the closure cohorts) to travel farther to attend schools that have fewer students from their school in the previous grade and that have higher average levels of student performance than they would have had the school not closed. On average, closure is not significantly associated with improved academic performance among students in the closure cohorts. However, we do find that the effects of closure on distance traveled to school, percent of students from the student's previous school, and average school achievement are larger for higher-achieving students in the closure cohort than lower-achieving students in that group, and that closure has positive effects on the achievement of relatively high-performing students in the closure cohorts. We also find these positive effects for high-performing students in each of the first three cohorts who would have entered the school in years following closure. For each cohort, we find these positive effects first emerge in sixth grade and persist until at least eighth grade.

We also find that students already in the closed school when it is designated for closure (i.e. students in the phaseout cohorts) transfer out of their sixth-grade middle school at higher rates than students in schools that have not yet initiated closure and that, on average, the schools these students attend are similar to the closed schools on a range of observable characteristics. By eighth grade, students in the phaseout cohorts have measurably lower performance and higher absentee rates, on average, than they would have in the absence of school closure. We find these negative effects are largely borne by lower-performing students in the phaseout cohorts (those in the lowest three quintiles of pre-closure performance). We also find that the displacement of phaseout and closure students to other schools (i.e. spillover into receiving schools) had no effect on students in the schools that phaseout and closure students attended following closure.

These results indicate that, in an urban environment with a high density of educational options that are higher performing than the closed school, school closures can benefit some of the students who would have

attended the closed school. We present evidence that, in New York City, relatively-higher-achieving students may have had easier access to relatively higher-quality school options. These higher-achieving students also tended to be the students who benefited from closure decisions. However, when a phaseout process that provides students currently attending a school designated for closure the option of either remaining in that school or transferring elsewhere, gains for students in future cohorts might come at the expense of students, particularly low-performing students, who are attending schools at the time of closure.

These results have two key policy implications. First, our results suggest that providing students who would otherwise attend a closed school alternative schooling options that are higher quality than the closed school may be key to realizing achievement gains. A failure to provide more high-quality options for low-performing students who would have attended closed school might be a reason New York City's school closures did not achieve broader performance gains. Second, by identifying the specific groups that benefit from and who are harmed by an accountability-driven school closure policy, our analyses highlight an important potential trade-off posed by school closure decisions. Of particular concern in New York City is that while high-achieving students in future cohorts tended to benefit from closure, lower-performing students who had already started in closed schools tended to be made worse off.

The next section provides a brief description of school closure in New York City. Section III provides a simple conceptual model to understand how the disruptive effects of school closure and the quality of the school students attend following closure will likely mediate the effect of closure on student performance, as well as a review of previous empirical evidence on the effects of school closure. Sections IV and V describe our data and analytical strategy, and we present our results in section VI. In section VII, we discuss the potential negative spillovers of closure on students in the schools receiving students who otherwise would have attended a closed school. We provide tests of our identifying assumptions and provide a supplementary analysis to

examine the degree to which school environment mediates the main effect of closure in Section VIII. Section IX concludes with a summary of our key findings and a discussion of their policy implications.

II. School Closure in New York City

School closure implies the end of a school as an administrative entity. While related accountability actions, such as restructuring and school conversion, imply that there is some continuity in the student body and, in some cases, the staff (Kirshner, Gaertner, and Pozzoboni 2011), “school closure” means that all administrative and instructional staff transfer to other schools and students no longer enroll in the school.² In the United States, a school district chooses to close a school for two primary reasons—declining enrollment and low performance (Steiner 2009). Many districts faced with declining enrollments use student and school performance indicators to help identify which schools to close (see Engberg et al. 2011, de la Torre et al. 2015).

New York City, following the passage of No Child Left Behind, implemented a high-stakes accountability system based primarily on student academic outcomes. As part of this accountability system, the NYC Department of Education (NYC DOE) pursued the closure of schools that continually failed to meet performance standards. All schools identified for closure were first designated as persistently lower-achieving schools by the New York State Department of Education. A school is designated as persistently low achieving if a school’s English Language Art and Math state test scores are in the bottom five percent of all schools in New York State, and the school fails to improve its performance on this metric over the next three years. Once a school is designated as persistently low achieving, NYC DOE would either select a school for “turnaround” or “phaseout.” According to Educational Impact Statements and conversations with officials at the NYC Department of Education, schools with chronically low attendance—bottom 10 percent of the district and

² In many cases, a new school or schools with different staff and feeder patterns, and perhaps a different grade configuration, are located in the vacated building, but these new schools are different administrative and educational entities than the schools they replace.

schools with poor grades from their Quality Review evaluations were selected for phaseout. Quality Reviews assess the ability of a school to reform quickly in response to the needs of their students.³

As described in Kemple (2015), the process by which affected students and communities are notified of the decision to close a school evolved between 2003-04 and 2012-13. New York City's initial closure process was opaque with little public input (Kemple 2015, Steiner 2009). In 2009, New York City codified its school closure policy in the NYC DOE Regulation of the Chancellor (A-190). Under A-190, six months before any closure decision, the Chancellor of NYC schools must publically provide an "educational impact statement" that assesses the impact of the closure on the affected students and proposes a plan to reassign these students to other schools. Students and parents in these schools are notified, and the Chancellor's Office holds a public meeting and solicits public input. Following a public hearing and a publicly-posted response to submitted comments, the Panel for Education Policy (PEP) can approve or reject the Chancellor's proposal.

NYC publicly posts the PEP's decisions and school closure documentation dating back to the 2009-10 academic year. Since 2009-10, the Chancellor has initiated the closure process between August and October in most academic years. The PEP voted on 79 of the 87 proposals sometime between the following January and April. Of the 79 phaseout proposals considered by the PEP between the 2009-10 and 2011-12 academic year, 65 were passed, 2 were scheduled to be considered at some later date, and 12 were withdrawn from consideration. The PEP has never formally rejected a proposal to close a school. If the PEP approves the closure

³ Persistently low achieving schools with moderate to high Quality Review grades were selected for "turnaround." These schools were required to implement a teacher evaluation system and alter instructional and student support systems. Additional funds were often provided to turnaround schools to support these programs. The De Blasio Administration ended the Bloomberg Administration's School Closure when it came to office in 2013. They designated all persistently low achieving schools to be turnaround schools, which reflects the controversy around the school closure policy. However, in December 2017, it was announced that the De Blasio Administration would begin to close some of the schools that it designated for turnaround.

proposal, phaseout begins in the academic year following approval. When a school begins phaseout, new students are no longer admitted to the school. The students already enrolled in the school when a school is designated for closure can remain there until the school's terminal grade or transfer to another school. Since the 2002-2003 academic year, 157 schools have closed in New York City, including 47 middle schools.⁴

In New York City, students transitioning from elementary to middle school have a substantial degree of choice among public schools, which influences both which students would have attended closed schools and where they enroll instead. Students entering sixth grade apply to middle schools during the Middle School Admission process, which begins in November in the year prior to moving to middle school (in fifth-grade for most students). Students are eligible to attend programs in their community school district, borough-wide programs in their home borough, or citywide programs that are open to all NYC students. Students are guaranteed a place in their zoned middle school and students who were zoned for a middle school that closes are assigned to the zone of a different middle school. Whether a student is admitted to another school to which they apply is determined at the school-level, either through a selective-admission process, through a lottery, or some other admissions process.

Some schools do evaluate past academic performance and attendance records when considering which students to admit. These admission priorities likely constrain the educational options for lower-achieving students relative to higher-achieving students. In the online Appendix (see Table A1), we quantify the number of options available in the 2007-08 year for the students in the 21 community school districts in which our closures occurred.

⁴ Figure A1 in the online Appendix provides a map that places the 47 closures in our paper in their respective community school district.

We find that students had, on average, 35 options available to them.⁵ If we exclude schooling options that consider test scores, course grades, and/or selective admissions tests as admission priorities, which would privilege higher-performing students, the number of options available to the typical student who would have attended a closed school is 20 schools. Thus, if we assume that high-performing students have access to all schools and low performing student have access only to those without academic admission criteria, then a higher-performing student has 77 percent more options than low-performing students. Moreover, a majority of the schools that use academic admission standards have value-added measures that are above the citywide average, while far smaller proportions of other schooling options have above average value-added. If we assume only high achievers can access schools with academic admission criteria, then, in the average community school district, high-performing students have access to more than two times as many schools with above average value-added as do low-performing students. Of course, in individual cases, the number of options effectively available to a low-performing student might be greater or the options effectively available to a high-performing student might be less than the numbers in Table A1 indicate. Nonetheless, these figures provide clear indication that high-achieving students are likely to have more high-quality schooling options available to them than low-achieving students.

Students in the phaseout cohorts can transfer following closure. Their options, however, are constrained by the number of schools receiving transfer students and the number of seats available in those schools. Thus, the ability to transfer to a school with higher levels of student achievement or other desirable qualities is much more constrained for students in the phaseout cohort than students in the closure cohort, and options for low-achieving students in the phaseout cohorts may be particularly limited.

⁵ These options include each student's zone school, the un-zoned schools in their community school district, the borough-wide options available to students, and the city-wide options available to students.

Given the ample choice available to students, none of the school closures we examine result in a large influx of new students into any single school. Among the schools that ever received a sixth student that we estimate would have otherwise attended a closed school, the median percent of sixth graders who otherwise would have been in a closed school is only 4 percent, and for very few schools is this percentage ever greater than 15 percent in any year (see top panel of Figure 1). Similarly, among schools that ever received a seventh or eighth-grade student from a school during phaseout, the median percent of seventh and eighth graders from a phaseout school is 2.6 percent of the student body, and in no school was this percentage ever more than 10 percent (see bottom panel of Figure 1). Given these low proportions, our primary empirical strategy assumes that there are no spillovers onto students in the receiving schools. In section VII, we present estimates of the effect of school closure on students in the receiving schools and find no evidence of spillover onto these students.

III. Conceptual Framework and Literature Review

The relationship between school closure and student performance is theoretically ambiguous and, as depicted in Figure 2, is likely to be mediated by the quality of the receiving school to which a student sorts and the level of dislocation experienced by the student during the closure process. In the analysis below, we estimate the effects of school closure on a number of factors that might influence school quality with some emphasis on factors that might be related to improved student academic performance. Since accountability-driven school closure focuses on the lowest-performing schools, we expect that, on average, students in the closure cohorts will find their way into schools with characteristics more conducive to student academic success. However, because the options of students in the phaseout cohorts are more constrained, particularly among low-achieving students, and because the phaseout process might degrade the quality of schooling in the

schools being closed, we might expect a decrease in school quality for many of the students in the phaseout cohorts.

Dislocation or disruption refers to the effects of a student moving to a new environment. There is a consensus among scholars that student mobility has adverse effects on student performance in the period immediately following a move (Hanusek, Kain, and Rivkin 2004). When a school is closed, closure-induced student displacement may adversely affect a student's behavior and academic outcomes. Students may need to travel farther distances and into new neighborhoods to attend school, cope with changes in school environment and culture, and develop new peer networks.

Different types of students are likely to respond differently to phaseout and closure (de la Torre and Gwynne 2009, Özek et al. 2012, Kirshner, Gaertner, and Pozzoboni 2011). For example, compared to lower-achieving students, high-achieving students might be more likely to transfer out of a school designated for closure during the phaseout period and/or might choose alternative schools with high-achieving students, either because they have more access to such schools or because they and their parents place greater value on high levels of achievement. Also, higher-achieving students might be more (or less) resilient to dislocation effects and/or benefit more from attending a school with higher average levels of student achievement. We thus expect the effects of school closure on both school quality and disruption will vary by student background characteristics, and particularly by past the past achievement level of a student (Özek, Hansen, and Gonzalez 2012).

Evidence from previous empirical work on school closure is mixed. Some scholars have found positive effects of school closure on student performance (Bross, Harris, and Liu 2016; Brummet 2014; Carlson and Lavertu 2016; Kemple 2015), while others find negative or null effects (de la Torre and Gwynne 2009;

Engberg et al. 2012; Kirshner, Gaertner, and Pozzoboni 2010; Ozek, Hansen, and Gonzalez 2012). In addition to providing mixed results, the existing empirical literature suffers from two primary limitations.

First, most studies coningle closures due to declining enrollment with accountability-driven closures (Sunderman and Payne 2009). For instance, Brummet (2014) examines 200 school closures throughout Michigan, many of which were consolidation-driven and some of which were accountability-driven.⁶ Because effects on school quality and dislocation are likely to differ across these two types of closures, it is difficult to know how to generalize the results from these studies to a context in which a school district uses an accountability-based policy to close public schools. In New York City, school closure was an accountability tool targeted for chronically poor-performing schools. This policy was not part of a school consolidation effort.

Second, most studies focus on the effects of closure on students attending the school at the time of closure and/or students in schools that receive a large influx of students from the closed schools (e.g., Brummet 2014, Engberg et al. 2012). The effects on these groups of students are important to understand, but school closure policies are also intended to benefit future students, i.e. students who would have entered the school in later years in the absence of closure. Disruption effects are likely to be less marked for these future students than for students currently in the school, so effects on the two groups of students may differ. One exception is Kemple (2015), which uses an approach similar to the one we use to identify students who were likely to have attended a closed school and finds that the closure of New York City high schools had a positive effect on the graduation rates of those students.

Several aspects of the New York City context allow us to contribute to the existing literature. First, nearly all of the students in the sample we use to estimate effects on sixth-grade outcomes are making a

⁶ Carlson and Levertu (2016), which attempts to identify the effect of school closure based on a school value-added accountability cutoff, is an important exception.

transition from elementary to middle school.⁷ As a result, both students in the treatment and comparison groups are experiencing a change of schools between fifth and sixth grade, and thus both groups experience some degree of natural disruption that would have occurred in the absence of treatment. The disruption that accompanies the move from elementary to middle school might be greater for students affected by school closure, for instance, they might have to attend a school further from their home or accompanied by fewer students from their elementary school. Nonetheless, the added disruptive effect of closure for students in our closure cohort is smaller than for students dislocated from their current school. Second, no school received a large influx of students from the closed schools, which minimizes any disruptions experienced by students in the receiving schools. Third, NYC targeted closure to its lowest-performing schools which increases the likelihood that students who would have attended the closed schools are moved into higher-quality schools as a result of the closure. These features allow us to estimate the effects of changes in school quality that result from school closure in an environment where disruption is minimized. Thus, our results are particularly relevant for understanding the long-run effects of school closure policies that make it likely students will attend a higher-quality school.

We also contribute to the literature by refining the approach used by Kemple (2015) and estimating the effects of school closure on students who are likely to have attended a school that closed, an understudied group. Finally, we investigate the heterogeneity of school closure impacts across different types of students.

IV. Data and Sample

This study uses individual student data for the years 2001-02 through 2014-15 provided by the NYC DOE. These data include information on student race/ethnicity, free-and-reduced-price lunch eligibility status,

⁷ Of our primary estimation sample, 96.4 percent were in schools that ended in fifth grade and thus would need to transfer. The remaining 3.6 percent were in K-8 or K-12 schools and thus could remain in their schools if they chose.

disability status, home zip code, attendance record, and performance on state exams that can be linked over time. The data also identify the school the student attends as of October 31 of each year, which allows us to merge in school-level data also from the NYC DOE. These school-level data include the percent of students in each race/ethnicity category, the percent who are free-and-reduced-price lunch eligible, geographical location information, and the grade-specific absentee rate.

For our primary analysis, we focus on students who attended or are likely to have attended one of the 47 middle schools that closed between 2004-05 and 2012-13.⁸ For each of these 47 schools, we define a year-specific cohort as the set of students who enter sixth grade in that school in that year. Using a method described below, we also identify for each school a set of students who are likely to have entered the school in the first year after the phaseout component of closure is initiated. Compared to previous studies of school closures with shorter panels, such as Engberg et al. (2012) or Brummet (2014), we are able to exploit this long panel of student-cohorts for each closed school in order to test the underlying assumption of our difference-in-differences strategy.

Table 1 provides summary statistics on the students in the 2003-04 cohorts of the schools that eventually closed sometime between 2004-05 and 2012-13. The statistics include means and standard deviations of both individual variables and school-level characteristics for this sample of students. We also provide similar statistics for all other sixth-grade students in the district who were attending a grades 6-8 school. Compared to students in the rest of the district, students in schools that eventually close are more likely to be black and Hispanic and less likely to be white and Asian, more likely to be eligible for free-or-reduced-

⁸ For the analyses used to identify students who are likely to have attended one of the closed schools, we use a broader set of students.

price lunch, have a higher absentee rate, and lower normalized scores on sixth-grade math and ELA exams.⁹ The school-level characteristics follow a similar pattern, with our closed schools being much lower-performing and containing fewer white and Asian students than the average school enrolling sixth-graders in the district.

Given the marked differences between students who attended schools that close and those that attend other New York City schools, it is difficult to draw conclusions about the effect of school closure from comparisons of students who are likely to have attended closed schools and students in other New York City middle schools. Instead, we limit comparison in this study to changes in outcomes across cohorts in schools that closed earlier and changes in outcomes across cohorts in schools that closed later. Table 2 compares the sixth-grade cohorts in schools that closed in each year to same-year sixth-grade cohorts in the schools that began phaseout in later years. In the year before phaseout began, closed schools were similar on observed covariates to schools that would begin phaseout later. We do not find any statistically significant differences in these school-level covariates across schools closed in the current year and schools closed later. However, given that only a single school closed in the 2012-2013 academic year, we limit our sample to cohorts entering sixth grade between 2001-02 and 2010-11 so that students in schools that closed in 2011-12 and 2012-13 always serve as comparisons and never enter the treatment group.

V. Analytical Strategy

We are interested in the effects of school closure on students attending the school during phaseout and those who would have entered a closed school had it remained open. Thus, our analysis begins by using past feeder patterns to identify for each school in our sample a set of sixth-grade students who are likely to have entered the closed school in the year that phaseout begins. This group of students is our closure cohort. We

⁹ All exam scores presented throughout this paper are normalized using the city-year-grade-level mean and standard deviation.

then estimate the effects of school closure on the phaseout cohorts and the closure cohort using a difference-in-differences strategy. This analysis compares the outcomes of students in our phaseout and closure cohorts to the outcomes of earlier cohorts who attended the closed school before it initiated phaseout controlling for changes in outcomes across the contemporaneous cohorts in schools that have not yet closed.

Identifying our Closure Treated Students

In some districts, geographically assigned attendance zones might provide a useful basis for determining who is likely to have attended a particular school. We were able to obtain attendance zone assignments for students beginning in 2005-06. However, in our sample, less than 40 percent of students attend the middle school that corresponds with their geographically assigned attendance zone. Also, because we only have attendance zones back to 2005-06, relying on attendance zones to identify the students would have required us to drop some of the closed schools in our sample. Thus, rather than using attendance zones, we use a student's residential zip code (to capture school zones), their fifth-grade elementary school (to capture school feeder patterns), and other individual covariates to estimate the likelihood that a student would attend that school. We then construct our closure cohort of students in the year the school initiated phaseout to have a distribution of likelihoods of attending that school that match the observed distribution of likelihoods in prior years.¹⁰

Our process for identifying a closure cohort for a particular school, s , begins with the sample of students in fifth grade in New York City public schools that are due to enter sixth grade in each of the two years prior to the initiation of phaseout at school s . We then use that sample together with observed school enrollments of

¹⁰ See Kemple (2015) and Abdulkadiroğlu, Angrist, Hull, and Pathak (2016) for similar matching strategies applied in the case of high school closure in New York City and charter takeovers in Boston and New Orleans.

these students when they reach sixth grade to estimate the likelihood that a student enters school s . Specifically, we estimate separately for each school:

$$Y_{isez} = \alpha_s + \beta_s \mathbf{X}_{is} + \theta_{se} + \psi_{sz} + \varepsilon_{iesz} \quad \text{Eq. [1]}$$

Where Y_{isez} equals one if student i enters sixth grade in school s and zero otherwise, e references the elementary school attended by student i , and z the zip code where student i resides in fifth grade. \mathbf{X}_{is} is a set of student covariates measured when the student is in fifth grade and chosen separately for each school using the algorithm recommended by Imbens and Rubin (2015). See Appendix A for a description of this algorithm. This vector includes each student's fourth and fifth-grade ELA and Math scores, their ethnicity, and an indicator if they are free-and-reduced-price lunch eligible, which we refer to collectively as baseline variables. The vector may also include sex, absentee rate, an ELL flag, a disability flag, quadratics of all continuous variables, and interactions between these variables and the baseline variables.¹¹ We include fixed effects for the school the student attended in fifth grade (θ_e) and the student's home zip-code (ψ_z).

Estimates of this model together with the information we have on students allows us to compute a predicted probability that a student will (or would have) attended school s , regardless of what year the student enters sixth grade. We estimate equation (1) separately for each school and thus we generate for each student entering sixth grade a different predicted probability for each of the schools that closed. To select the closure cohort for school s , we begin with all of the students who enter sixth grade at school s either one year or two years prior to the initiation of phaseout at school s . For each of these students, we identify the student in the

¹¹ In the small number of cases with missing values on a particular covariate included in the model, the missing value was imputed using the school-grade-year specific mean and we include separate missing value flag for each variable with imputed values.

sample of students entering sixth grade during that first phaseout year whose estimated probability of having attended school s is nearest. This matching is done with replacement.

To assess how well this method of constructing a closure cohort identifies those who would have attended a closed school had the school not closed, we apply the same method used to construct our closure cohort to also construct a predicted-last-year cohort, i.e. the set of students predicted to have entered the school in the last year prior to the initiation of phaseout. Because sixth-grade students were able to enroll in the school during the last year prior to phaseout, we can compare the predicted-last-year cohort generated from our matching procedure to the actual-last-year cohort that enrolled in each school. For purposes of this assessment, we refer to the percentage of the predicted-last-year cohort who are in the actual cohort as the *accuracy rate* and the percentage of those who actually enroll who are in the predicted-last-year cohort as the *treatment coverage rate*. A high accuracy rate suggests that a high percentage of our treatment group would have attended a closed school in the absence of closure and thus are directly affected by the school closure. A high treatment coverage rate provides evidence that the estimated effects of closure on our closure cohort closely reflects the effects on all students who would have attended the closed school, and not merely the effects on a subsample.

Accuracy rates and treatment coverage rates for each school that closed and across all treatment group schools are reported in Table 3. The third column of Table 3 displays the true number of students in the last-year cohort for each school, and the fourth column provides the number of students we identify as belonging in the predicted-last-year cohort. To identify these cohorts, we use nearest-neighbor matching with replacement, and thus our last-year predicted-cohort is approximately 10 percent smaller than our true last-year cohort. This value varies across schools—ranging from the predicted-last-year cohort being 65 percent of the true last-year cohort, to the predicted-last-year cohort being the same size as the last-year cohort. On average, we capture

66 percent of the last-year cohort and 74 percent of the last-year-predicted cohort actually attended the school. These rates vary across schools. At the upper end, we capture over 80 percent of the treated students in certain schools and almost 80 percent of the predicted-last-year cohorts are actual enrollees. On the lower end, we capture 40 percent of the treated students and 60 percent of the predicted-last-year cohorts are actual enrollees.

In Table 4, we compare the actual-last-year cohorts with the predicted-last-year cohort on observable characteristics. We find that the actual and predicted-cohorts are similar on fifth-grade variables, which is unsurprising given the way the predicted-last-year cohort is constructed. The fact that the actual-last-year cohort and the predicted-last-year cohort are also similar on all sixth-grade variables is important given that the sixth-grade variables and outcomes were not used to identify the predicted-last-year cohort. The similarity between the two groups on sixth-grade outcomes suggests that there are not unobserved differences between the actual and predicted-cohorts.

Identifying Treatment Effects

Our primary analysis focuses on sixth-grade outcomes, and so we use a sample of observations of students in sixth grade.¹² To identify the effects of closure on student sixth-grade outcomes, we use previous cohorts of students who attended a closed school to project the outcomes for the cohorts of students attending the school during phaseout and that would have attended the school had it not closed. For instance, for a school that initiated phaseout in 2008-09, students in the phaseout cohorts entered sixth grade in 2006-07 and 2007-08, and students in the closure cohort entered sixth grade in 2008-09. Thus, we use the sixth-grade outcomes for cohorts who entered sixth grade in 2002-03 through 2005-06, which are unaffected by the

¹² Although we use lagged variables measured for each student in fifth grade as control variables, our analytic samples only include one observation per student.

closure, to project what the outcomes would have been for the phaseout and closure cohorts associated with that school. We then compare the actual outcomes of students in the phaseout and closure cohorts to these projected outcomes. To account for the effects of any district-wide events or policies that coincide temporally with a school closure decision, we also control for the differences between projected and actual sixth-grade outcomes for the same cohorts (the cohorts entering sixth grade in 2006-07, 2007-08 and 2008-09 in our example above), in the schools that have not yet been closed or designated for closure. This strategy can be understood as a difference-in-differences design.

To implement this strategy, we use the sample of students who enter sixth grade at any one of the middle schools that initiated phaseout between 2004-05 and 2012-13 and also the students in the predicted-cohorts who we identify as likely to have entered one of the closed schools in the initial year of phaseout. We use this sample to estimate the following equation.

$$y_{isce} = \alpha_0 + \beta_1 D_{sc} + \beta_2 P_{sc} + \gamma \mathbf{X}_{isce} + \varphi_c + \phi_e + \varepsilon_{isce} \quad \text{Eq. [2]}$$

Where y_{isce} is an outcome for student i in the middle-school-specific cohort sc , who attended elementary school e . The school-specific cohort is defined as the students entering sixth grade at a specific middle school in a specific year. D_{sc} adopts the value of one if the student is part of a closure cohort, and 0 otherwise. Note this variable equals one only for students who are in the predicted cohorts, i.e. those we identify as likely to have entered one of the closed schools in the initial year of phaseout. P_{sc} adopts the value one if the student was in a cohort that was in a school when it initiated phaseout (i.e., the phaseout cohorts), 0 otherwise. \mathbf{X}_{isce} is our vector of student-level covariates all measured prior to entering sixth grade. This vector includes normalized Math and English language art test scores for both fourth and fifth grade, an English language learner indicator, sex, the student's fifth-grade absentee rate, whether or not the student had a disability. All missing data were imputed using year-school-grade averages and we include separate missing data flags for

each imputed value. We include cohort-fixed effects (φ_c), where a cohort is defined as the students that enter sixth grade at any one of the schools in the sample in the same year. We also include elementary school-fixed effects (ϕ_e) defined by the school that student i attended in fifth grade. We estimate standard errors clustered at the closed-school level.

To estimate the effects on seventh and eighth-grade outcomes, we hold the sample used to estimate Eq. [2] constant and define the treated cohorts and comparison cohorts in exactly the same way. The only difference is that we replace the sixth-grade outcomes that we use as dependent variables with the student outcomes in seventh and eighth grade. Cohorts, and thus treatment status, is still defined by when and into what school the student entered sixth grade and covariate values are still measured for the students in fifth grade.

Our primary coefficients of interest are β_1 and β_2 , which are our difference-in-differences estimates of the effect of school closure on students who are likely to have attended a closed school had it not closed and the effect of closure on students in the phaseout cohorts, respectively. For β_1 , since only a percentage of the students in our closure cohorts would have actually attended the closed school in the absence of closure, this coefficient is likely to be an underestimate of the average effect of the treatment on those who would have attended the closed school.¹³

The primary identifying assumption required to interpret the estimates of β_1 and β_2 causally is that, in the absence of school closure, changes in outcomes across school-specific cohorts would have been the same in the schools that closed earlier as changes in outcomes across the contemporaneous cohorts in schools that closed later. We test this assumption using an event study analysis in which we replace our treatment indicators (D_{SC} and P_{SC}) with series of separate indicator variables that, respectively, adopt the value one if the cohort

¹³This estimate is an underestimate of the true effect in a similar manner to a intent to treat parameter in a random control trial, which can be an underestimate of average treatment on treated effect.

enters schools in the year of phaseout, one-year prior to closure phaseout, two-years prior to phaseout, and three-years prior to phaseout. This specification allows us to examine whether differences in sixth-grade outcomes across cohorts in the schools designated for closure in the three years preceding closure are different than differences in sixth-grade outcomes across the same cohorts in schools that have not yet closed, and thereby test for violations of the parallel trends assumption during the pre-treatment period.

We present these results graphically for our key outcome variables with a 95 percent confidence interval band in figure 3. Statistically significant point estimates for cohorts entering sixth grade three years, two years, or one year prior to the initiation of phaseout would indicate that differences across cohorts in the schools that close differ from the differences across the same cohorts in schools that have not yet closed. We do not find any evidence of such differences for any of the sixth-grade outcome variables that we examine in the next section. All of the coefficients for the cohorts entering sixth grade three years, two years, or one year prior to closure are small and statistically insignificant. Thus, these analyses lend support for the parallel trends assumption.¹⁴

VI. Effects of School Closure

Effects on Indicators of Middle School Characteristics and Dislocation

In Table 5, we present estimated effects of closure on the characteristics of the schools attended by students directly affected by the closure (i.e. students in the closure cohorts and students in the phaseout cohorts). Students in the phaseout cohorts are in seventh or eighth grade when the phaseout is initiated, and thus the sixth-grade outcomes for these students are determined prior to the initiation of closure. Therefore,

¹⁴ In the Appendix, we also present event study results for key eighth-grade outcomes. We find no evidence that differences in eighth-grade outcomes across cohorts of students that initiate closure two years earlier and schools that initiate closure sometime later. These results support the parallel trends assumption in our analysis of the effects of closure on the eighth-grade outcomes of our phaseout cohorts

these estimates can be viewed as placebo tests, i.e. zero effect estimates provide further support for our key identifying assumption.

In the top panel of Table 5, we present the average effects of closure on the closure and phaseout cohorts. We find that, on average, closure led students in the closure cohort to attend a school with a higher percentage of white students (3.4 percentage point increase), with lower percentage of free-and-reduced-price (FRPL) eligible students (4.7 percentage point decline), and lower percentage of students with whom they attended fifth grade (a 3.4 percentage point decline). Given the mean values of these variables among the treatment schools (reported in Table 1), these estimates represent more than doubling of the percentage white, a 21 percent increase in the percentage of non-free lunch eligible students, and a 9 percent decrease in the percentage of students from the student's fifth-grade school. We also find that, on average, students traveled 0.120 miles further to school as a result of school closure, which is a 32 percent increase over the baseline distance traveled by students from the closed schools. All of these effects, except for free-and-reduced-price lunch, which has a t-statistic of 1.62, are statistically significant. Closure also led students to attend schools where their classmates in sixth-grade had 0.069 standard deviations higher performance on their fifth-grade mathematics exams and 0.061 standard deviations better performance on their fifth-grade ELA exams.¹⁵

We also estimate value-added measures of each school's contribution to student test score gains which measures differences across schools in test scores controlling for students' prior test scores and other student characteristics. Details of how we compute these measures are provided in Appendix B. In the last column of Table 5, we show that students who are likely to have entered a closed school had it not closed attend a school

¹⁵ The standard deviation units for the school-level mean test scores are defined by the student-level standard deviation in test scores. Because, a relatively small portion of the variance in student test scores is across schools, as opposed to within schools, the school-level standard deviations in these measures are considerably less than one—0.41 in the case of math and 0.50 in the case of ELA. Thus, these effects represent a 0.168 and a 0.122 standard deviation increase, respectively, in the school-level distribution for mean math and mean ELA scores.

with a value-added measure that is 0.084 standard deviations higher than they would have in the absence of closure.¹⁶

For each of these variables, the estimated effects of closure on the cohort of students in seventh and eighth grade in closed schools at the time of closure (i.e. in the phaseout cohorts) are close to zero and statistically insignificant, which confirms that effects on the closure cohort are not driven by differences in pre-existing trends across cohorts in schools that close earlier and those that close later.

In the bottom panel of Table 5, we allow the effects of closure to vary by the quintile of the fifth-grade math performance distribution that the student occupies.¹⁷ We find strong evidence that school closure led students who were higher performing prior to closure to attend different schools than lower-performing students. For the top quintile, closure induced students to attend schools that have over 7.1 percentage points more white students (a 250 percent increase over treatment group means), and 7.3 percentage point fewer free-and-reduced-price lunch students, a 32 percent increase in percentage non-free-lunch eligible over the treatment group mean. We also find that students attend schools that have 0.091 standard deviations higher average sixth-grade math test score, 0.086 standard deviations higher sixth-grade ELA test scores, and 0.116 standard deviations higher value-added.¹⁸ To attend these schools, students in the top quintile traveled between 0.151 more miles (a 41 percent increase above the treatment group mean) than they would have in the absence of closure and attend school with 5.1 percentage point fewer students from their fifth-grade school (a 17.1 percent decline relative to the treatment group mean). These closure effects decline monotonically as

¹⁶ As in the case of mean test scores, the standard deviation units the school value-added measures are defined by the student level standard deviation in test scores. This effect estimate represents a 0.204 standard deviation increase in the distribution of value-added measures across schools.

¹⁷ We also estimated effects by fifth-grade English Language Arts quintile and obtained similar results.

¹⁸ These represent increases of 0.221, 0.172, and 0.282 standard deviations in the distribution of the measures across schools, respectively.

pre-closure performance declines, and are close to zero for students in the lowest quintile. This last result suggests low-performing students enter schools similar in important ways to the closed school that they are likely to have attended in the absence of closure. Again, estimated effects on phaseout cohorts are all small in magnitude and statistically indistinguishable from zero.¹⁹

In Table 6, we present the effects of closure on the eighth-grade school environment of closure and phaseout cohorts.²⁰ The effect of closure on the closure cohort, both on average and by fifth-grade performance quintile, are similar to those in Table 5. This similarity implies that students did not transfer out of their new middle schools at unusually high rates (see columns 1 and 2), or for the students that did transfer, they transferred to schools with similar demographics and performance.

The phaseout cohorts reach eighth grade one or two years after the initiation of closure, and thus the outcomes examined here may be influenced by the phaseout process. In fact, columns 1 and 2 of Table 6 show that the closure process increased the likelihood that students in these cohorts transfer between sixth and seventh grade by 5.5 percentage points, and also between seventh and eighth grade by 7.9 percentage points. These estimates represent 17 percent and 35 percent increases in the seventh and eighth-grade transfer rates, respectively. The increase in transfer rates is driven by the choices of relatively high-achieving students. The point estimates in the bottom panel of Table 6 suggest that high-achieving students who transfer out of schools that have begun phaseout transfer to schools with more white and Asian students, fewer free-and-reduced-priced-lunch students, and higher average levels of performance. However, since substantial proportions of

¹⁹ In results available upon request, we find no evidence that the effects of closure vary by race or free-lunch status.

²⁰ We present estimated effects on 7th grade school environment variables in Appendix Table A2. The effects of closure on the seventh-grade environment of phaseout students are smaller in magnitude than the effects on eighth-grade results, which is expected given the smaller numbers of students who transfer before seventh grade, but otherwise, the effects on seventh-grade school environmental variables are qualitatively similar to those for eighth grade.

students remain in schools that are phasing out, the aggregate effects of transfer activity are not large enough to be statistically significant in most cases.

Effects on Student Achievement and Attendance

In Table 7, we examine whether the changes in the school environment documented in Tables 5 and 6 translate into changes in student performance. The average effects of closure on the achievement of students who are likely to have entered the closed school absent closure are positive, but not statistically distinguishable from zero. We also find evidence that closure increased student absentee rates for the closure cohort, but again the estimates are statistically insignificant.²¹ In the bottom panel, we find that the estimated effects of closure on sixth-grade math performance of students in the closure cohort grows in magnitude as pre-closure fifth-grade mathematics performance increases and become statistically significant for the top quintile of students.²² The top quintile of performers in fifth grade experienced a 0.081 standard deviation increase in their sixth-grade mathematics performance (statistically significant at the 5 percent level) and 0.067 standard deviation increase in their sixth grade ELA scores (statistically significant at the 10 percent level). Given that we estimated that only 74 percent of our closure cohort would have liked attended a closed school, and thus have been directly affected by closure, the effects on those actually affected by closure might be as much as 30 percent higher. These improvements persist in seventh and eighth grade. Estimated effects on absentee rates are also smaller for high-achieving students than for low-achieving students, although the estimates are not statistically significant for any of the performance quintiles.

The estimated effects of closure on the higher performing students in the closure cohort are comparable to effects that have been estimated for other accountability policies aimed at improving low-

²¹ In results available upon request, we do not find any significant differences in estimated effects either by race/ethnicity or by free-lunch status.

²² We find smaller but qualitatively similar effects for closure by quintiles defined by fifth-grade ELA performance. These results are available upon request.

performing schools? “Turnaround” is an umbrella term for policies that can range from relatively incremental changes in curriculum to more intensive and disruptive interventions. “Reconstitution”, for instance, is a turnaround policy that replaces a school’s leadership and a significant proportion of its instructional staff. Strunk et al. (2016) estimate that turnaround efforts in the Los Angeles Unified School that emphasized “reconstitution” were associated with increases ELA test scores of 0.144 standard deviations and math test scores of 0.080. Rockoff and Turner (2010) use a regression discontinuity design to estimate the effect of being assigned an F under New York City’s program to assign letter grades to school based on school-level performance measures. They report that the average effect of being in a school designated as an F as a 0.10 standard deviation in the next year’s math test score and 0.05 standard deviation in next year’s ELA test score. These estimates are similar to the effects we estimate for the high-performing students who also experienced the most substantial changes in school environment as a result of school closure.

Table 7 also presents the effects of closure on students in the phaseout cohorts. This cohort is only affected by closure after sixth grade, and thus, we should not, and do not, find any measurable changes in sixth-grade performance of our phaseout cohort.²³ We expect that the disruption associated with transferring schools and/or the degradation in school quality at the school designated for closure during the phaseout period will have a negative effect on a student’s academic performance and lead to increase in absenteeism in seventh and eighth grade. Consistent with this prediction, we find that closure, on average, led to a statistically significant 0.059 standard deviation reduction in the eighth-grade math test scores, a 0.048 standard deviation decline in eighth-grade ELA test scores that is not statistically significant, and a statistically significant

²³ An anonymous reviewer made a helpful observation that, following the 2009 policy change in which NYC became more transparent about its closure policy, we might see closure have effects earlier. For instance, students might transfer out of a closure school or feel demoralized by the closure designation, before phaseout is initiated. The fact that we do not see any effects on the sixth-grade outcomes of the phaseout cohort suggests that any such anticipatory effects are negligible.

4.4 percentage point increase in the absentee rate of students in the phaseout cohorts. Given that the average absentee rate in a sample of close schools is 8.4 percent, this 4.4 percentage point increase is very large (a 52.3 percent increase).

In the bottom panel of Table 7, we find that these negative effects were highest among the lowest-performing students based on pre-closure mathematics performance. In the bottom two quintiles, closure led to declines in eighth-grade mathematics performance of 0.072 and 0.081 standard deviations. The measurable increase in absentee rates is also largely concentrated among the lowest performing students, with the absentee rates increase by 0.067 percent—a 74 percent increase from the average baseline in closed schools. In contrast, effects on the performance or achievement of the highest-achieving students in the phaseout cohorts are close to zero.

In sum, Tables 5, 6 and 7 show that students who would have entered a closed school had it not closed attend schools that have higher percentages of white and Asian students, lower percentages of students eligible for free-or-reduced-price lunch, higher average test scores and higher measures of value-added as a result of closure. These effects of closure on school environment are concentrated among relatively high-achieving students. We also find relatively high-achieving students among the group that would have entered a closed school see increases in test score performance as a result of closure. Finally, for relatively low-achieving students in seventh and eighth grade when closure is initiated, closure causes reductions in test scores and increases in absentee rates.

The results presented in Table 7 depend on the validity of the matching strategy used to identify students in the closure cohort. To examine if our estimates are robust to alternative methods of specifying this treatment group, we employ a different criterion for identifying students in the treatment group. For each closed school, we identify as the treatment group the 130 students from the cohort entering sixth grade in the

first year after closure who have the highest propensity of attending the closed school. We select 130 students because this is the average size of the school-specific cohort entering the closed school the year prior to closure.

²⁴ We redefine our sample of students and re-estimate our models defining the treatment group this way. The results are similar, albeit slightly smaller than, the results presented in Table 7 (see Appendix Table A3). This attenuation is likely driven by the lower accuracy rate achieved using this method, which is 21 percent lower than achieved with the nearest-neighbor matching strategy. Regardless, the fact our results are not sensitive to alternative matching strategies.

Effects on Later Cohorts

The effects on students in the closure cohort reported in Tables 5, 6 and 7 pertain solely to the cohort of students who enter sixth grade the year immediately following the designation for closure. The next question we address is whether or not the effects on the closure cohort persist for multiple post-closure cohorts. To answer this question, we assume that the models we use to identify which students would have likely attended each closed school accurately predicts those students who would have attended a closed school two and three years after each school's closure designation. We estimate an event study model that allows for a set of distinct effects, β_{kq} , that vary by the number of years before and after closure that a cohort enters or would have entered the closed school and for each year before or after closure also vary by the performance quintile occupied by the individual student.

$$y_{isce} = \alpha_0 + \sum_{k=-3}^2 \sum_{q=1}^5 \beta_{kq} 1(\{K_{sc} = k\} * 1\{Q_{isce} = q\}) + \beta_2 P_{sc} + \gamma \mathbf{X}_{isce} + \varphi_c + \phi_e + \varepsilon'_{isce} \quad \text{Eq. [3]}$$

²⁴ This strategy does not do as well as the nearest-neighbor matching strategy in terms of both the accuracy and treatment coverage rates we present in Table 3. The accuracy rate is 53 percent and the treatment coverage rate is 51 percent.

Where $\sum_{k=-3}^2 \sum_{q=1}^5 \beta_{kq} 1\{K_{sc} = k\} * 1\{Q_{isce} = q\}$ represents a set of 30 different dummies—six different years relative to closure that the cohort entered sixth grade ($k=-3, \dots, 2$) by five different performance quintiles, and all other terms are defined as above.

Figure 4 presents the estimated effects of closure on the sixth and eighth-grade mathematics and ELA performance of the top quintile of performers three years prior to closure and for the first three years after closure. The magnitude of these results are consistent with the estimated effects found in Table 7, although our estimates are less precise. These results indicate that the effects of closure on higher-performing students who would have attended closed schools had they not closed persist for at least three post-closure cohorts. The effect of closure for other performance quintiles in future years remains small and statistically insignificant (see Appendix Table A4).

VII. Does Closure have Negative Spillovers?

One potential concern for the empirical work presented above is that students displaced by closure may have negative spillover onto students in receiving schools. Brummet (2014), for example, found modest negative spillover effect onto students in the receiving school. However, in Brummet's sample of 200 closures across Michigan, the median displaced students attended a school in which roughly 20 percent of student body was displaced the previous year. As we note above, among the schools in New York City that ever received students from a closed school, the median percentage of sixth graders that were from one of our closure cohorts in any year is 4 percent, and median percentage of seventh and eighth graders that transferred from a school undergoing phaseout was 2.6 percent. While these magnitudes are small, rather than assume that these effects can be ignored, we estimate the potential spillover effects of closure on students in the receiving school in this section.

Following the model of Carrell and Hoekstra (2010) and Brummet (2014), we exploit variation in peer spillover from closure at the school-grade-year level while controlling for grade-specific fixed effects and linear

changes at the school-grade level over time. This identification strategy relies on idiosyncratic differences in the proportion of peers from phaseout and closure schools across grade-year cohorts, within a school, between 2001-2002 and 2014-2015. We employ the following model (using the notation of Carrell and Hoekstra):

$$y_{imgt} = \varphi_0 + \varphi_1 D_{imgt} + \varphi_2 \frac{\sum_{k \neq i} D_{kmg t}}{n_{mgt} - 1} + \varphi_3 P_{imgt} + \varphi_4 \frac{\sum_{k \neq i} P_{kmg t}}{n_{mgt} - 1} + v_{mg} trend + \gamma \mathbf{X}_{imgt} \quad \text{Eq. [4]} \\ + \varpi_{mg} + \vartheta_{gt} + \varepsilon_{imgt}$$

The dependent variable is the outcome of interest for student i in middle school m in grade g in year t . D_{imgt} is an indicator variable that adopts a value one if student i is in a closure cohort and P_{imgt} adopts a value one if student i is in a phaseout cohort. $\frac{\sum_{k \neq i} P_{kscm}}{n_{scm} - 1}$ is the proportion of peers in the middle-school specific grade-and-year who are members of a phaseout cohort, and $\frac{\sum_{k \neq i} D_{kscm}}{n_{scm} - 1}$ is the proportion of peers in the school-grade cohort from the closure cohort, except individual i in both cases. \mathbf{X}_{iscm} is a vector pre-treatment covariates controlled for in equation [1]. ϖ_{mg} and v_{sg} is the intercept and slope, respectively, of school-by-grade specific linear time trends, which will capture any linear time-varying changes in the school that are specific to that school-grade. ϑ_{gt} is a grade-year fixed-effect. The model is not identified for sixth-grade outcomes for phaseout students because the $\frac{\sum_{k \neq i} P_{kscm}}{n_{scm} - 1}$ parameter is perfectly collinear with the phaseout indicator variable (P_{imgt}) in sixth grade. This model is estimated using a sample that includes students in any of the schools attended by a student from one of our closure or phaseout cohorts.

Table 8 presents the results of equation [4] for mathematics and ELA test scores in sixth, seventh, and eighth-grade. The estimates of φ_2 and φ_4 , which capture the effect of a 100 percent increase in the proportion of closure and phaseout students, respectively, are small in magnitude and statistically insignificant from zero. We find no evidence that there are negative spillover effects of closure on students in the receiving schools either because of the influx of students from either the phaseout or closure cohorts.

VIII. Robustness Checks and Extensions

The role of using an artificially constructed treatment group

An important threat to a causal interpretation of our difference-in-differences estimator is that any difference between the outcomes for the closure cohort and earlier cohorts who attended the school before it closed is due to switching the group of students we observe from an actual cohort to a predicted cohort rather than to school closure. In Table 4, we presented evidence that students in the predicted-last-year cohort were statistically indistinguishable from the actual-last-year cohort on both pre-treatment variables used to identify the predicted cohort and sixth-grade outcome variables that were not used to identify the predicted cohort. These results provide some assurance that the estimated effects on students in the closure cohorts are valid.

To further test for this concern, we replace the students in the closure group in equation [2] with our predicted-last-year cohort from the year prior to closure. This group should not be affected by closure, and thus provides a placebo test to assess if our results are being driven by movement from an observed group of students to a predicted group of students. In Table A5 in the online Appendix, we present the results of this test for sixth-grade school environment outcomes and, in Table A6, we present the results of this tests for sixth-grade student academic outcomes.²⁵ All of the estimates in these tables are small and none are statistically distinguishable from zero. We thus find little reason to believe that the switch to a predicted cohort by itself can account for our estimated effects of school closure.

As an additional test to ensure the transition from an observed last-year cohort of students to a predicted closure cohort is not driving our results, we replace the actual cohorts of students in each of the three

²⁵ Because the phaseout process potentially affects the seventh and eighth-grade outcomes for a large portion of the predicted-last-year-cohort, i.e. many of the phaseout students are included in this predicted-cohort, this placebo test cannot be validly applied to seventh and eighth-grade outcomes.

years prior to closure with predicted cohorts of students in each year.²⁶ We then estimate equation [2] using only predicted cohorts. These estimates can be interpreted as the effect of school closure on students likely to have attended a closed school and cannot be contaminated by a switch from actual to predicted cohorts.

The top panel of Table 9 presents the effects of closure on the school environment for each student based on their pre-treatment quintile of fifth-grade math performance. Our results are consistent with the effects found using the main model (see Table 5), albeit slightly smaller in magnitude and slightly less precisely estimated. We continue to find evidence that the top quintile of students in the closure cohort attends systematically different schools as a result of closure. While the magnitudes are slightly smaller, closure induced the top quintile of students to attend schools that performed 0.077 standard deviations better on school-level sixth-grade math, 0.084 standard deviations higher in terms of value-added, and travel 0.132 miles farther to attend the school than similar high performers in previous cohorts.

The bottom panel of Table 9 presents the effects of closure on student outcomes estimated using the full predicted-cohort sample. Consistent with the results from our primary analysis presented in Table 7, we find closure caused students in the top quintile to perform measurably better on their sixth, seventh, and eighth-grade math exams. These effect estimates are similar in magnitude to the corresponding estimates in Table 7, and statistically significant. The effects on absentee rates are also qualitatively similar to those found in our primary model. Table 9 provides compelling evidence that the estimated effects of closure on high-performing

²⁶ All predicted cohorts are constructed using the same strategy that we used to identify students in our closure cohorts. For schools that closed in 2004-05, the cohort for three-years prior to closure (AY 2002-03) is estimated using only one year of data as opposed to two. However, there were no systematic differences in the overall accuracy or treatment coverage rates for this cohort relative to any other cohort. Our treatment coverage and accuracy rates are consistent with those in Table 3 for all years prior to closure.

students found in the primary model are not being driven by moving from an actual cohort of students to a predicted cohort of students.²⁷

The role school quality?

Lastly, we consider the extent to which the effect of closure varies by the quality of the receiving school. To examine this question, we split each treatment variable into two. Specifically, we replace the treatment indicators in equation [2], D_{SC} and P_{SC} , with a set of binary variables that indicate, respectively, that a student (a) is in a closure cohort and entered sixth grade in a school with a lagged value-added measure greater than the city average, (b) is in a closure cohort and entered sixth grade in a school with a lagged value-added measure below the city average, (c) is in phaseout cohort and in the first year following closure attended a school with a lagged value-added measures greater than the city average, and (d) is in a phaseout cohort and in the first year following closure attended a school with a lagged value-added measure less than the city wide average. We estimated each model separately for each quintile of students defined by performance on the fifth grade math test.

It is important to note that the quality of the school a student chooses to attend is an endogenous choice and is likely to be correlated with unobserved student characteristics. Thus, estimates of how effects of school closure vary by the quality of the schools that a student attends after the closing cannot be interpreted causally, even if we accept the assumptions of our strategy for identifying the average effects of school closure. The results of this model should be interpreted as merely additional suggestive evidence about the role school quality changes play in mediating school closure effects.

²⁷ We also estimate equation [3] using only predicted cohorts in all years, including pre-treatment years. We find that there are no statistical or substantive changes from the results we present in Figure 4 or Appendix Table 1.

The results are presented in Table 10. Whether effects vary by the value-added of the school a student entered after closure can be discerned by comparing columns (1) and (2), and columns (3) and (4). In each case, the effect of school closure is more positive if the student affected by closure attends a school with higher value-added the year following closure. None of the differences in the effects of sorting to an above average value-added school and sorting to a below average value-added school are statistically significant, and so we must be careful not to over-interpret these results. However, the fact that effects are always more positive when students attend a school with above average value-added than when they attend schools with below average value-added suggests that the effects of school closure depend on the quality of alternatives available to students and those chosen by students who otherwise would be relegated to the school that closed.

The last column of Table 10 indicates that higher achieving students are considerably more likely to attend schools with relatively high value-added in response to closure. This fact, combined with the finding that the effects of closure are positive and statistically significant only for relatively high-achieving students in the closure cohorts who attend schools with above average value-added, suggests that the quality of schools attended in response to closure plays some role in explaining why high-achieving students benefit more from school closure than low achieving students. However, the effects of closure on low-achieving students in the closure cohorts are close to zero even when those students select into relatively high value-added school, which suggests that school quality is not the only factor influencing the effects of school quality.

A final noteworthy aspect of the results in Table 10 is that, although the estimated effects of school closure on the achievement of students in the phaseout cohorts are statistically significant only for low-performing students who attend schools with below average value-added, virtually all of the point estimates of the effects on phaseout students are negative. Point estimates are negative even when students transfer to schools with above average value-added. These results suggest that both the degradation in school quality at

schools designated for closure and the disruption effects that accompany changing middle schools mid-stream, make it difficult to extend potential benefits of school closure to students who attend the school at the time of closure, at least in the short-term.

IX. Conclusions

Prior literature on school closure has largely focused on identifying the effects of closure on students who were attending a closed school when it closed and on students in schools receiving students from closed schools. This literature has also tended to conflate school closure due to declining enrollments with closures due to low-performance. In this paper, we estimate effects of accountability-driven middle school closures in New York City on students who likely would have entered a closed school had it not closed, as well as on students attending the closed school when closure is initiated. The effects of disruption often associated with school closures are likely to be much smaller for the former group than the latter group. Also, we examine how the effects of closure on both sets of students vary across students with different fifth-grade achievement levels.

We find that accountability-driven closure induced higher-achieving students in the post-closure cohorts to attend higher-performing schools with more white students and fewer students eligible for free-and-reduced-price lunch. We also find these students performed better on tests of math and English language arts beginning in sixth grade as a result of closure and that these positive effects lasted through eighth grade. In contrast, lower-performing students in these post-closure cohorts enrolled in middle schools that are similar to the closed school in terms of demographics and achievement, and, on average, these students did not see improvement in their test scores as a result of closure. These results were found for multiple cohorts following closure and are robust to several threats to internal validity that we considered. Both this pattern of results, and analyses that attempt to estimate the extent to which changes in school quality mediate the effect of school

closure, suggests that the quality of school that students attend following closure has important implications for what effect closure is likely to have.

We also find that among the students who were already attending the closed school when the phaseout process was initiated, the relatively high-achieving students were more likely to transfer out of the school than low-achieving students. We also find that school closure had negative effects on the low-performing students in these phaseout cohorts.

These results suggest at least two important policy implications. The first is that providing high-quality alternatives to students who otherwise would attend closed schools may be necessary for school closures to have positive effects on student achievement. An examination of the system for assigning students to middle school in effect at the time of the school closures we examine suggests that low-achieving students typically had fewer middle school options available to them than high-achieving students. Also, the pattern of results suggests that this difference in accessible alternatives may be part of the reason why high-performing students benefitted more from school closure than lower-performing students.

Second, our results indicate that school closure decisions present important tradeoffs. Our results indicate that school closure can benefit at least some students in future cohorts, particularly if those students have high-quality alternatives available to them. However, these gains for future cohorts might come at the expense of worse outcomes for students currently in the schools slated for closure. Our findings concerning the effects on students currently in a school designated for closure pertain to the closure policy implemented in New York City, under which a large proportion of students remain in the school designated for closure during a phaseout period. Our evidence suggests that in such a case, particularly when transfer options for students in schools designated for closure are limited, students in these closed schools are made worse off. Although our evidence cannot speak directly to the effects of a policy that would close schools all at once, forcing students

to transfer immediately, evidence from other studies suggest such policies can also create negative disruption effects for students currently attending a school designated for closure (de la Torre and Gwynne 2009; Engberg et al. 2012; Kirshner, Gaertner, and Pozzoboni 2010; Ozek, Hansen, and Gonzalez 2012). Thus, although this study cannot provide guidance on whether phaseout policies like the one deployed in New York City or all-at-once closures are likely to be better for students currently attending the school, our results do suggest that avoiding negative impacts on this group of students can be difficult.

Assessing the potential gains to future cohorts relative to the potential losses to current students requires value judgments by policymakers. It is tempting to say that gains to future cohorts can accrue over many years and thus for many cohorts while any losses to current students will be limited to a smaller number of cohorts, and thus, the gains are likely to justify the losses. However, in the case of New York City, it appears that gains for future cohorts were limited to students who were already relatively high achieving, while losses accrued to lower-performing students in the phaseout cohorts. Such considerations complicate the assessment. It might be in a context where both low and high-achieving students in both the future and current cohorts were given access to high-quality alternatives, school closures would result in a more equitable distribution of benefits. However, in most contexts, unequivocally positive effects are likely to be difficult to realize, so policymakers will have to pay careful attention to tradeoffs like the ones highlighted in this study.

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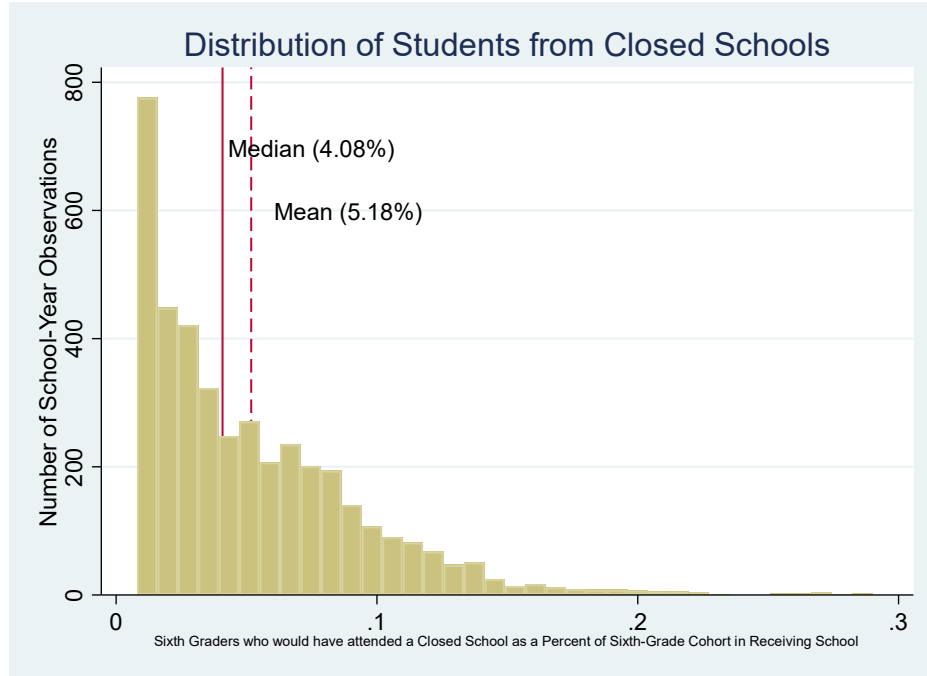
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Figure 1.

6th Graders who would have attended a Closed School as a Percent of Sixth-Grade Cohort in Receiving Schools



7th and 8th Graders from Phaseout Schools as a Percent of 7th and 8th Graders in Receiving Schools

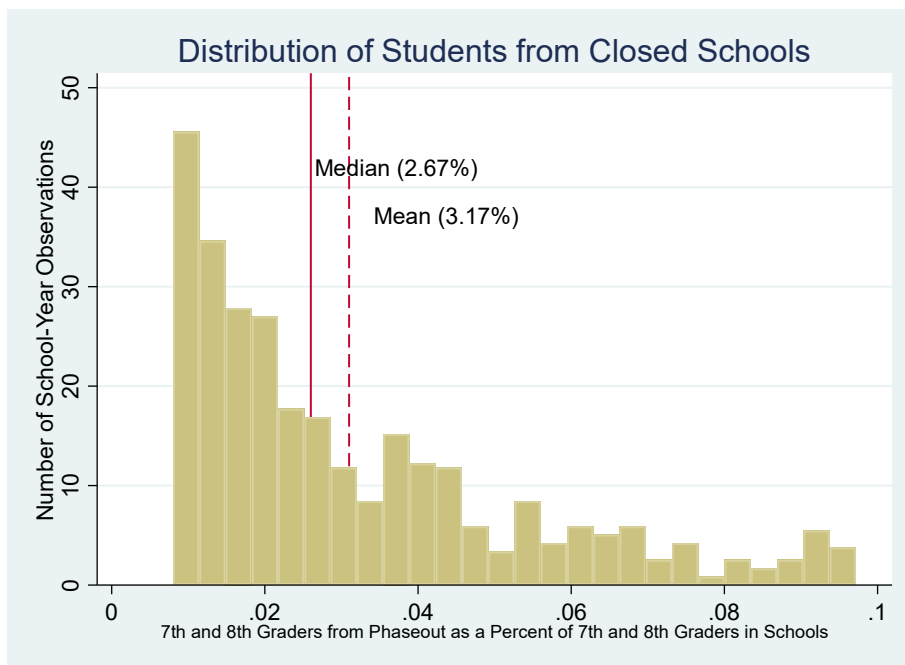


Figure 2: Causal Pathway between School Closure and Student Performance

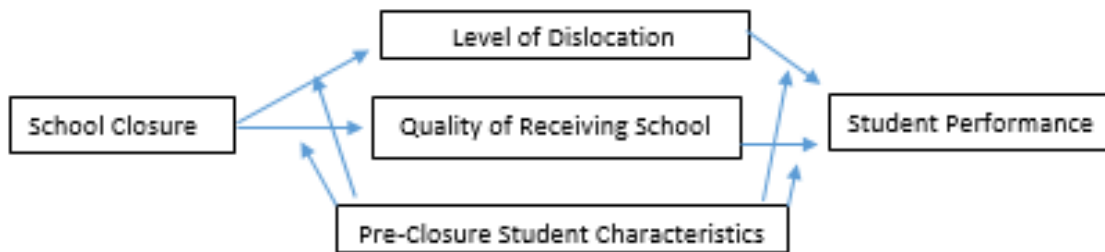


Figure 3: Estimates of Difference in Pre-Closure Trends on Key Sixth-Grade Outcomes

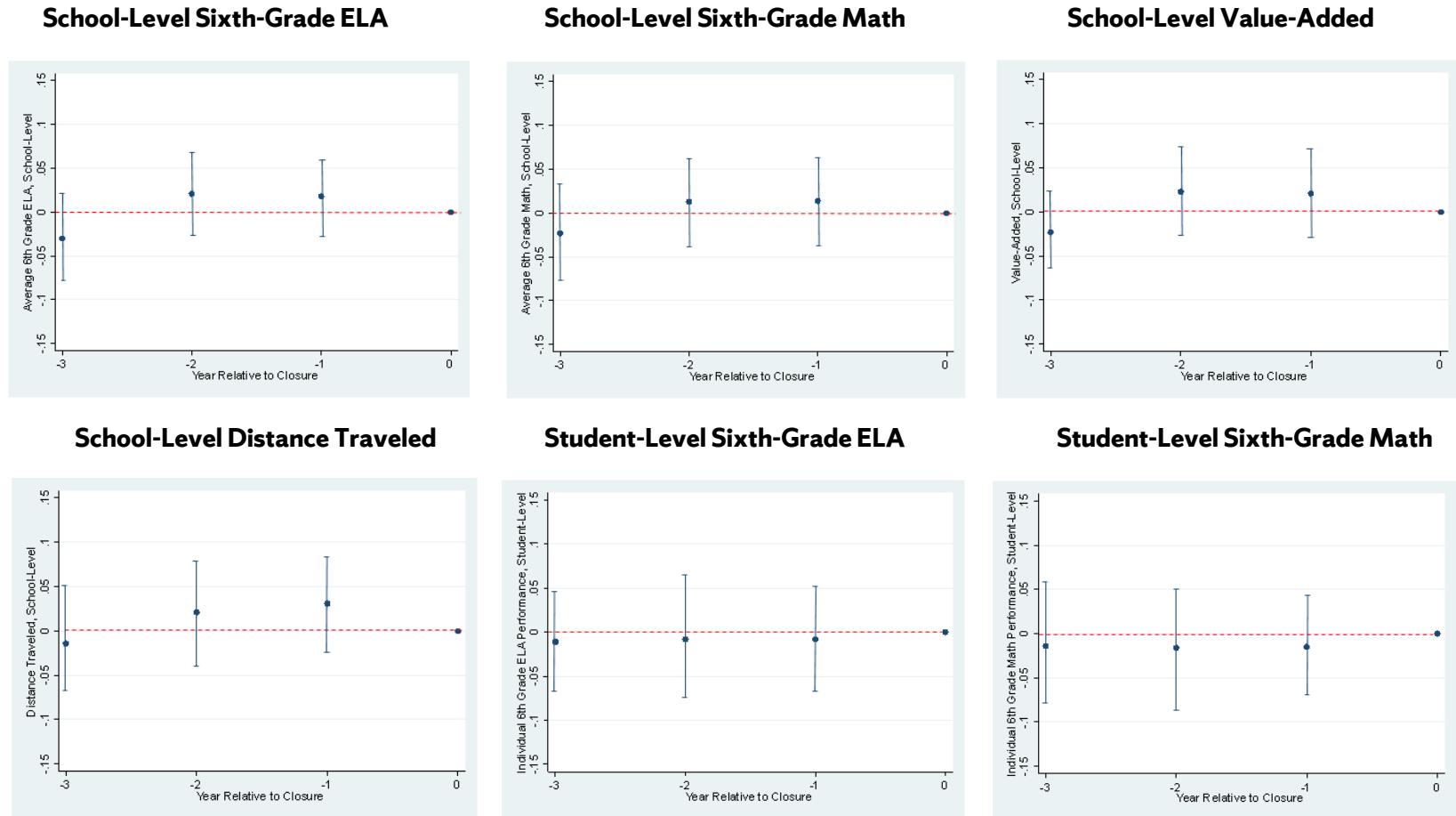
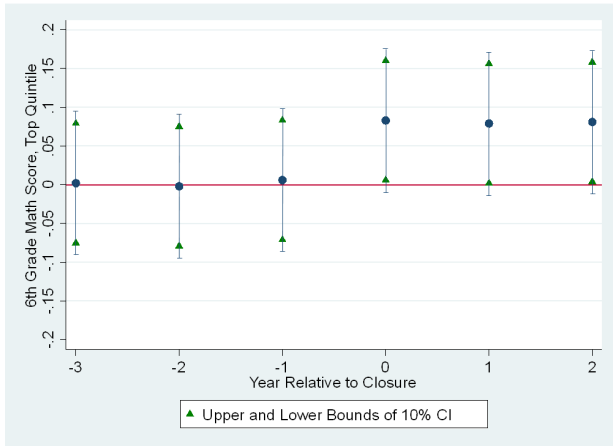
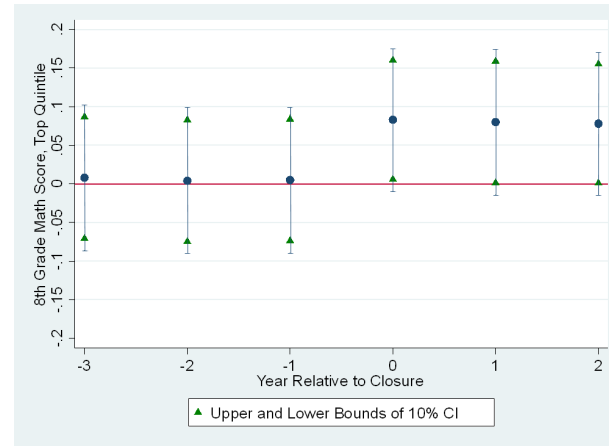


Figure 4: Event Study Results for Top Quintile Students^A

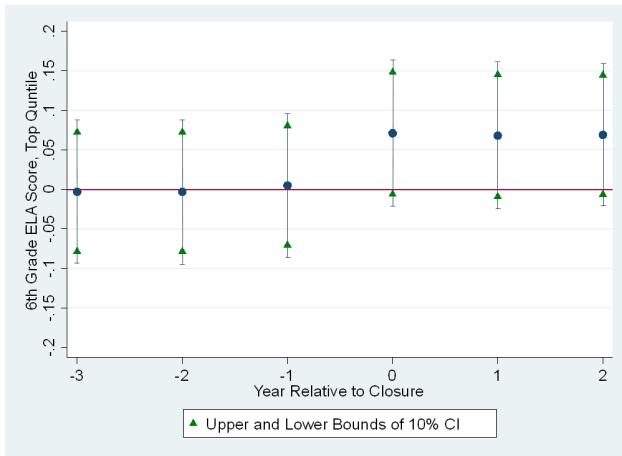
Mathematics Scores in Sixth Grade



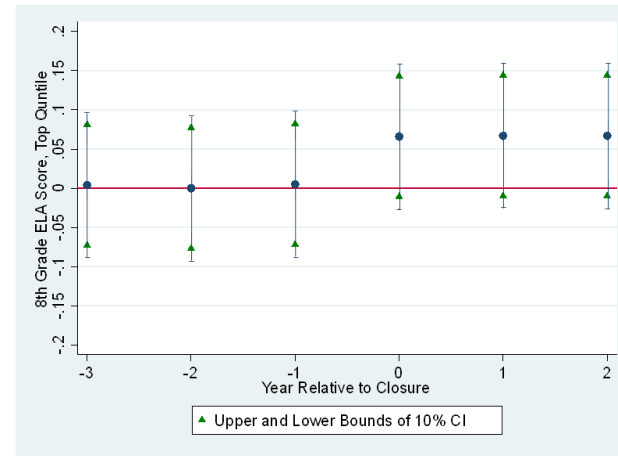
Mathematics Scores in Eighth Grade



ELA Scores in Sixth Grade



ELA Scores in Eighth Grade



Notes: (a) top quintiles are students scoring in top quintile of their school's 5th grade mathematics exam

Table 1: Descriptive Statistics

	Middle Schools 6th Grade	Closed Schools 6th Grade	Difference in Means
Number of Students	41,087	4,714	
Number of Middle Schools	155	47	
Student-Level Variables			
Black	0.365 (0.455)	0.481 (0.498)	0.187***
Hispanic	0.388 (0.479)	0.457 (0.499)	0.100***
Asian	0.108 (0.331)	0.024 (0.155)	0.124***
White	0.140 (0.398)	0.029 (0.170)	0.166***
Free & Reduced-Price Lunch Eligible	0.661 (0.492)	0.778 (0.415)	0.087***
Absentee Rate	0.059 (0.046)	0.084 (0.065)	0.022***
Transfer Rates (Grades 6th to 7th)	0.314 (0.455)	0.318 (0.409)	0.004
Transfer Rates (Grades 7th to 8th)	0.227 (0.366)	0.228 (0.321)	0.001
Student-Level Sixth Grade Matha	0.093 (0.980)	-0.486 (0.793)	0.579***
Student-Level Sixth Grade ELAa	0.117 (0.977)	-0.269 (0.717)	0.386***
Distance Traveled to Schoolc	0.369 (0.161)	0.374 (0.157)	0.003
%of Students from Previous School	0.298 (0.182)	0.362 (0.201)	0.64***
Middle School-Level Variables			
Free & Reduced Price Lunch Eligible	0.683 (0.377)	0.772 (0.448)	0.089**
Absentee Rate	0.063 (0.029)	0.091 (0.019)	0.028***
School-Level Sixth-Grade Matha	0.086 (0.411)	-0.461 (0.324)	0.547***
School-Level Sixth-Grade ELAa	0.105 (0.501)	-0.271 (0.227)	0.376***
Value-Added Measureb	0.011 (0.412)	-0.433 (0.374)	0.514***
Black	0.371 (0.381)	0.494 (0.376)	0.155***
Hispanic	0.355 (0.375)	0.448 (0.359)	0.088***
Asian	0.141 (0.182)	0.025 (0.175)	0.111***
White	0.178 (0.144)	0.027 (0.149)	0.152***

Notes: Standard Deviation Reported in Parentheses. P-values are calculated using difference-of-means tests. (a) ELA and Math are normalized using city-grade-year means and standard deviations. (b) Value-added measure capture the school's contribution to a student's test scores controlling for differences across schools and a student's prior test scores. See Appendix A for a discussion of the value-added model used in this paper. (d) Distance to school is the Euclidean distance (in miles) between the centroid of a student's assigned zip code and the school.

Table 2: Are Later Closures a Good Comparison for Early Closures?

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
Year of School Closure	2004-05	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12
Number of Closed Schools by Year	9	5	3	8	2	3	1	15
Schools that have not yet Closed	38	33	30	22	20	17	16	1
Difference of Means								
School-Level Sixth-Grade ELA Performance	0.001	0.006	0.001	0.002	0.002	0.001	0.004	0.015
School-Level Sixth-Grade Math Performance	0.010	0.002	0.016	0.003	0.007	0.001	0.016	0.035
School-Level Value Added ^a	0.008	0.008	0.007	0.002	0.006	0.002	0.008	0.014
Percent Black	0.030	0.030	0.018	0.010	0.010	0.010	0.010	0.050
Percent Hispanic	0.030	0.020	0.010	0.010	0.020	0.020	0.010	0.034
Absentee Rate	0.010	0.010	0.010	0.021	0.010	0.010	0.020	0.000
Percent Free-and-Reduced-Price-Lunch Eligible	0.005	0.005	0.002	0.012	0.002	0.001	0.009	0.003
Percent English Lang. Learner	0.001	0.001	0.013	0.011	0.004	0.010	0.008	0.018

Note: This table summarizes difference of means on school-level covariates between schools that closed in each given year (based on data from the year prior to closure) to schools that have not yet closed but will closed by the 2012-2013 academic year. Differences of means tests were conducted. ***p<0.01, **p<0.05, *p<0.10. ELA and Math are normalized using city-grade-year means and standard deviations. (a) See Table 1 and appendix A for a description of our value-added measure.

Table 3: Accuracy and Treatment Coverage Rates of our Matching Strategy

School ID	Phaseout Year	True Last Year Cohort	Predicted Last Year Cohort	Accuracy Rate	Treatment Coverage Rate
All Closed Schools	All Years	4078	3599	74%	66%
098456	2004-05	120	98	73%	60%
042274	2004-05	61	52	71%	61%
020129	2004-05	56	48	73%	63%
001895	2004-05	287	206	70%	50%
011205	2004-05	210	207	74%	73%
082138	2004-05	293	231	76%	60%
053442	2004-05	396	329	77%	64%
031081	2004-05	62	53	68%	58%
046463	2004-05	321	303	68%	64%
000501	2005-06	31	30	67%	65%
006676	2005-06	284	241	76%	65%
038548	2005-06	118	115	75%	73%
037068	2005-06	113	93	75%	62%
064464	2005-06	276	274	78%	78%
049543	2006-07	57	57	79%	79%
004502	2006-07	50	44	73%	64%
043284	2006-07	74	72	86%	84%
085812	2007-08	27	26	62%	59%
031190	2007-08	108	71	69%	45%
025985	2007-08	54	54	70%	70%
093212	2007-08	118	113	73%	70%
002563	2007-08	108	92	75%	64%
051952	2007-08	104	100	77%	74%
014482	2007-08	25	17	59%	40%
051434	2007-08	123	109	77%	68%
075126	2008-09	61	61	79%	79%
015074	2008-09	132	109	68%	56%
077648	2009-10	67	67	66%	66%
085740	2009-10	75	70	77%	72%
065270	2009-10	232	223	80%	77%
013668	2010-11	35	34	74%	71%

Notes: The accuracy rate is the percentage of the predicted-last-year cohort members that are in the actual-last-year cohort. The treatment coverage rate is the percentage of students in the actual-last-year cohort who are also in the predicted-last-year cohort.

Table 4: Comparing the Last-Year Actual and Predicted-Cohort Students

Variable	(1) Last-Year Cohort	Column (2) Predicted-Last-Year Cohort
Fifth Grade Variables		
Percent Black ^a	0.477	0.482
Percent Hispanic ^a	0.454	0.451
Percent Asian ^a	0.025	0.027
Percent White ^a	0.043	0.044
Percent Free-and-Reduced-Price Lunch Eligible ^a	0.778	0.772
Student-Level Fifth-Grade Absentee Rate	0.081	0.079
Student-Level Fifth-Grade English	-0.254	-0.249
Student-Level Fifth-Grade Math	-0.471	-0.469
Sixth-Grade Outcomes		
School-Level Sixth Grade Math ^b	-0.462	-0.448
School-Level Sixth Grade English ^b	-0.259	-0.247
School-Level Sixth-Grade Value-Added ^c	-0.671	-0.654
Distance Traveled (Sixth Grade) ^d	0.364	0.368
Student-Level Sixth-Grade English	-0.262	-0.257
Student-Level Sixth-Grade Math	-0.471	-0.464
Student-Level Sixth-Grade Absentee Rate	0.096	0.088

Notes: The Last-Year Cohort consists of all students who attended a closed school in the year prior to phaseout beginning. The Predicted-Last-Year cohort consists of students that we predict as attending the school in the last year prior to closure. Means are reported for each group for each variable. Simple difference of means tests between each group and the last-year cohort were conducted and none of the differences are statistically significant at the 0.10 level.

(a) Racial and socioeconomic variables are measured prior to treatment (reported race in fifth-grade. All English and math performance data is normalized at the city-grade-year level.

(b) ELA and Math are normalized using city-grade-year means and standard deviations.

(c) Value-added measure capture the school's contribution to a student's test scores controlling for differences across schools and a student's prior test scores. See Appendix A for a discussion of the value-added model used in this paper.

(d) Distance to school is the Euclidean distance (in miles) between the centroid of a student's assigned zip code and the school.

Table 5: Effect of Closure and Phaseout on Sixth-Grade School Environment

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
	Percent White	Percent Black	Percent Hispanic	Percent Asian	Percent FRPL Eligible	Percent Previous Grade ^a	Distance to School ^b	School Math ^c	School ELA ^c	School Value Added ^d
Average Effect on Closure Cohort (CC)	0.034* (0.019)	-0.011 (0.018)	-0.009 (0.018)	0.020 (0.020)	-0.047 (0.029)	-0.034** (0.015)	0.120*** (0.047)	0.069** (0.035)	0.061* (0.036)	0.084** (0.042)
Average Effect on Phaseout Cohort (PC)	-0.002 (0.020)	0.006 (0.018)	-0.001 (0.018)	-0.005 (0.020)	0.014 (0.029)	-0.004 (0.015)	0.020 (0.046)	-0.010 (0.035)	-0.008 (0.036)	-0.018 (0.043)
Effects by Quintile of Fifth-Grade Mathematics Score ^e										
Effect on CC Lowest Quintile	-0.012 (0.030)	0.005 (0.027)	0.022 (0.027)	-0.011 (0.030)	-0.022 (0.035)	-0.014 (0.025)	0.067 (0.048)	0.014 (0.037)	0.013 (0.038)	0.050 (0.046)
Effect on CC in 20 th - 40 th Quintile	0.006 (0.029)	-0.004 (0.027)	0.006 (0.027)	-0.004 (0.029)	-0.038 (0.035)	-0.022 (0.025)	0.092* (0.049)	0.033 (0.037)	0.030 (0.037)	0.054 (0.045)
Effect on CC in 40 th - 60 th Quintile	0.048 (0.030)	-0.011 (0.027)	-0.011 (0.027)	0.022 (0.030)	-0.044 (0.035)	-0.034 (0.024)	0.101** (0.049)	0.054 (0.038)	0.036 (0.038)	0.083* (0.045)
Effect on CC in 60 th - 80 th Quintile	0.062** (0.029)	-0.017 (0.027)	-0.024 (0.027)	0.037 (0.030)	-0.056 (0.035)	-0.048** (0.024)	0.128** (0.049)	0.078** (0.038)	0.068* (0.038)	0.094** (0.046)
Effect on CC in Top Quintile	0.071** (0.030)	-0.026 (0.027)	-0.034 (0.027)	0.052* (0.030)	-0.073** (0.035)	-0.051** (0.025)	0.151*** (0.048)	0.091** (0.038)	0.086* (0.038)	0.116** (0.046)
Effect on PC in Bottom Quintile	-0.005 (0.028)	0.014 (0.024)	0.006 (0.024)	-0.009 (0.027)	0.018 (0.035)	0.013 (0.025)	0.019 (0.048)	-0.017 (0.038)	-0.015 (0.038)	-0.027 (0.046)
Effect on PC in 20 th - 40 th Quintile	-0.002 (0.027)	0.012 (0.024)	0.002 (0.024)	-0.007 (0.028)	0.016 (0.035)	0.015 (0.025)	0.021 (0.048)	-0.014 (0.037)	-0.008 (0.037)	-0.018 (0.046)
Effect on PC in 40 th - 60 th Quintile	-0.002 (0.028)	0.000 (0.024)	-0.001 (0.024)	-0.004 (0.028)	0.014 (0.035)	0.009 (0.025)	0.019 (0.048)	-0.014 (0.037)	-0.011 (0.038)	-0.022 (0.046)
Effect on PC in 60 th - 80 th Quintile	-0.002 (0.028)	0.001 (0.024)	-0.002 (0.023)	-0.004 (0.027)	0.006 (0.035)	-0.004 (0.025)	0.021 (0.049)	0.004 (0.038)	0.006 (0.038)	0.008 (0.046)
Effect on PC in Top Quintile	-0.003 (0.027)	0.004 (0.024)	-0.000 (0.024)	-0.001 (0.027)	0.011 (0.035)	-0.014 (0.025)	0.023 (0.049)	0.008 (0.037)	0.002 (0.038)	0.007 (0.046)

Notes: Number of Obs: 40,227. Standard errors robust to clustering by school are in parentheses, ***p<0.01, **p<0.05, *p<0.10. Student covariates, quadratics of student performance, and cohort fixed effects and elementary school fixed effects are included.

(a) Percent from previous grade are the percent of students from each students fifth-grade school that also attend the same school with the student in sixth-grade.

(b) Distance to School is the Euclidean distance (in miles) between the centroid of a student's assigned zip code and the school building.

(c) ELA and Math are the lagged (prior-grade) performance for each student's peers in sixth grade normalized at the year-grade-level for the schools in our sample.

(d) Value-added measure captures the school's contribution to a student's test scores controlling for differences across schools and a student's prior test scores. See Appendix A for a discussion of the value-added model used in this paper.

(e) Students are broken into bins by their fifth-grade mathematics performance. Estimates presented reflect the linear combination of the treatment indicator and each quintile interaction term

Table 6: Effect of Closure and Phaseout on Transfer Rates and Eighth-Grade School Environment

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
	Transfer Rates 6th and 7th Grade	Transfer Rates 7th and 8th Grade	8th Grade Percent White	8th Grade Percent Black	8th Grade Percent Hispanic	8th Grade Percent Asian	8th Grade Percent FRPL Eligible	8th Grade Distance to School ^a	8th Grade School Math ^b	8th Grade School ELA ^b	8th Grade School Value Added ^c
Average Effect on Closure Cohort (CC)	0.038 (0.033)	0.026 (0.034)	0.037* (0.021)	-0.017 (0.018)	-0.014 (0.019)	0.027 (0.020)	-0.049 (0.031)	0.112** (0.047)	0.062* (0.036)	0.057 (0.035)	0.073* (0.043)
Average Effect on Phaseout Cohort (PC)	0.055* (0.032)	0.079** (0.032)	0.020 (0.020)	-0.017 (0.018)	-0.008 (0.018)	0.025 (0.020)	-0.017 (0.030)	0.085* (0.047)	0.017 (0.037)	0.014 (0.035)	0.040 (0.043)
Effects by Quintile of Fifth-Grade Mathematics Score ^d											
Effect on CC Lowest Quintile	0.041 (0.035)	0.036 (0.035)	0.011 (0.029)	-0.011 (0.024)	0.001 (0.024)	0.011 (0.029)	-0.024 (0.035)	0.071 (0.048)	0.025 (0.037)	0.018 (0.037)	0.042 (0.044)
Effect on CC in 20 th - 40 th Quintile	0.051 (0.035)	0.034 (0.035)	0.024 (0.029)	-0.006 (0.025)	-0.003 (0.024)	0.009 (0.029)	-0.032 (0.035)	0.072 (0.048)	0.038 (0.038)	0.042 (0.037)	0.059 (0.044)
Effect on CC in 40 th - 60 th Quintile	0.054 (0.035)	0.029 (0.035)	0.041 (0.029)	-0.007 (0.025)	-0.009 (0.025)	0.021 (0.029)	-0.052* (0.035)	0.120** (0.048)	0.063* (0.037)	0.056 (0.037)	0.073* (0.044)
Effect on CC in 60 th - 80 th Quintile	0.021 (0.035)	0.011 (0.035)	0.051* (0.029)	-0.029 (0.025)	-0.031 (0.024)	0.044 (0.029)	-0.068** (0.035)	0.131*** (0.048)	0.088** (0.038)	0.082** (0.037)	0.079* (0.044)
Effect on CC in Top Quintile	0.023 (0.035)	0.018 (0.035)	0.059** (0.029)	-0.031 (0.025)	-0.026 (0.025)	0.051* (0.029)	-0.071** (0.035)	0.143*** (0.048)	0.094** (0.037)	0.089** (0.037)	0.094** (0.044)
Effect on PC in Bottom Quintile	0.031 (0.035)	0.051 (0.035)	0.004 (0.028)	-0.006 (0.024)	0.004 (0.024)	-0.003 (0.028)	-0.005 (0.033)	0.062 (0.046)	-0.016 (0.034)	-0.010 (0.035)	0.021 (0.042)
Effect on PC in 20 th - 40 th Quintile	0.037 (0.035)	0.062* (0.035)	0.004 (0.028)	-0.018 (0.024)	0.005 (0.024)	0.0018 (0.028)	-0.005 (0.034)	0.056 (0.046)	-0.004 (0.035)	-0.004 (0.035)	0.019 (0.042)
Effect on PC in 40 th - 60 th Quintile	0.047 (0.035)	0.084** (0.035)	0.021 (0.028)	-0.016 (0.024)	-0.014 (0.024)	0.024 (0.028)	-0.011 (0.034)	0.081* (0.046)	0.026 (0.035)	0.014 (0.035)	0.037 (0.042)
Effect on PC in 60 th - 80 th Quintile	0.072** (0.035)	0.094*** (0.035)	0.025 (0.028)	-0.021 (0.024)	-0.018 (0.024)	0.041 (0.028)	-0.028 (0.033)	0.109** (0.046)	0.032 (0.034)	0.029 (0.035)	0.051 (0.042)
Effect on PC in Top Quintile	0.087** (0.035)	0.092*** (0.035)	0.036 (0.028)	-0.020 (0.024)	-0.015 (0.024)	0.038 (0.028)	-0.035 (0.034)	0.116** (0.046)	0.044 (0.035)	0.039 (0.035)	0.068 (0.042)

Notes: Number of Obs: 40,113. Standard errors robust to clustering by school are in parentheses, ***p<0.01, **p<0.05, *p<0.10. Student covariates, quadratic of student lagged performance, cohort fixed effects and elementary school fixed effects are included.

(a) Distance to School is the Euclidean distance (in miles) between the centroid of a student's assigned zip code and the school building.

(b) ELA and Math are the lagged (prior-grade) performance for each student's peers in eighth grade normalized at the year-grade-level for the schools in our sample.

(c) Value-added measure capture the school's contribution to a student's test scores controlling for differences across schools and a student's prior test scores. See Appendix A for a discussion of the value-added model used in this paper.

(d) Students are broken into bins by their fifth-grade mathematics performance. Estimates presented reflect the linear combination of the treatment indicator and each quintile interaction term.

Table 7: Effect of Closure and Phaseout on Student-Level Performance

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Avg. 6 th Grade Math ^a	Avg. 7 th Grade Math ^a	Avg. 8 th Grade Math ^a	Avg. 6 th Grade ELA ^a	Avg. 7 th Grade ELA ^a	Avg. 8 th Grade ELA ^a	6 th Grade Absentee Rates	7 th Grade Absentee Rates	8 th Grade Absentee Rates
Average Effect on Closure Cohort (CC)	0.033 (0.036)	0.029 (0.036)	0.021 (0.036)	0.030 (0.034)	0.030 (0.035)	0.021 (0.035)	0.022 (0.020)	0.020 (0.020)	0.021 (0.021)
Average Effect on Phaseout Cohort (PC)	-0.010 (0.035)	-0.043 (0.035)	-0.059* (0.036)	-0.013 (0.034)	-0.031 (0.034)	-0.048 (0.034)	0.021 (0.020)	0.039* (0.020)	0.044** (0.021)
Effects by Fifth-Grade Performance ^b									
Effect on CC Lowest Quintile	-0.021 (0.038)	-0.024 (0.038)	-0.019 (0.038)	-0.016 (0.037)	-0.013 (0.037)	-0.018 (0.037)	0.042 (0.031)	0.031 (0.031)	0.034 (0.031)
Effect on CC in 20 th - 40 th Quintile	0.009 (0.038)	0.014 (0.038)	0.015 (0.038)	0.014 (0.037)	0.016 (0.037)	0.011 (0.037)	0.031 (0.031)	0.030 (0.031)	0.032 (0.031)
Effect on CC in 40 th - 60 th Quintile	0.034 (0.038)	0.020 (0.038)	0.014 (0.038)	0.024 (0.037)	0.031 (0.037)	0.025 (0.037)	0.029 (0.031)	0.024 (0.031)	0.025 (0.031)
Effect on CC in 60 th - 80 th Quintile	0.064* (0.038)	0.058 (0.038)	0.056 (0.038)	0.058 (0.037)	0.054 (0.037)	0.048 (0.037)	0.006 (0.031)	0.013 (0.031)	0.010 (0.031)
Effect on CC in Top Quintile	0.081** (0.038)	0.077** (0.038)	0.076** (0.038)	0.067* (0.037)	0.068* (0.037)	0.062* (0.037)	0.002 (0.031)	0.004 (0.031)	0.004 (0.031)
Effect on PC in Bottom Quintile	-0.034 (0.037)	-0.064* (0.037)	-0.081** (0.037)	-0.031 (0.037)	-0.048 (0.037)	-0.071* (0.037)	0.031 (0.031)	0.052 (0.031)	0.067** (0.031)
Effect on PC in 20 th - 40 th Quintile	-0.022 (0.037)	-0.058 (0.037)	-0.072* (0.037)	-0.021 (0.037)	-0.041 (0.037)	-0.057 (0.037)	0.025 (0.031)	0.044 (0.031)	0.051* (0.031)
Effect on PC in 40 th - 60 th Quintile	-0.014 (0.037)	-0.047 (0.037)	-0.067* (0.038)	-0.014 (0.037)	-0.034 (0.037)	-0.055 (0.037)	0.018 (0.031)	0.037 (0.031)	0.044 (0.031)
Effect on PC in 60 th - 80 th Quintile	0.009 (0.037)	-0.036 (0.037)	-0.058 (0.038)	-0.005 (0.037)	-0.014 (0.037)	-0.034 (0.037)	0.011 (0.031)	0.034 (0.031)	0.031 (0.031)
Effect on PC in Top Quintile	0.010 (0.037)	-0.011 (0.037)	-0.016 (0.038)	0.006 (0.037)	-0.018 (0.037)	-0.022 (0.037)	0.014 (0.031)	0.028 (0.031)	0.021 (0.031)

Notes: Number of Obs: 40,227 for sixth-grade outcomes, 40,174 for seventh-grade outcomes, and 40,113 for eighth-grade outcomes. Standard errors robust to clustering by school are in parentheses, ***p<0.01, **p<0.05, *p<0.10. Student covariates, quadratic of student lagged performance, and cohort and elementary school fixed effects are included.

(a) ELA and Math are normalized at the city-grade-year level.

(b) Estimates presented reflect the linear combination of the treatment indicator and each quintile interaction term. For columns 1-3, 7 & 8 quintiles are defined by 5th grade math scores and for columns 4-6 they are defined by 5th grade ELA scores.

Table 8: Estimated Spillover Effects on Students in Schools Attended by Phaseout and Closure Students, AY 2004-05 to AY 2013-2014

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Avg. 6 th Grade Math	Avg. 6 th Grade Math	Avg. 7 th Grade Math	Avg. 8 th Grade ELA	Avg. 7 th Grade ELA	Avg. 8 th Grade ELA ^a	6 th Grade Absentee Rates	7 th Grade Absentee Rates	8 th Grade Absentee Rates
Member of Closure Cohort	-0.012	-0.008	-0.009	-0.017	-0.018	-0.020	0.004	-0.001	-0.002
	(0.013)	(0.013)	(0.013)	(0.012)	(0.013)	(0.013)	(0.008)	(0.008)	(0.008)
Proportion of Peers in Closure Cohort	-0.003	0.003	0.003	-0.004	-0.002	0.006	-0.001	0.002	-0.003
	(0.007)	(0.007)	(0.007)	(0.007)	(0.007)	(0.007)	(0.008)	(0.008)	(0.008)
Member of Phaseout Cohort		-0.032***	-0.046***		-0.026**	-0.032***		0.024**	0.031*
		(0.011)	(0.012)		(0.011)	(0.011)		(0.012)	(0.018)
Proportion of Peers in Phaseout Cohort		-0.004	-0.006		-0.003	-0.007		0.002	0.001
		(0.008)	(0.008)		(0.008)	(0.008)		(0.012)	(0.012)
Year-Fixed Effects	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
School-Fixed Effects	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
School-Grade Time Trend	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Obs	1,572,307	2,109,896	2,111,902	1,572,307	2,109,896	2,111,902	1,572,307	2,109,896	2,111,902

Notes: Standard errors robust to clustering by school are in parentheses, ***p<0.01, **p<0.05, *p<0.10. This model includes all schools that phaseout and/or closure students attended rather than their closed school or to which the student transferred following a closure announcement.

Table 9: Effect of Closure Using for Full Predicted-Closure Cohort (CC) Sample, by Student Performance Decile

Sixth Grade School Environment									
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Percent White	Percent Black	Percent Hispanic	Percent Asian	Percent FRPL Eligible	Percent from Previous Grade	Distance Traveled School	Average Grade Math Score	Average Value Added
Effect on CC Lowest Quintile	-0.012 (0.030)	0.006 (0.025)	0.014 (0.025)	-0.011 (0.031)	0.012 (0.035)	-0.011 (0.023)	0.032 (0.049)	-0.015 (0.038)	0.010 (0.043)
Effect on CC in 20 th - 40 th Quintile	0.008 (0.030)	-0.004 (0.025)	0.000 (0.025)	0.004 (0.031)	-0.009 (0.035)	-0.020 (0.023)	0.040 (0.049)	0.010 (0.038)	0.018 (0.043)
Effect on CC in 40 th - 60 th Quintile	0.018 (0.030)	-0.009 (0.025)	-0.008 (0.025)	0.012 (0.031)	-0.024 (0.035)	-0.027 (0.023)	0.082* (0.049)	0.028 (0.038)	0.045 (0.043)
Effect on CC in 60 th - 80 th Quintile	0.048 (0.031)	-0.015 (0.025)	-0.013 (0.025)	0.018 (0.031)	-0.034 (0.035)	-0.044* (0.023)	0.100** (0.049)	0.063* (0.038)	0.072* (0.043)
Effect on CC in Top Quintile	0.063** (0.030)	-0.018 (0.025)	-0.017 (0.025)	0.038 (0.031)	-0.049 (0.035)	-0.062** (0.023)	0.132*** (0.049)	0.077** (0.038)	0.094** (0.043)
Student Outcomes in Sixth, Seventh, and Eighth-Grade									
	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)
	6 th Grade Math	7 th Grade Math	8 th Grade Math	6 th Grade ELA	7 th Grade ELA	8 th Grade ELA	6 th Absentee Rates	7 th Absentee Rates	8 th Absentee Rates
Effect on CC Lowest Quintile	-0.018 (0.038)	-0.016 (0.038)	-0.013 (0.039)	-0.013 (0.038)	-0.012 (0.038)	-0.014 (0.038)	0.020 (0.033)	0.019 (0.033)	0.019 (0.033)
Effect on CC in 20 th - 40 th Quintile	0.015 (0.038)	0.014 (0.039)	0.015 (0.039)	0.002 (0.038)	0.004 (0.038)	-0.002 (0.038)	0.012 (0.033)	0.011 (0.033)	0.012 (0.033)
Effect on CC in 40 th - 60 th Quintile	0.042 (0.038)	0.031 (0.038)	0.030 (0.039)	0.040 (0.038)	0.038 (0.038)	0.036 (0.038)	0.010 (0.033)	0.007 (0.033)	0.005 (0.033)
Effect on CC in 60 th - 80 th Quintile	0.055 (0.038)	0.046 (0.039)	0.044 (0.039)	0.042 (0.038)	0.042 (0.038)	0.044 (0.038)	0.005 (0.033)	0.003 (0.032)	0.003 (0.033)
Effect on CC in Top Quintile	0.078** (0.039)	0.072* (0.039)	0.070* (0.039)	0.066* (0.038)	0.066* (0.039)	0.065* (0.039)	0.000 (0.033)	-0.001 (0.033)	0.004 (0.033)

Notes: CC refers to closure cohort. Number of Obs: 38,114 for sixth-grade outcomes, 38,062 for seventh-grade outcomes, and 38,016 eighth-grade outcomes. Clustered by School Robust Standard Errors in Parentheses, ***p<0.01, **p<0.05, *p<0.10. Standard errors robust to clustering by school are in parentheses, ***p<0.01, **p<0.05, *p<0.10. Student covariates, quadratics of lagged student outcomes, cohort fixed effects and elementary school fixed effects are included. See Tables 5 and 7 for a description of outcome measures.

Table 10: Exploring if School Quality Moderates the Effect of Closure

		(1)	(2)	(3)	(4)	
		Eight-Grade Math		Eight-Grade ELA		
		Sorting to an Above Average Value-Added School	Sorting to a Below Average Value-Added School	Sorting to an Above Average Value-Added School	Sorting to a Below Average Value-Added School	Percent of Students Sorting to Above Average VA Schools
Bottom Quintile - Closure	-	0.005 (0.042)	-0.012 (0.042)	0.004 (0.042)	-0.016 (0.042)	11%
20 th - 40 th Quintile - Closure	-	0.022 (0.042)	0.011 (0.042)	0.029 (0.042)	0.008 (0.042)	14%
40 th - 60 th Quintile - Closure	-	0.039 (0.042)	0.012 (0.042)	0.037 (0.042)	0.017 (0.042)	20%
60 th - 80 th Quintile - Closure	-	0.084** (0.042)	0.052 (0.042)	0.072* (0.042)	0.041 (0.042)	22%
Top Quintile - Closure	-	0.114*** (0.042)	0.063 (0.042)	0.091** (0.042)	0.052 (0.042)	31%
Bottom Quintile Phaseout	-	-0.064 (0.042)	-0.092** (0.042)	-0.058 (0.042)	-0.080** (0.042)	8%
20 th - 40 th Quintile Phaseout	-	-0.062 (0.042)	-0.080* (0.042)	-0.047 (0.042)	-0.063 (0.042)	10%
40 th - 60 th Quintile Phaseout	-	-0.037 (0.042)	-0.071* (0.042)	-0.030 (0.042)	-0.061 (0.042)	12%
60 th - 80 th Quintile Phaseout	-	-0.033 (0.042)	-0.062 (0.042)	-0.019 (0.042)	-0.038 (0.042)	14%
Top Quintile Phaseout	-	0.009 (0.042)	-0.024 (0.042)	0.012 (0.042)	-0.033 (0.042)	24%

Notes: Standard errors robust to clustering by school are in parentheses, ***p<0.01, **p<0.05, *p<0.10. This table includes five separate regressions, each regression is run separately for students in each quintile bin. Rows 1 and 6, rows 2 and 7, rows 3 and 8, rows 4 and 9, and rows 5 and 10 are each from separate regressions, respectively. Student covariates, cohort fixed effects, and elementary school fixed effects are included. Quintile bins are based on fifth-grade Math test scores.

Appendix A: Identification of Predictor School Cohorts

To identify the covariates, the interactions among the covariates, and the higher-order terms to include in each school-level propensity score model, we follow the Imbens and Rubin (2015) step-wise procedure. We use logistic regression models where the log odds of attending a closed school is modeled as a function of student characteristics, previous performance, and residential location. The coefficients are estimated by maximum likelihood.

We begin by specifying a baseline model that contains zip-code fixed effects, a fixed-effect for the school each student attended in fifth-grade, and a vector of student-level, pre-treatment baseline covariates (K_b) including: normalized Math and English Language Arts (ELA) scores for fourth and fifth grade, ethnicity (indicator variables for black, Hispanic, Asian, and other where the omitted reference group is white students), and a free-and-reduced-price-lunch eligible (FRPL) flag. These covariates are included in all models.

Next, we add the following linear variables to this baseline model one at a time in a step-wise fashion: sex, absentee rate, an English language learner (ELL) flag, a flag if the student speaks Spanish at home, a flag if the student has a mental disability, a flag if the student has autism, a flag if the student as a physical disability, a flag for any other disability, and a flag to indicate that the student as chronically poor, i.e. FRPL-eligible each year from first grade through fifth grade (Micheltore and Dynarski, 2016). All missing values are imputed at city-grade-year averages, and for each covariate included in the model a dummy variable indicating or not the value of the variable was imputed is also included.

Once a variable (and it missing flag) is added, which occurs *one at a time*, we compare this model to the baseline model (the logistic with K_b covariates included) using a likelihood ration statistics with a critical value of 1 (corresponding implicitly to z-statistics of 1). If the added variable contributes a sufficient amount of information to the model (determined by the LR test), the covariate is included in the model. We continue this process iteratively until none of the remaining LR tests statistics for any of the additional variables exceed

the established test statistics. This results in a new set of linear covariates (K_A), which are included in each model together with the K_B coefficients.

Once the algorithm identifies the set of linear covariates (K_A covariates) that contribute sufficient information to cross this threshold, we repeat this process adding all pairwise interactions of K_A and K_B covariates one at a time and include all interaction terms that contribute a sufficient amount of information. Lastly, we include the following second order terms—squared normalized ELA for grades three through five, squared normalized Math for grades three through five, and squared absentee rate for grades three through five. These terms are included separately and interacted with the other linear variables in the model. To reduce model complexity and following the recommendations of Imbens and Rubin (2015), we use likelihood ratio statistics for these quadratic terms and their interactions of 2.71 (corresponding implicitly to z-statistics of 1.645).

Estimates of this model together with the information we have on students allows us to compute a predicted probability that a student will (or would have) attended school s , regardless of what year the student enters sixth grade. We estimate equation (1) separately for each school and thus we generate for each student entering sixth grade a different predicted probability for each of the schools that closed. These predicted probabilities, allow us to use a nearest-neighbor matching strategy to select students for the predicted-cohort that we associate with each school.

More specifically, to select the predicted cohort for school s , we begin with all of the students who enter sixth grade at school s either one year or two years prior to the initiation of phaseout at school s . For each of these students, we identify the student in the sample of students entering sixth grade during that first phaseout year (who are fifth graders the year closure is announced) whose estimated probability of having

attended the school s , p_{is} , is nearest to those students in the last year of student entering the school (the last year cohort in each school). This matching is done with replacement.

Appendix B: Value-Added Model

Increasingly, scholars and policymakers have used value-added measures, to evaluate how a school contributes to a student's academic trajectory. By controlling for student and school-level characteristics, a value-added model reflects a school's performance relative to other schools with similar circumstances. We follow the one-step value-added model specified by Ehlert et al. (2012).²⁸

$$Y_{ijst} = \beta_0 + \beta_1 Y_{i,j=math,s,t-1} + \beta_2 Y_{i,j=ela,s,t-1} + \gamma \mathbf{X}_{it} + \delta \mathbf{S}_{it} + \theta_s + \varepsilon_{ijst}$$

Where Y_{ijst} is our standardized performance for student i in subject j (j =ELA or Math) in school s in year t ; $Y_{i,j=math,s,t-1}$ is lagged math score and $Y_{i,j=ela,s,t-1}$ is lagged ELA score for student i , \mathbf{X}_{it} is a vector of student-level characteristics, that includes an indicator variable if the student eligible for free-and-reduced price lunch, if they are an ELL student, their race and gender, and a flag if the student has an official disability. We include a school-level aggregate of student-level variables (\mathbf{S}_{it}), which controls for schooling-environment factors that are beyond the control of school personnel and administration. We lastly include a vector school fixed-effects (θ_s), which we back out as our school-specific value-added measures, and an error term (ε_{ijst}).

²⁸ Ehlert, Mark, Corry Koedel, Eric Parson, and Michael Podgursky. 2012. "Selection Growth Models for Schools and Teacher Evaluations." *National Center for Analysis of Longitudinal Data in Education (CALDER) Working Paper*, No. 80. Mark Ehlert, Cory Koedel, Eric Parsons & Michael J. Podgursky. 2014. The Sensitivity of Value-Added Estimates to Specification Adjustments: Evidence From School- and Teacher-Level Models in Missouri, *Statistics and Public Policy*, 1:1, 19-27,

Figure 1A: Closures in New York City

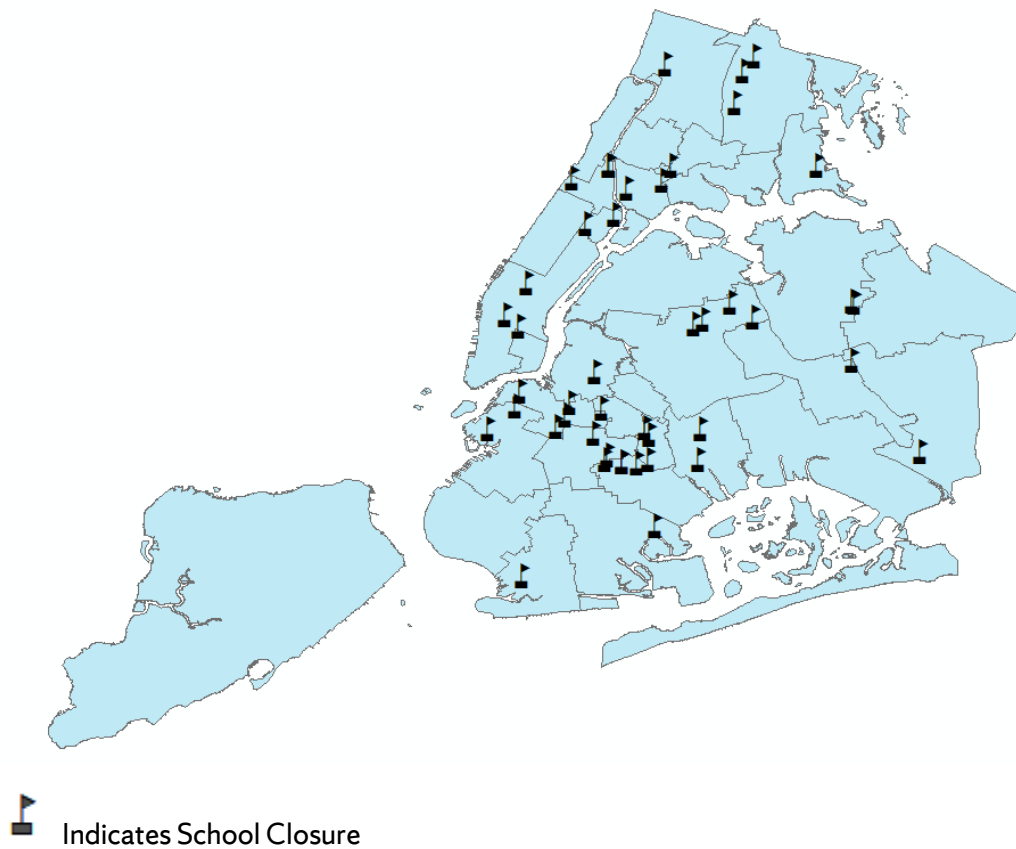
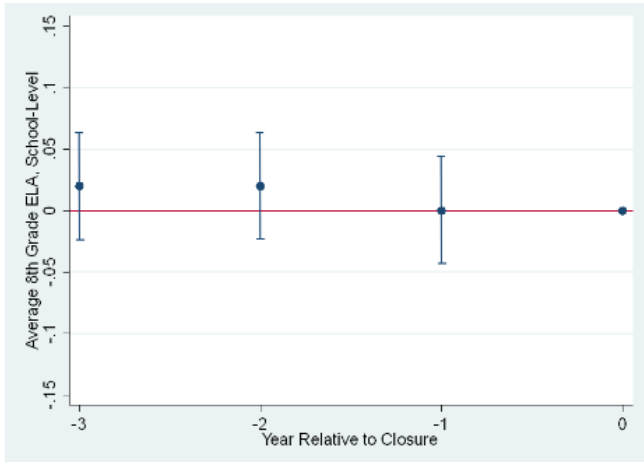


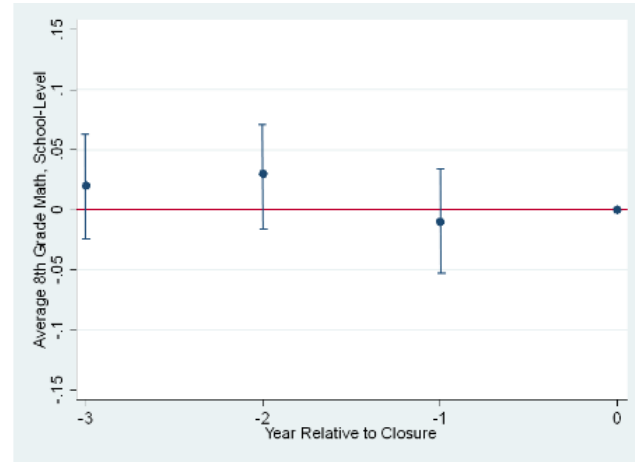
Figure A2: Event Study Result for Key Eight-Grade Outcomes for Eight-Grade Phaseout Cohorts

School-Level Outcomes

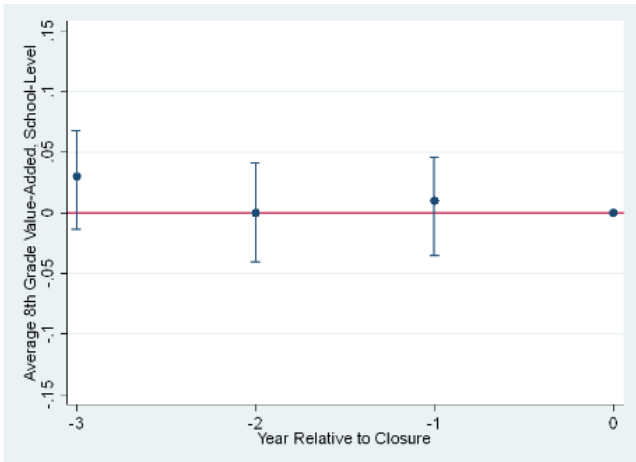
School-Level ELA



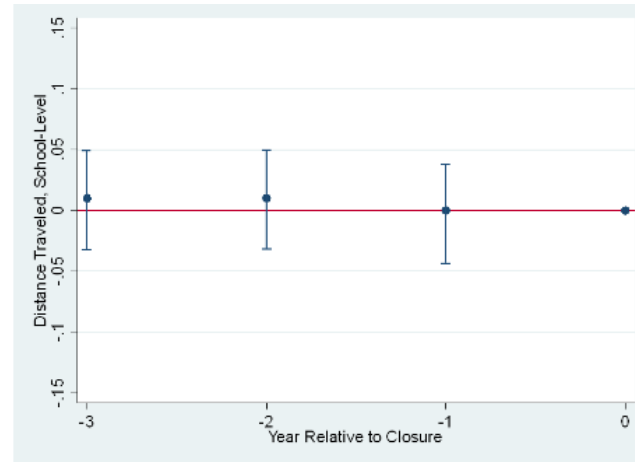
School-Level Math



School-Level Value Added

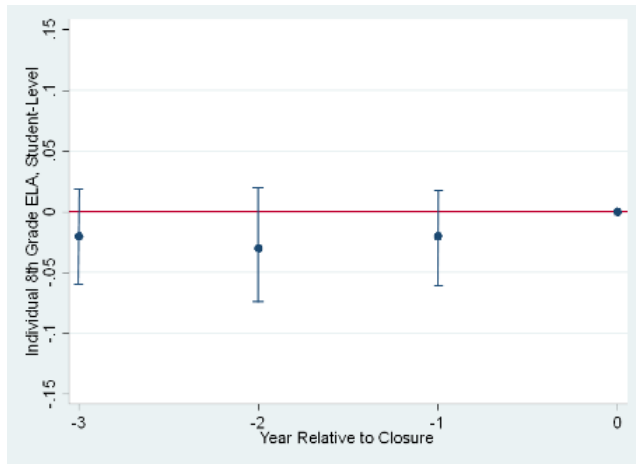


School-Level Distance Traveled

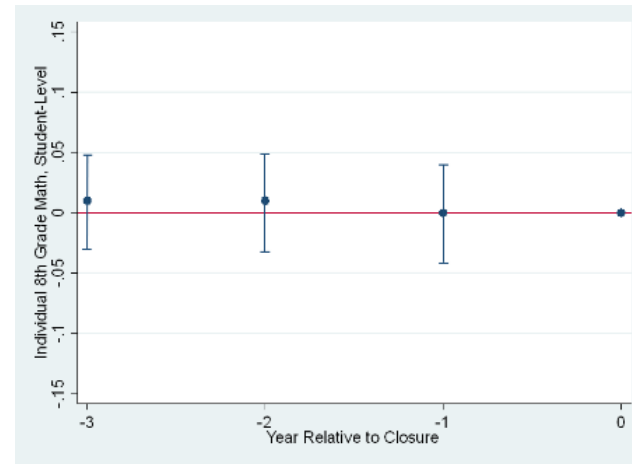


Student-Level Outcomes

Student-Level ELA



Student-Level Math



Student-Level Absentee Rates

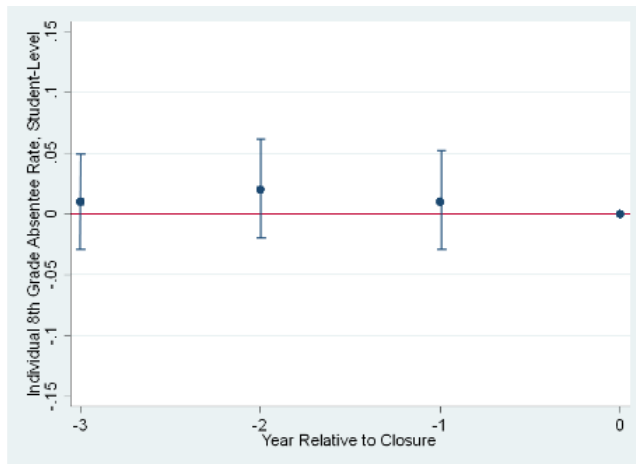


Table A1: Options Available for Students by Community School District, AY 2007-08

CSD	# of options for Students ^A	# of options that use academics as an admission priority	# of options with value-added above city average	# of options with value-added above city academics as admission priority	Percent more options available for high-performing students ^B	Percent more options rated A or B available to high-performing students ^C
Average	35	15	19	13	77%	217%
1	32	14	17	13	78%	325%
2	34	17	19	14	100%	280%
3	35	16	20	14	84%	233%
4	31	13	15	11	72%	275%
5	27	13	15	12	93%	400%
6	33	13	17	12	65%	240%
7	39	16	18	12	70%	200%
8	34	14	22	11	70%	100%
9	36	17	19	15	89%	375%
10	39	17	22	15	77%	214%
11	33	15	17	14	83%	467%
12	37	15	22	12	68%	120%
13	39	15	19	13	63%	217%
14	35	15	17	13	75%	325%
15	39	19	22	15	95%	214%
17	41	17	22	15	71%	214%
18	35	15	17	14	75%	467%
19	39	16	22	12	70%	120%
23	47	15	21	12	47%	133%
27	29	13	15	11	82%	275%
29	30	14	15	12	88%	400%
32	29	13	15	11	81%	275%

(A): These options include the student's zoned school, all un-zoned options within the student's community school district, the borough-wide options available to the student, and the city-wide options available to students in the 2007-08 academic year. (B) This percentage is the total number of options that use academic admission criteria (column 3) divided the number of schools that do not use academic admission criteria (column 2-column 3). (C) This percentage is the number of above average value-added schools that use academic admission criteria (column 5) divided by the number of above average value-added options that do not use academic admission criteria (column 4 - column 5).

Table A2: Effect of Closure and Phaseout on Seventh-Grade School Environment

	(1)	(2)	(3)	(4)	(6)	(7)	(8)	(9)	(10)
	Percent White	Percent Black	Percent Hispanic	Percent Asian	Percent FRPL Eligible	Distance to School ^b	School Math ^c	School ELA ^c	School Value Added ^d
Average Effect on Closure Cohort (CC)	0.035 (0.020)	-0.014 (0.019)	-0.010 (0.019)	0.022 (0.020)	-0.046 (0.029)	0.011 (0.047)	0.063* (0.035)	0.060* (0.035)	0.075* (0.042)
Average Effect on Phaseout Cohort (PC)	0.008 (0.020)	-0.010 (0.018)	-0.009 (0.018)	0.008 (0.020)	0.005 (0.029)	0.075 (0.046)	0.009 (0.035)	0.008 (0.036)	0.010 (0.043)
Effects by Quintile of Fifth-Grade Mathematics Score ^e									
Effect on CC Lowest Quintile	-0.009 (0.030)	0.001 (0.027)	0.004 (0.027)	-0.002 (0.030)	-0.029 (0.035)	0.078 (0.048)	0.024 (0.037)	0.022 (0.038)	0.044 (0.046)
Effect on CC in 20 th - 40 th Quintile	0.013 (0.029)	-0.006 (0.027)	-0.005 (0.027)	0.008 (0.029)	-0.034 (0.035)	0.084* (0.049)	0.040 (0.037)	0.040 (0.037)	0.061 (0.045)
Effect on CC in 40 th - 60 th Quintile	0.044 (0.030)	-0.013 (0.027)	-0.007 (0.027)	0.018 (0.030)	-0.049 (0.035)	0.101** (0.049)	0.058 (0.038)	0.054 (0.038)	0.077* (0.045)
Effect on CC in 60 th - 80 th Quintile	0.058** (0.029)	-0.020 (0.027)	-0.011 (0.027)	0.038 (0.030)	-0.048 (0.035)	0.131** (0.049)	0.086** (0.038)	0.084** (0.038)	0.076* (0.046)
Effect on CC in Top Quintile	0.069** (0.030)	-0.032 (0.027)	-0.031 (0.027)	0.044 (0.030)	-0.069 (0.035)	0.141*** (0.048)	0.096** (0.038)	0.090** (0.038)	0.102** (0.046)
Effect on PC in Bottom Quintile	-0.004 (0.028)	0.005 (0.024)	-0.010 (0.024)	0.001 (0.027)	0.018 (0.035)	0.051 (0.048)	-0.020 (0.038)	-0.018 (0.038)	-0.016 (0.046)
Effect on PC in 20 th - 40 th Quintile	-0.003 (0.027)	-0.004 (0.024)	0.006 (0.024)	0.006 (0.028)	0.019 (0.035)	0.062 (0.048)	-0.006 (0.037)	-0.001 (0.037)	0.001 (0.046)
Effect on PC in 40 th - 60 th Quintile	0.008 (0.028)	-0.008 (0.024)	0.004 (0.024)	0.004 (0.028)	0.011 (0.035)	0.068 (0.048)	0.018 (0.037)	0.014 (0.038)	0.015 (0.046)
Effect on PC in 60 th - 80 th Quintile	0.014 (0.028)	-0.018 (0.024)	0.010 (0.023)	0.010 (0.027)	-0.004 (0.035)	0.091* (0.049)	0.024 (0.038)	0.017 (0.038)	0.021 (0.046)
Effect on PC in Top Quintile	0.025 (0.027)	-0.024 (0.024)	0.019 (0.024)	0.019 (0.027)	-0.019 (0.035)	0.101** (0.049)	0.031 (0.037)	0.029 (0.038)	0.034 (0.046)

Notes: Number of Obs: 40,174. Standard errors robust to clustering by school are in parentheses, ***p<0.01, **p<0.05, *p<0.10. Student covariates, quadratics of student performance, and cohort fixed effects and elementary school fixed effects are included.

(a) Percent from previous grade are the percent of students from each students fifth-grade school that also attend the same school with the student in sixth-grade.

(b) Distance to School is the Euclidean distance (in miles) between the centroid of a student's assigned zip code and the school building.

(c) ELA and Math are the lagged (prior-grade) performance for each student's peers in seventh grade normalized at the year-grade-level for the schools in our sample.

(d) Value-added measure captures the school's contribution to a student's test scores controlling for differences across schools and a student's prior test scores. See Appendix A for a discussion of the value-added model used in this paper.

(e) Estimates presented reflect the linear combination of the treatment indicator and each quintile interaction term.

Table A3: Re-estimating Middle School Outcomes with the 130 Students with the Highest Probability of Attending a Closed School

	(1)	(2)	(3)	(4)	(5)	(6)
	Avg. 6 th Grade Math	Avg. 7 th Grade Math	Avg. 8 th Grade Math	Avg. 6 th Grade ELA	Avg. 7 th Grade ELA	Avg. 8 th Grade ELA
Average Effect on Closure Cohort (CC)	0.028 (0.032)	0.019 (0.032)	0.017 (0.032)	0.024 (0.032)	0.015 (0.032)	0.021 (0.032)
Average Effect on Phaseout Cohort (PC)	-0.011 (0.032)	-0.037 (0.032)	-0.058* (0.033)	-0.013 (0.029)	-0.027 (0.030)	-0.036 (0.030)
Effects by Fifth-Grade Mathematics Performance						
Effect on CC Lowest Quintile	-0.024 (0.036)	-0.023 (0.036)	-0.036 (0.037)	-0.015 (0.035)	-0.013 (0.035)	-0.011 (0.036)
Effect on CC in 20 th - 40 th Quintile	-0.011 (0.036)	-0.012 (0.036)	-0.004 (0.037)	-0.002 (0.035)	-0.005 (0.036)	0.002 (0.036)
Effect on CC in 40 th - 60 th Quintile	0.009 (0.036)	0.005 (0.037)	0.011 (0.037)	0.019 (0.035)	0.022 (0.035)	0.018 (0.035)
Effect on CC in 60 th - 80 th Quintile	0.051 (0.036)	0.048 (0.036)	0.056 (0.037)	0.055 (0.035)	0.048 (0.036)	0.045 (0.036)
Effect on CC in Top Quintile	0.072** (0.036)	0.070** (0.037)	0.062* (0.037)	0.063* (0.036)	0.062* (0.036)	0.060* (0.036)
Effect on PC in Bottom Quintile	-0.026 (0.034)	-0.053 (0.034)	-0.071** (0.034)	-0.028 (0.035)	-0.048 (0.035)	-0.066* (0.035)
Effect on PC in 20 th - 40 th Quintile	-0.021 (0.033)	-0.044 (0.034)	-0.068* (0.035)	-0.021 (0.035)	-0.038 (0.036)	-0.058 (0.036)
Effect on PC in 40 th - 60 th Quintile	-0.011 (0.034)	-0.038 (0.034)	-0.055 (0.035)	-0.011 (0.035)	-0.034 (0.035)	-0.051 (0.036)
Effect on PC in 60 th - 80 th Quintile	0.011 (0.033)	0.009 (0.034)	-0.028 (0.034)	-0.003 (0.035)	-0.011 (0.035)	-0.014 (0.036)
Effect on PC in Top Quintile	0.002 (0.033)	0.007 (0.034)	0.009 (0.035)	0.003 (0.035)	0.005 (0.036)	0.012 (0.036)
Obs	40,708	40,659	40,644	40,708	40,659	40,644

Notes: Standard errors robust to clustering by school are in parentheses, ***p<0.01, **p<0.05, *p<0.10.

Table A4: Event Study Table when estimating the Model for Predicted Future Closure Cohorts

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Avg. 6 th Grade Math	Avg. 7 th Grade Math	Avg. 8 th Grade Math	Avg. 6 th Grade ELA ^a	Avg. 7 th Grade ELA ^a	Avg. 8 th Grade ELA ^a	6 th Grade Absentee Rates	7 th Grade Absentee Rates	8 th Grade Absentee Rates
Closure (Lowest Quintile) in T-3	0.006 (0.047)	-0.009 (0.047)	-0.007 (0.048)	0.003 (0.047)	0.002 (0.047)	0.004 (0.047)	0.002 (0.039)	0.001 (0.040)	0.001 (0.040)
Closure (Lowest Quintile) in T-2	0.002 (0.047)	-0.005 (0.047)	0.004 (0.047)	0.009 (0.046)	0.004 (0.046)	-0.006 (0.047)	-0.004 (0.039)	-0.006 (0.040)	-0.005 (0.040)
Closure (Lowest Quintile) in T-1	-0.009 (0.047)	-0.010 (0.047)	-0.014 (0.048)	-0.008 (0.046)	0.000 (0.046)	-0.001 (0.047)	-0.006 (0.039)	0.003 (0.041)	-0.004 (0.040)
Closure (Lowest Quintile) in T	-0.022 (0.047)	-0.025 (0.048)	-0.026 (0.048)	-0.020 (0.047)	-0.019 (0.047)	-0.019 (0.047)	0.037 (0.039)	0.033 (0.040)	0.033 (0.040)
Closure (Lowest Quintile) in T+1	-0.025 (0.047)	-0.030 (0.047)	-0.031 (0.048)	-0.024 (0.046)	-0.021 (0.047)	-0.021 (0.047)	0.038 (0.040)	0.035 (0.040)	0.033 (0.040)
Closure (Lowest Quintile) in T+2	-0.014 (0.047)	-0.012 (0.048)	-0.019 (0.048)	-0.016 (0.046)	-0.013 (0.047)	-0.011 (0.047)	0.031 (0.039)	0.024 (0.040)	0.025 (0.040)
Closure (20 th - 40 th) in T-3	-0.000 (0.047)	-0.005 (0.047)	-0.000 (0.047)	0.003 (0.046)	0.000 (0.046)	-0.004 (0.047)	0.005 (0.039)	0.002 (0.040)	0.004 (0.040)
Closure (20 th - 40 th) in T-2	0.008 (0.047)	-0.008 (0.047)	-0.001 (0.047)	-0.003 (0.047)	-0.004 (0.047)	-0.004 (0.047)	0.005 (0.039)	-0.001 (0.040)	0.004 (0.040)
Closure (20 th - 40 th) in T-1	0.012 (0.047)	-0.008 (0.047)	-0.000 (0.048)	0.004 (0.047)	-0.001 (0.047)	-0.004 (0.047)	0.002 (0.040)	0.002 (0.040)	0.000 (0.040)
Closure (20 th - 40 th) in T	-0.008 (0.047)	-0.007 (0.047)	-0.008 (0.048)	-0.007 (0.047)	-0.008 (0.047)	-0.010 (0.047)	0.021 (0.041)	0.028 (0.041)	0.024 (0.040)
Closure (20 th - 40 th) in T+1	-0.004 (0.047)	-0.008 (0.047)	-0.007 (0.047)	-0.008 (0.047)	-0.008 (0.047)	-0.007 (0.047)	0.020 (0.040)	0.022 (0.040)	0.018 (0.040)
Closure (20 th - 40 th) in T+2	0.000 (0.047)	-0.004 (0.047)	-0.008 (0.048)	-0.008 (0.046)	-0.009 (0.046)	0.000 (0.047)	0.020 (0.040)	0.022 (0.040)	0.020 (0.040)
Closure (40 th - 60 th) in T-3	0.001 (0.047)	-0.002 (0.047)	0.002 (0.048)	0.001 (0.046)	0.000 (0.046)	-0.002 (0.047)	-0.001 (0.040)	0.001 (0.040)	-0.008 (0.040)
Closure (40 th - 60 th) in T-2	0.005 (0.047)	0.004 (0.047)	-0.001 (0.048)	0.004 (0.046)	0.002 (0.046)	0.005 (0.047)	0.000 (0.040)	-0.002 (0.040)	0.001 (0.040)
Closure (40 th - 60 th) in T-1	0.005 (0.047)	0.005 (0.047)	-0.001 (0.048)	-0.002 (0.046)	-0.001 (0.046)	0.008 (0.047)	-0.002 (0.040)	0.001 (0.041)	-0.001 (0.040)
Closure (40 th - 60 th) in T	0.009 (0.047)	0.011 (0.047)	0.011 (0.048)	0.008 (0.046)	0.009 (0.047)	0.010 (0.047)	0.021 (0.040)	0.020 (0.040)	0.018 (0.040)
Closure (40 th - 60 th) in T+1	0.011 (0.047)	0.013 (0.047)	0.012 (0.048)	0.011 (0.047)	0.008 (0.047)	0.007 (0.047)	0.022 (0.039)	0.016 (0.040)	0.019 (0.040)

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	(1) Avg. 6 th Grade Math	(2) Avg. 7 th Grade Math	(3) Avg. 8 th Grade Math	(4) Avg. 6 th Grade ELA ^a	(5) Avg. 7 th Grade ELA ^a	(6) Avg. 8 th Grade ELA ^a	(7) 6 th Grade Absentee Rates	(8) 7 th Grade Absentee Rates	(9) 8 th Grade Absentee Rates
Closure (40 th - 60 th) in T+2	0.008 (0.047)	0.011 (0.047)	0.011 (0.048)	0.009 (0.047)	0.011 (0.047)	0.007 (0.048)	0.011 (0.041)	0.010 (0.040)	0.010 (0.041)
Closure (60 th - 80 th) in T-3	-0.004 (0.047)	-0.005 (0.047)	0.006 (0.047)	0.004 (0.047)	0.004 (0.047)	0.002 (0.047)	0.004 (0.041)	-0.001 (0.040)	0.002 (0.040)
Closure (60 th - 80 th) in T-2	0.005 (0.047)	0.003 (0.047)	-0.003 (0.047)	-0.004 (0.046)	0.004 (0.047)	-0.003 (0.047)	0.004 (0.040)	-0.004 (0.040)	-0.002 (0.040)
Closure (60 th - 80 th) in T-1	0.004 (0.047)	-0.006 (0.047)	-0.004 (0.048)	0.000 (0.046)	0.005 (0.047)	-0.004 (0.047)	0.003 (0.040)	-0.004 (0.040)	-0.001 (0.040)
Closure (60 th - 80 th) in T	0.064 (0.047)	0.060 (0.047)	0.062 (0.048)	0.044 (0.047)	0.044 (0.047)	0.040 (0.047)	-0.008 (0.040)	-0.010 (0.040)	-0.008 (0.040)
Closure (60 th - 80 th) in T+1	0.058 (0.047)	0.061 (0.047)	0.059 (0.048)	0.040 (0.047)	0.044 (0.047)	0.041 (0.047)	-0.011 (0.040)	-0.011 (0.040)	-0.004 (0.040)
Closure (60 th - 80 th) in T+2	0.055 (0.047)	0.053 (0.047)	0.041 (0.048)	0.035 (0.046)	0.038 (0.047)	0.036 (0.047)	-0.008 (0.040)	-0.008 (0.040)	-0.004 (0.040)
Closure (Top Quintile) in T-3	0.002 (0.047)	-0.002 (0.047)	0.008 (0.048)	-0.003 (0.046)	-0.005 (0.047)	0.004 (0.047)	-0.002 (0.040)	-0.005 (0.040)	-0.001 (0.040)
Closure (Top Quintile) in T-2	-0.002 (0.047)	-0.003 (0.047)	0.004 (0.048)	-0.003 (0.046)	-0.004 (0.046)	0.000 (0.047)	0.002 (0.040)	0.000 (0.040)	0.001 (0.040)
Closure (Top Quintile) in T-1	0.006 (0.047)	0.009 (0.047)	0.005 (0.048)	0.005 (0.046)	0.006 (0.046)	0.005 (0.047)	-0.002 (0.040)	0.001 (0.040)	0.001 (0.040)
Closure (Top Quintile) in T	0.083* (0.047)	0.082* (0.047)	0.083* (0.047)	0.071 (0.047)	0.066 (0.047)	0.067 (0.047)	-0.012 (0.040)	-0.010 (0.040)	-0.011 (0.041)
Closure (Top Quintile) in T+1	0.079* (0.047)	0.083* (0.047)	0.080* (0.048)	0.068 (0.047)	0.068 (0.047)	0.068 (0.047)	-0.010 (0.040)	-0.008 (0.040)	-0.008 (0.040)
Closure (Top Quintile) in T+2	0.081* (0.047)	0.082 (0.047)	0.078 (0.047)	0.070 (0.046)	0.070 (0.046)	0.068 (0.047)	-0.011 (0.040)	-0.008 (0.040)	-0.005 (0.041)

Notes: Number of Obs: 61,087 for sixth-grade outcomes, 60,985 for seventh-grade outcomes, and 60,703 for eighth-grade outcomes. Clustered by School Robust Standard Errors in Parentheses, ***p<0.01, **p<0.05, *p<0.10. Student Covariates, Quadratic of Lagged Test Scores, Cohort Fixed Effects and Elementary School Fixed Effects are included. Columns (1) through (3), and (7) through nine reflect fifth-grade Math performance distribution. Note (a): Columns (4) through (6) reflects fifth-grade ELA performance distribution. Phaseout indicator is included.

Table A5: Estimated Effect of Switching to a Predicted-Cohort on Grade 6 School-Level Outcomes

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
	Percent White	Percent Black	Percent Hispanic	Percent Asian	Percent FRPL Eligible	Percent from Previous Grade	Distance Traveled School	Average Math Score	Average ELA Score	Average Value Added
Average Effect of Closure	0.013	-0.012	-0.010	0.010	-0.010	0.009	0.042	0.016	0.012	0.018
	(0.018)	(0.017)	(0.017)	(0.018)	(0.027)	(0.013)	(0.045)	(0.035)	(0.034)	(0.040)
Effects by Fifth-Grade Mathematics Performance										
Effect on CC Lowest Quintile	-0.002	-0.006	-0.007	0.003	-0.012	0.002	0.034	0.015	0.004	0.014
	(0.023)	(0.021)	(0.021)	(0.025)	(0.032)	(0.018)	(0.048)	(0.039)	(0.038)	(0.044)
Effect on CC in 20 th - 40 th Quintile	0.005	-0.010	-0.008	0.007	-0.011	0.005	0.044	0.016	0.011	0.011
	(0.023)	(0.021)	(0.022)	(0.025)	(0.031)	(0.019)	(0.048)	(0.039)	(0.038)	(0.044)
Effect on CC in 40 th - 60 th Quintile	0.010	-0.007	-0.010	0.009	-0.010	0.011	0.044	0.015	0.014	0.021
	(0.023)	(0.022)	(0.022)	(0.025)	(0.032)	(0.019)	(0.049)	(0.039)	(0.038)	(0.044)
Effect on CC in 60 th - 80 th Quintile	0.012	-0.009	-0.010	0.011	-0.010	0.016	0.047	0.018	0.014	0.020
	(0.024)	(0.021)	(0.022)	(0.024)	(0.031)	(0.019)	(0.049)	(0.039)	(0.038)	(0.044)
Effect on CC in Top Quintile	0.014	-0.011	-0.009	0.012	-0.009	0.016	0.049	0.018	0.011	0.021
	(0.023)	(0.022)	(0.023)	(0.024)	(0.031)	(0.019)	(0.049)	(0.039)	(0.038)	(0.044)

Notes: Number of Obs: 40,227. Standard errors robust to clustering by school are in parentheses, ***p<0.01, **p<0.05, *p<0.10. Student covariates, quadratic lagged student performance, cohort fixed effects and elementary school fixed effects are included. See Table 5 for a description of outcome variables.

Table A6: Estimate Effect of Switching to a Predicted-Cohort on Student-Level Outcomes

	(1)	(2)	(3)
	Sixth-Grade Student-Level Math	Sixth-Grade Student-Level ELA	Sixth-Grade Student-Level Absentee Rates
Average effect on Closure Cohort (CC)	0.014 (0.033)	0.012 (0.031)	-0.004 (0.017)
Effects by Fifth-Grade Mathematics Performance			
Effect on CC Lowest Quintile	0.009 (0.038)	0.006 (0.037)	-0.006 (0.025)
Effect on CC in 20 th - 40 th Quintile	0.014 (0.038)	0.009 (0.037)	-0.006 (0.026)
Effect on CC in 40 th - 60 th Quintile	0.013 (0.038)	0.011 (0.037)	-0.004 (0.025)
Effect on CC in 60 th - 80 th Quintile	0.017 (0.039)	0.016 (0.037)	-0.002 (0.025)
Effect on CC in Top Quintile	0.017 (0.038)	0.018 (0.037)	-0.003 (0.025)

Notes: Number of Obs: 39,602. Standard errors robust to clustering by school are in parentheses, ***p<0.01, **p<0.05, *p<0.10. Student covariates, quadratic of lagged student outcomes, cohort fixed effects and elementary school fixed effects are included. See Table 7 for a description of the outcome measures. For columns 1 and 3, these quintile brackets are based on pre-closure mathematics performance. For column 2 the within quintile effects of closure on closure and phaseout students is based on the pre-closure distribution of ELA test scores.