

Syracuse University  
Maxwell School of Citizenship and Public Affairs  
Global Security & Development Program

## **International Trade and Economic Negotiations**

**IRP/PPA 715-2**

**Fall Term, Academic Year 2018**

Wednesdays  
August 29—December 12  
(No class 09/26 and 11/21)  
6pm—8:40pm

### **Bennett Caplan**

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Office Hours: By appointment

### **Paul J. Fekete**

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Office Hours: By Appointment

### **University Policies**

**Syracuse University Policies:** Students should review the University's policies regarding: Diversity and Disability <https://www.syracuse.edu/life/accessibilitydiversity/>; the Religious Observances Notification and Policy- [http://supolicies.syr.edu/studs/religious\\_observance.htm](http://supolicies.syr.edu/studs/religious_observance.htm); and Orange SSuccess - <http://orangesuccess.syr.edu/getting-started-2/>

**Disability-Related Accommodations:** If you believe that you need academic adjustments (accommodations) for a disability, please contact the Office of Disability Services (ODS), visit the ODS website— <http://disabilityservices.syr.edu>, located in Room 309 of 804 University Avenue, or call (315) 443-4498 or TDD: (315) 443-1371 for an appointment to discuss your needs and the process for requesting academic adjustments. ODS is responsible for coordinating disability-related academic adjustments and will issue students with documented Disabilities Accommodation Authorization Letters, as appropriate. Since academic adjustments may require early planning and generally are not provided retroactively, please contact ODS as soon as possible.

**Academic Integrity Policy:** Syracuse University's Academic Integrity Policy reflects the high value that we, as a university community, place on honesty in academic work. The policy defines our expectations for academic honesty and holds students accountable for the integrity of all work they submit. Students should understand that it is their responsibility to learn about course-specific expectations, as well as about university-wide academic integrity expectations. The policy governs appropriate citation and use of sources, the integrity of work submitted in exams and assignments, and the veracity of signatures on attendance sheets and other verification of participation in class activities. The policy also prohibits students from submitting the same work in more than one class without receiving written authorization in advance from both instructors. Under the policy, students found in violation are subject to grade

sanctions determined by the course instructor and non-grade sanctions determined by the School or College where the course is offered as described in the Violation and Sanction Classification Rubric. SU students are required to read an online summary of the University's academic integrity expectations and provide an electronic signature agreeing to abide by them twice a year during pre-term check-in on MySlice.

### **International Trade and Economic Negotiation Course**

The purpose of this course is to explore the challenges confronting international trade and economic policies, as well as consider current negotiations designed to address these circumstances.

The approach will be interdisciplinary and will focus on political, economic, and legal considerations.

Readings for the course are available online or will be distributed and will be posted on Blackboard.

This is a one-semester course, with one grade given at the end of the semester. The grade will consist of two elements.

1. **Class Participation.** Attendance, punctuality, and the quality and degree of informed class participation are considered. Please contact us if you will be unable to attend a particular class session.

Current Events. Trade issues will undoubtedly continue to dominate much of the political discourse in the lead up to the mid-year elections. Therefore, the class will take time each week to cover recent developments and their impact on US trade policy and international economic relations.

3. **Work Products.** This part of the grade will include the preparation and delivery of two class presentations, a paper for the course, and a short in-class description of the paper. There will be no final examination at the end of the course.

### **CLASS PRESENTATIONS**

Each week students will make short presentations on trade-related institutions or international trade agreement(s) relevant to that week's topic. **Each student will be responsible for two such presentations.** The assignment is designed to help students understand the practical realities of the agencies and agreements and how they are related to international trade.

For institutions, each report should describe the regulatory functions and roles played by the assigned department, agency, or institution. This description should fall into two parts—the assigned role designated by statute, or charter, and the powers actually exercised by the office/agency in practice. Emphasis should be given to changes in role or policy in recent years, or over time, and should impart an understanding of the key challenges facing the institution. Students are encouraged to share a sophisticated and unique understanding of the institution.

For international agreements, each report should attempt to cover the following issues: 1) What does the agreement do? 2) Why was the agreement necessary? 3) What is the importance of the pact in the context of the trading system? and 4) What is the impact of the agreement on developed versus developing countries?

Each student should plan on delivering a presentation of no longer than 5-10 minutes. **Power Point or Prezi presentations are encouraged, but not required.** In addition, an outline of the presentation (no longer than 2 pages) should be prepared for distribution to the class. Students are encouraged to present their ideas in a cogent and concise manner.

### **POSSIBLE PAPER TOPICS**

Each student in the class will be responsible for researching, writing, and presenting a paper on an international economic/trade-related issue. **The completed paper will be due in class on the last day, December 12.** Papers that are handed in late will be docked 1/3 of a grade letter per day, so a paper that is one day late can earn a maximum of an A-, two days late a B+, etc. . During the final class, each student will deliver a **short** formal presentation (absolutely no more than 3 minutes, with the time limit enforced) on the paper's thesis and major findings. This aspect of the course should be viewed as an exercise in presenting a brief, standard speech that provides a thesis sentence and 2 or 3 main supporting points. Students should focus on the "so what" of their research.

### **Approval of the paper is required from either Prof. Fekete or Prof. Caplan no later than October 17.**

Paper proposals should initially be presented in written form, including:

- proposed title of the paper—should be clear and descriptive.
- thesis statement—this is a discussion of the unique question your paper will answer.
- main sources—a short statement as to the major sources you will use, e.g., journals, newspaper articles, interviews, etc.

A good research proposal presumes that you have thought about your topic and have already dedicated both time and effort to gathering information, reading, and organizing your thoughts. Also, please identify an international trade or economic topic that you care about—ideally, your research paper should be viewed as a semester-long effort, not something that is a "rush job," researched and written during the final weeks of the semester. There is no specific guidance about how detailed your proposal should be, but the more effort you put into your proposal, the better your paper will be. Also, the more detailed your proposal, the more we can comment and usefully provide guidance on what would constitute a good paper. The best-received papers are those that are well-thought out and appropriate to the length of the exercise, offer an interesting well-focused idea or perspective and then ably defend the thesis. We will consider typographical errors in grading papers. The term paper should be double-spaced and no longer than 15 pages.

The best topics are realistic in terms of what can be effectively covered given space limitations. The following are illustrative areas. Students can develop tailored and/or narrower topics within these broad subjects:

- Alleged currency manipulation by the People's Republic of China (PRC); are new international trade rules needed to regulate currency levels?
- Should the US Governments permit purchases of US domestic companies, such as Unocal and Maytag, by PRC or other foreign entities? An inquiry by the Committee on Foreign Investment in the United States (CFIUS) and other US Government regulatory scrutiny should be considered.
- What was the logic for Trump Administration to reject the Trans-Pacific Partnership, or TPP? Who are the "winners" and "losers" with this US Government decision?
- Does President Trump's intention to focus on bilateral versus multilateral trade negotiations make for good trade policy?
- Are Airbus's activities in violation of the national treatment or local content obligations of the European Union.
- Does international trade promote peaceful relationships? This inquiry would benefit from a case study.
- What is the role of states (e.g., California or Virginia) in promoting international trade, investment, and local economic development. Again, a case study would be appropriate.
- What is the status and future direction of the Convention on International Trade in Endangered Species (CITES)?
- What is the future of the Basel Convention on Trans-Border Transportation of Hazardous Wastes?
- How should the US Government tax multinational enterprises?
- What particular elements of the North American Free Trade Agreement (NAFTA) have been controversial in the current negotiations and why? Perhaps do an in-depth inquiry into one topic.
- In the context of the technology factor in international commerce, is the United States losing its scientific and technological edge in areas such as biotechnology and nanotechnology?
- What are the implications of the end of textile quotas under the multi-fiber agreement (MFA)?
- How does the Trump Administration plan to discourage producers from outsourcing their operations? Will these strategies succeed? (e.g. Harley Davidson)
- How does the current U.S. Government policy on immigration promote or conflict with U.S. international trade objectives?
- How is Yahoo conducting business in China and what are some of its key policy issues, (e.g., privacy concerns, human rights, and the possible extraterritorial application and effect of U.S. laws)?
- How are China and Japan competing in the East China Sea for energy exploration purposes?
- Should China be considered a market economy for the purposes of the Antidumping Law of the United States?
- Is free trade in the Middle East possible? This would benefit from a case study.
- What is the impact of major retailers (e.g., WalMart, Carrefour) in international trade?

- What is and what should be the U.S. policy towards international commodity agreements?
- What is the basis for the Trump Administration's criticism of the WTO Dispute Resolution system and are its proposals to promote reform sound??
- "Surfing the Internet": What is one radically different aspect in the development of international electronic commerce within the context of international trade?
- What is the relationship between the trade in grains as food and/or fuel?
- What would be the impact of a Free Trade Agreement with a country in Africa?
- How is the Chinese Government seeking to stimulate the economy in that country and how will it have an impact on one aspect of international trade (e.g., goods, services, or capital)?
- Can current U.S. trade policy be characterized as protectionist and what is its likely impact on the international trading system?
- How effective have economic sanctions been in the case of individual countries, e.g., Iran, North Korea, Cuba, etc.?

**Introduction: The Context of International Trade Policy**  
**Profs Caplan and Fekete**

**Required Reading**

- Conversation with Doug Irwin <https://medium.com/conversations-with-tyler/doug-irwin-tyler-cowen-clashing-over-commerce-a-history-of-us-trade-policy-29bc5fe3ad21>
- WTO: Economic Underpinnings: <http://www.swisslearn.org/wto/module4/e/start.htm>
- *Fare well, free trade*, Economist Magazine, Dec 18th 2008  
[http://www.economist.com/node/12815617?story\\_id=12815617](http://www.economist.com/node/12815617?story_id=12815617)
- The National-Security Case for Free Trade, Wall Street Journal, October 6, 2011  
<http://online.wsj.com/article/SB10001424052970204524604576611080749773932.html>
  - [This link requires a WSJ subscription]
- What is NAFTA, and How Might Trump Change It?, New York Times, April 27<sup>th</sup>, 2017  
<https://www.nytimes.com/interactive/2017/upshot/what-is-nafta.html?mcubz=0>
- NAFTA Negotiating Objectives  
<https://ustr.gov/sites/default/files/files/Press/Releases/NAFTAObjectives.pdf>
- The Economic and National Security Rationale for Free Trade, The Hill, Dec 6<sup>th</sup>, 2016  
<http://thehill.com/blogs/congress-blog/economy-budget/308887-the-economic-and-national-security-rationale-for-free>

**Recommended Reading**

- Fisher and Malloy, [Chapter 1 - Introduction](#) (PDF)

## Second Class—September 5,2018

### The Institutional Framework for American Trade Policy

Prof. Fekete

#### Class Presentations

	STUDENT NAME
Office of the United States Trade Representative	
House Ways and Means Committee and Senate Finance Committee	

#### Required Reading

- Committee on Ways and Means, U.S. House of Representatives, Overview and Compilation of U.S. Trade Statutes, Part I of II., 2010 edition, 111th Congress, 2nd session, WMCP 111-6 Online at <http://www.gpo.gov/fdsys/pkg/CPRT-111WPRT63130/pdf/CPRT-111WPRT63130.pdf> pp.383-397.
  - Here is the most recent version from 2013:  
[https://law.drupal.ku.edu/sites/law.drupal.ku.edu/files/docs/resources/library/IntlTradeLaw/Compilation%20of%20U.S.%20Trade%20Statutes%20\(January%202013%20Edition\).pdf](https://law.drupal.ku.edu/sites/law.drupal.ku.edu/files/docs/resources/library/IntlTradeLaw/Compilation%20of%20U.S.%20Trade%20Statutes%20(January%202013%20Edition).pdf) Chapter 7 page 897
- The Brock Group (1992) The Formulation and Implementation of U.S. Trade Policy. Washington, D.C. Emailed to students.
- 2018 Trade Policy Agenda and 2017 Annual Report (<https://ustr.gov/sites/default/files/files/Press/Reports/2018/AR/2018%20Annual%20Report%20I.pdf>) pp 1-33. (The full document is at: <https://ustr.gov/about-us/policy-offices/press-office/reports-and-publications/2018/2018-trade-policy-agenda-and-2017> (browse as desired.)

#### Recommended Reading

- Fisher and Malloy, Chapter III, “Regulation of International Trade: The Institutions”
- Democratizing US Trade Policy, Available at:  
[https://www.cfr.org/content/publications/attachments/DemTrade\\_TF.pdf](https://www.cfr.org/content/publications/attachments/DemTrade_TF.pdf)

**Third Class—September 12, 2018**

**Financial Architecture**

**Prof Fekete**

**Guest Lecturer Andrew Stephens (USDA/FAS)**

**Class Presentation**

	<b>STUDENT NAME</b>
Department of Treasury	
International Monetary Fund (IMF)	

**Required Reading**

- Exchange Rates and Trade [http://www.wto.org/english/news\\_e/sppl\\_e/sppl222\\_e.htm](http://www.wto.org/english/news_e/sppl_e/sppl222_e.htm) (video also available)
- Exploiting Exportation: Why Foreign Exchange Rates Matter, Economic Information Newsletter, April 2010 <http://research.stlouisfed.org/pageone-economics/uploads/newsletter/2010/201004.pdf>
- What is purchasing power parity? <https://www.investopedia.com/updates/purchasing-power-parity-ppp/>
- Why We Need an International Monetary System, Finanz und Wirtschaft, Jan 27<sup>th</sup>, 2015 <https://www.fuw.ch/article/why-we-need-an-international-monetary-system/>
- A currency crash course for politicians. <https://www.brookings.edu/opinions/a-currency-crash-course-for-politicians/>

**Recommended Reading**

- The Trump Effect on Currencies, Visual Capitalist, Jan 6<sup>th</sup>, 2017 [Note: this has a nice chart, but not much substance] <http://www.visualcapitalist.com/chart-trump-effect-currencies/>
- How Trump's Trade Agenda Will Affect the Dollar, Bloomberg, Jan 31<sup>st</sup>, 2017 <https://www.bloomberg.com/view/articles/2017-01-31/how-trump-s-trade-agenda-will-affect-the-dollar>



## Fourth Class—September 19, 2018

### The Challenge of Multilateral Trade Negotiations: Is the Doha Round Dead?

Prof Fekete

#### Class Presentation

	STUDENT NAME
Agreement Establishing the World Trade Organization	
Understanding on Rules and Procedures Governing the Settlement of Disputes	

#### Required Reading

- October 30th marked the 70th birthday of the WTO's precursor  
<https://www.economist.com/finance-and-economics/2017/11/02/october-30th-marked-the-70th-birthday-of-the-wtos-precursor?zid=301&ah=e8eb01e57f7c9b43a3c864613973b57f>
- Why the US needs the World Trade Organization  
[https://www.washingtonpost.com/news/monkey-cage/wp/2016/09/20/would-the-u-s-be-better-off-without-the-wto-not-when-the-wto-guides-98-percent-of-global-trade/?noredirect=on&utm\\_term=.157275d1c05b](https://www.washingtonpost.com/news/monkey-cage/wp/2016/09/20/would-the-u-s-be-better-off-without-the-wto-not-when-the-wto-guides-98-percent-of-global-trade/?noredirect=on&utm_term=.157275d1c05b)
- The WTO in Brief: [http://www.wto.org/english/thewto\\_e/whatis\\_e/inbrief\\_e/inbr00\\_e.htm](http://www.wto.org/english/thewto_e/whatis_e/inbrief_e/inbr00_e.htm)
- (3 videos found at [http://www.wto.org/english/res\\_e/webcas\\_e/webcas\\_e.htm](http://www.wto.org/english/res_e/webcas_e/webcas_e.htm))
  - From GATT to WTO <https://youtu.be/mdO-MFv1icw>
  - Basic principles of the WTO system  
[http://wto.org/english/res\\_e/webcas\\_e/older\\_videos\\_e.htm](http://wto.org/english/res_e/webcas_e/older_videos_e.htm)
- To the heart of the WTO *Also available at:*  
[http://www.youtube.com/watch?v=g6dV4n8GdoY&list=PLBCED9B31E005160E&index=4&feature=plpp\\_video](http://www.youtube.com/watch?v=g6dV4n8GdoY&list=PLBCED9B31E005160E&index=4&feature=plpp_video)

Committee on Ways and Means, U.S. House of Representatives, Overview and Compilation of U.S. Trade Statutes, Part I of II., 2010 edition, 111th Congress, 2nd session, WMCP 111-6 Online at <http://www.gpo.gov/fdsys/pkg/CPRT-111WPRT63130/pdf/CPRT-111WPRT63130.pdf> pp.293-320

- Here is the most recent version from 2013 but with some of the overview sections having been dropped:  
[https://law.drupal.ku.edu/sites/law.drupal.ku.edu/files/docs/resources/library/IntlTradeLaw/Compilation%20of%20U.S.%20Trade%20Statutes%20\(January%202013%20Edition\).pdf](https://law.drupal.ku.edu/sites/law.drupal.ku.edu/files/docs/resources/library/IntlTradeLaw/Compilation%20of%20U.S.%20Trade%20Statutes%20(January%202013%20Edition).pdf) (Chapter 6, Page 876)
- Bali Ministerial Declaration and decisions at:  
[http://wto.org/english/thewto\\_e/minist\\_e/mc9\\_e/balipackage\\_e.htm](http://wto.org/english/thewto_e/minist_e/mc9_e/balipackage_e.htm) Browse

- Is the Doha Round Over?, Cato Institute, Feb 11<sup>th</sup>, 2016, <https://www.cato.org/publications/free-trade-bulletin/doha-round-over-wtos-negotiating-agenda-2016-beyond>

### Recommended Reading

David Collins, The World Trade Organization: A Beginner's Guide, Oneworld Publications, 2015

Bernard M. Hoekman and Petros C. Mavroidis, World Trade Organization (WTO): Law, Economics, and Politics (Global Institutions) second edition Routledge, 2016

### Fifth Class—October 3, 2018

Topic to be determined

Guest Speaker

### Sixth Class—October 10, 2018

The Challenge of Regional Economic Integration

Prof Fekete

### Class Presentation

	STUDENT NAME
ASEAN/APEC/ECOWAS/EAC/SADC (Pick two)	
WTO Trade Facilitation Agreement	

### Required Reading

- Regionalism: friends or rivals? [http://www.wto.org/english/thewto\\_e/whatis\\_e/tif\\_e/bey1\\_e.htm](http://www.wto.org/english/thewto_e/whatis_e/tif_e/bey1_e.htm)
- Regional Trade Agreements [http://wto.org/english/tratop\\_e/region\\_e/region\\_e.htm](http://wto.org/english/tratop_e/region_e/region_e.htm)
- NAFTA
  - <https://ustr.gov/sites/default/files/files/Press/Releases/NAFTAObjectives.pdf>
  - <http://www.ustr.gov/trade-agreements/free-trade-agreements/north-american-free-trade-agreement-nafta>
  - <http://export.gov/FTA/nafta/index.asp> (Reference material)
- AFRICA—
  - De-Fragmenting Africa: Deepening Regional Trade Integration in Goods and Services: Introduction pp 1-24. Available at: [http://www-wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2012/05/03/000333038\\_20120503000714/Rendered/PDF/684900ESW0Whit00Box367921B00PUBLIC0.pdf](http://www-wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2012/05/03/000333038_20120503000714/Rendered/PDF/684900ESW0Whit00Box367921B00PUBLIC0.pdf)
  - East African Commission—<http://www.eac.int/about/overview>
  - African Union <https://au.int/>
    - Other RECs <https://au.int/en/organs/recs>

- Beyond AGOA, Looking to the Future of US Trade and Investment, September 2016, Sections I, II, and V <https://ustr.gov/sites/default/files/2016-AGO-Report.pdf>
- Deepening African Integration: Intra-Africa Trade for Development and Poverty Reduction, World Bank, Dec 14<sup>th</sup>, 2015  
<http://www.worldbank.org/en/news/speech/2015/12/14/deepening-african-integration-intra-africa-trade-for-development-and-poverty-reduction>
  - This was a speech given by Anabel Gonzalez at the Fourth China-WTO Accessions Roundtable: International Economic Cooperation and African Perspectives on the Future of the Multilateral Trading System in Nairobi, Kenya
- Evolution of Trade Agreements [http://ftavis.com/#1948 Worldwide](http://ftavis.com/#1948_Worldwide) (take a look)

**Seventh Class—October 17 2018**

**The Development Challenge: International Trade**

**Prof Fekete**

**Class Presentation**

	STUDENT NAME
US Trade and Development Agency (USTDA)/ Overseas Private Investment Corporation (OPIC)	
Agreement on the Application of Sanitary and Phytosanitary Measures/Agreement on Technical Barriers to Trade	

**Required Reading**

- A Comprehensive Approach to Trade Facilitation and Capacity Building. Available at:  
[http://www.tfafacility.org/sites/default/files/case-studies/usaia\\_a\\_comprehensive\\_approach\\_to\\_trade\\_facilitation\\_and\\_capacity\\_building\\_june\\_2015.pdf](http://www.tfafacility.org/sites/default/files/case-studies/usaia_a_comprehensive_approach_to_trade_facilitation_and_capacity_building_june_2015.pdf) Read pages 1-22. Browse the rest.
- The Role of Trade in Ending Poverty, World Bank, Executive Summary and Chapter 1.  
<http://documents.worldbank.org/curated/en/726971467989468997/pdf/97607-REPLACEMENT-The-Role-of-Trade-in-Ending-Poverty.pdf>
- Lamy, Pascal, Making Trade work for Development: Time for a Geneva Consensus,  
[http://www.wto.org/english/news\\_e/sppl\\_e/sppl45\\_e.htm](http://www.wto.org/english/news_e/sppl_e/sppl45_e.htm)
- [http://www.wto.org/english/tratop\\_e/devel\\_e/a4t\\_e/aid4trade\\_e.htm](http://www.wto.org/english/tratop_e/devel_e/a4t_e/aid4trade_e.htm)
- [http://www.wto.org/english/tratop\\_e/devel\\_e/a4t\\_e/a4t\\_factsheet\\_e.htm](http://www.wto.org/english/tratop_e/devel_e/a4t_e/a4t_factsheet_e.htm)
- [http://www.wto.org/english/tratop\\_e/devel\\_e/a4t\\_e/what\\_why\\_how\\_e.pps](http://www.wto.org/english/tratop_e/devel_e/a4t_e/what_why_how_e.pps)
- Standards and Trade Development Facility (STDF) Presentation  
[http://www.standardsfacility.org/sites/default/files/STDF\\_Standard\\_Presentation\\_2018.pdf](http://www.standardsfacility.org/sites/default/files/STDF_Standard_Presentation_2018.pdf)

## Recommended Reading

- Opportunities in Strengthening Trade Assistance: A Report of the CSIS Congressional Task Force on Trade Capacity Building [https://csis-prod.s3.amazonaws.com/s3fs-public/legacy\\_files/files/publication/150224\\_Miller\\_StrengtheningTradeAssistance\\_Web.pdf](https://csis-prod.s3.amazonaws.com/s3fs-public/legacy_files/files/publication/150224_Miller_StrengtheningTradeAssistance_Web.pdf)
- Fisher and Malloy, Chapter X, Trade and Developing Nations

## Eighth Class—October 24, 2018

### Trade Sanctions and Export Controls

Prof Caplan

### Class Presentation

	STUDENT NAME
Export Import Bank	
Department of Commerce: Bureau of Industry and Security	

## Required Reading

- Committee on Ways and Means, U.S. House of Representatives, Overview and Compilation of U.S. Trade Statutes, Part I of II., 2010 edition, 111<sup>th</sup> Congress, 2<sup>nd</sup> session, WMCP 111-6 Online at <http://www.gpo.gov/fdsys/pkg/CPRT-111WPRT63130/pdf/CPRT-111WPRT63130.pdf> pp 251-292 (Skim)
  - See also this recent version:  
[https://law.drupal.ku.edu/sites/law.drupal.ku.edu/files/docs/resources/library/IntlTradeLaw/Compilation%20of%20U.S.%20Trade%20Statutes%20\(January%202013%20Edition\).pdf](https://law.drupal.ku.edu/sites/law.drupal.ku.edu/files/docs/resources/library/IntlTradeLaw/Compilation%20of%20U.S.%20Trade%20Statutes%20(January%202013%20Edition).pdf)
- Foreign Government Practices Act (FCPA) Online at:  
<http://www.justice.gov/criminal/fraud/fcpa/>
- “Out of Bondage: Loosening the Grip of U.S. Export Controls” & “What’s Not New on the Export Control Front” (Caplan Handouts)
- China Implements UN Sanctions Against North Korea, as Trump Trade Probe Looms, Fox News, Aug 14<sup>th</sup>, 2017 <http://www.foxnews.com/world/2017/08/14/china-implements-un-sanctions-against-north-korea-as-trump-trade-probe-looms.html>
- Global powers condemn Iran sanctions and urge businesses to ignore the Trump administration <https://www.cnbc.com/2018/08/10/global-powers-condemn-us-sanctions-against-iran-and-encourage-business.html>

## Recommended Reading

- <http://www.fcpaenforcement.com/explained/explained.asp>
- [http://www.washingtonpost.com/business/economy/us-firms-say-costly-foreign-bribe-law-lacks-clarity/2011/07/05/gIQAB50jTI\\_story.html](http://www.washingtonpost.com/business/economy/us-firms-say-costly-foreign-bribe-law-lacks-clarity/2011/07/05/gIQAB50jTI_story.html)
- Fisher and Malloy, Chapter XII, The Use of Trade Controls for Political Purposes

**Competitiveness Challenge: Trade in Fairly Priced Goods**  
**Prof Caplan**

**Class Presentation**

	<b>STUDENT NAME</b>
United States International Trade Commission	
Homeland Security/Customs and Border Protection	
{Agreement on Safeguards}	

**Required Reading**

- Committee on Ways and Means, U.S. House of Representatives, Overview and Compilation of U.S. Trade Statutes, Part I of II., 2010 edition, 111th Congress, 2nd session, WMCP 111-6 Online at <http://www.gpo.gov/fdsys/pkg/CPRT-111WPRT63130/pdf/CPRT-111WPRT63130.pdf> pp.138-148
  - Here is the most recent version from 2013:  
[https://law.drupal.ku.edu/sites/law.drupal.ku.edu/files/docs/resources/library/IntlTradeLaw/Compilation%20of%20U.S.%20Trade%20Statutes%20\(January%202013%20Edition\).pdf](https://law.drupal.ku.edu/sites/law.drupal.ku.edu/files/docs/resources/library/IntlTradeLaw/Compilation%20of%20U.S.%20Trade%20Statutes%20(January%202013%20Edition).pdf) [page 321](#)
- Fisher and Malloy, Chapter IV, “Relief from Fairly Priced Foreign Competition”
- “Exporter’s Guide to Trade Laws” & “The Harmonized System” (Caplan handouts)

**Recommended Reading**

- Gilboy, George, “The Myth Behind China’s Miracle,” Foreign Affairs, July/August 2004
- Pearson, Charles, Chapter 3, “Flirting with Managed Trade”
- Lardy, Nicholas, Integrating China into the Global Economy, Brookings, 2002 (1604 .L275 2002)
- Lardy, Nicholas, China’s Unfinished Economic Revolution, Brookings, 1998.
- Lardy, Nicholas, “China: The Great New Economic Challenge?” Chapter 4 in Bergsten, C. Fred, The United States and the World Economy.
- Navarro, Peter, The Coming China Wars: Where They Will Be Fought and How They Will Be Won, Financial Times/Prentice Hall, 2006.
- [Navarro, Peter, China Price Project](#) (Click to open)
- Fishman, Ted C., China, Inc.: How the Rise of the Next Superpower
- Hufbauer, Gary C., Wong, Y., and Sheth, K., U.S.-China Trade Disputes: Rising Tide, Rising Stakes, Institute for International Economics, 2006.
- Challenges America and the World, Scribner, 2005.
- Groombridge, Mark A., and Barfield, Claude E., Tiger by the Tail: China and the World Trade Organization, The AEI Press, 1999.
- Section 201 of the Trade Act of 1974, as amended, Bhala, pp. 1304-1325.

- Rogers, Jim, A Bull in China: Investing Profitably in the World's Greatest Market. Random House, 2007.

Zeng, Ming and Williamson, Peter J., Dragons at Your Door: How Chinese Cost Innovation Is Disrupting Global Competition. Harvard Business School, 2007.

**Tenth Class—November 7, 2018**

**The Challenge of Competing Against Unfairly Priced Goods**

**Prof Caplan**

**Class Presentation**

	<b>STUDENT NAME</b>
Department of Commerce/International Trade Administration	
Agreement on Subsidies and Countervailing Measures Agreement on Implementation of Article VI of GATT 1994 (Antidumping Code)	

**Required Reading**

- Committee on Ways and Means, U.S. House of Representatives, Overview and Compilation of U.S. Trade Statutes, Part I of II., 2010 edition, 111<sup>th</sup> Congress, 2<sup>nd</sup> session, WMCP 111-6 Online at: [https://law.drupal.ku.edu/sites/law.drupal.ku.edu/files/docs/resources/library/IntlTradeLaw/Compilation%20of%20U.S.%20Trade%20Statutes%20\(January%202013%20Edition\).pdf](https://law.drupal.ku.edu/sites/law.drupal.ku.edu/files/docs/resources/library/IntlTradeLaw/Compilation%20of%20U.S.%20Trade%20Statutes%20(January%202013%20Edition).pdf) pp. 155-198
- [B. Bhattacharyya, The Indian Shrimp Industry Organizes to Fight the Threat of Anti-Dumping Duties](#), - (click to open).
- “Antitrust and Trade Policy” (Caplan Handout)
- Antitrust Enforcement in the Trump Administration, Skadden, Arps, Slate, Meagher & Flom LLP and Affiliates, Jan 30<sup>th</sup>, 2017 <https://www.skadden.com/insights/publications/2017/01/antitrust-enforcement-in-the-trump-administration>

**Recommended Reading**

- Fisher and Malloy, Chapter V, Antidumping Duties
- Destler, Chapter 6, “Changing the Rules: The Rise of Administrative Trade Remedies”
- Agreement on Implementation of Article VI of the General Agreement on Tariffs and Trade 1994 (“Antidumping” Agreement, Bhala, pp. 392-419.

Irwin, Douglas, Free Trade Under Fire, Princeton University Press, 2005.

**Eleventh Class—November 14, 2018**

**Regulatory Coherence, Trade Agreements, and Geographic Indications**  
Prof Caplan/Laurel Parker

**Class Presentation**

	STUDENT NAME
Agreement on Trade-Related Aspects of Intellectual Property Rights, Including Trade in Counterfeit Goods	
World Intellectual Property Organization (WIPO)	

**Required Reading**

- Current issues in Intellectual Property  
[http://www.wto.org/english/tratop\\_e/trips\\_e/trips\\_issues\\_e.htm](http://www.wto.org/english/tratop_e/trips_e/trips_issues_e.htm)
- Fisher and Malloy, Chapter XIII, The Territorial Structure of Intellectual Property Rights
- Fisher and Malloy, Chapter XIV, The International Licensing of Technology and Associated Antitrust Issues
- Trump administration goes after China over intellectual property, advanced technology, The Washington Post, Aug 14<sup>th</sup>, 2017,  
[https://www.washingtonpost.com/news/wonk/wp/2017/08/14/trump-administration-goes-after-china-over-intellectual-property-advanced-technology/?utm\\_term=.4e89301e8b64](https://www.washingtonpost.com/news/wonk/wp/2017/08/14/trump-administration-goes-after-china-over-intellectual-property-advanced-technology/?utm_term=.4e89301e8b64)
- Geographical-indications, <http://ec.europa.eu/trade/policy/accessing-markets/intellectual-property/geographical-indications/>

**Recommended Reading**

Agreement on Trade Related Intellectual Property, WTO, 1994, Bhala, pp. 567-602

**Twelfth Class—November 28, 2018**

**The Competitiveness Challenge: Trade in Services, Trade and the Environment, and Labor Issues**  
Prof Caplan

**Class Presentation**

	STUDENT NAME
General Agreement on Trade in Services (GATS)	
World Customs Organization	

**Required Reading**

- GATS [http://www.wto.org/english/tratop\\_e/serv\\_e/gatsqa\\_e.htm](http://www.wto.org/english/tratop_e/serv_e/gatsqa_e.htm)

- Trade and Labor Standards  
[http://www.wto.org/english/thewto\\_e/minist\\_e/min99\\_e/english/about\\_e/18lab\\_e.htm](http://www.wto.org/english/thewto_e/minist_e/min99_e/english/about_e/18lab_e.htm)
- Trade and the Environment [http://www.wto.org/english/tratop\\_e/envir\\_e/envt\\_intro\\_e.htm](http://www.wto.org/english/tratop_e/envir_e/envt_intro_e.htm)
- Shrimp and Sea Turtle Conservation  
[http://www.ustr.gov/archive/Document\\_Library/Press\\_Releases/2001/June/US\\_Wins\\_WTO\\_Case\\_on\\_Sea\\_Turtle\\_Conservation.html](http://www.ustr.gov/archive/Document_Library/Press_Releases/2001/June/US_Wins_WTO_Case_on_Sea_Turtle_Conservation.html)
- GATS Handout Material (Caplan Handout)
- FIVS Environmental Activities, <https://www.fivs.org/environmental-sustainability/>

### Recommended Reading

- Nath, Kamal, *India's Century*, McGraw Hill, 2008.
- Friedman, Thomas L., *The World Is Flat: A Brief History of the Twenty-First Century*, Farrar, Straus and Giroux, 2005
- Mann, Catherine L., "Offshore Outsourcing and the Globalization of US Services: Why Now, How Important, and What Policy Implications," Chapter 9 in Bergsten, C. Fred, *The United States and the World Economy*.
- Raj, Vinay. *Think India: The Rise of the World's Next Superpower and What It Means for Every American*. Dutton, 2007.

### Thirteenth Class—December 05, 2018

#### China and Global Trade Prof Caplan and Guest

#### Required Reading

- "Trump's Muse on U.S. Trade with China," *The New Yorker*, October 12, 2016,  
<http://www.newyorker.com/business/currency/trumps-muse-on-u-s-trade-with-china>

### Fourteenth Class—December 12, 2018

#### Final Class/Paper Presentations Profs Caplan and Fekete

Each student will be asked to give a 3-5 minute presentation on their research paper. As discussed above, students should treat this assignment as testing their ability to deliver a short speech.



## Additional Class Materials

### Part 1 - Regulation of International Trade

- [Chapter 1 - Introduction](#) (PDF) 36 Pages
- [Chapter 2 - The Law of International Trade and Investment](#) - (PDF) 69 pages
- [Chapter 3 - Regulation of International Trade: The Institutions](#) - (PDF) 120 pages
- [Chapter 4 - Relief from Fairly Priced Foreign Competition](#) - (PDF) 114 pages
- [Chapter 5 - Antidumping Duties](#) - (PDF) 64 pages
- [Chapter 6 - Subsidies and Countervailing Duties](#) - (PDF) 60 pages
- [Chapter 9 - Dispute Resolution and Enforcement](#) - (PDF) 166 pages
- [Chapter 13 - The Use of Trade Controls for Political Purposes](#) - (PDF) 54 pages

### Part 2 - Technology in International Commerce

- [Chapter 15 - The Territorial Structure of Intellectual Property Rights](#) - (PDF) 62 pages
- [Chapter 16 - The International Licensing of Technology and Associated Antitrust Issues](#) - (PDF) 46 pages

### Part 3 - Regulating International Investment

- [Chapter 17 - Capital Transfers](#) - (PDF) 65 pages
- [Chapter 18 - Investor Nation Regulation of Portfolio Investment](#)- (PDF) 72 pages
- [Chapter 19 - Regulating the Multinational](#) - (PDF) 102 pages
- [Chapter 20 - Investment Disputes](#) - (PDF) 63 pages

## Sign up Sheet for Class Presentations

### Second Class—September 5, 2018

**The Institutional Framework for American Trade Policy**  
**Prof. Fekete**

	STUDENT NAME
Office of the United States Trade Representative	
House Ways and Means Committee and Senate Finance Committee	

### Third Class—September 12, 2018

**Financial Architecture**  
**Prof Fekete**

	STUDENT NAME
Department of Treasury	
International Monetary Fund (IMF)	

### Fourth Class—September 19, 2018

**The Challenge of Multilateral Trade Negotiations: Is the Doha Round Dead?**  
**Prof Fekete**

	STUDENT NAME
Agreement Establishing the World Trade Organization	
Understanding on Rules and Procedures Governing the Settlement of Disputes	

### Sixth Class—October 10, 2018

**The Challenge of Regional Economic Integration**  
**Prof Fekete**

	STUDENT NAME
ASEAN/APEC/ECOWAS/EAC/SADC (Pick two)	
WTO Trade Facilitation Agreement	

### Seventh Class—October 17 2018

**The Development Challenge: International Trade**  
**Prof Fekete**

	STUDENT NAME
US Trade and Development Agency (USTDA)/ Overseas Private Investment Corporation (OPIC)	

Agreement on the Application of Sanitary and Phytosanitary Measures/Agreement on Technical Barriers to Trade	
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**Eighth Class—October 24, 2018**

**Trade Sanctions and Export Controls**

**Prof Caplan**

	STUDENT NAME
Export Import Bank	
Department of Commerce: Bureau of Industry and Security	

**Ninth Class—October 31, 2018**

**Competitiveness Challenge: Trade in Fairly Priced Goods**

**Prof Caplan**

	STUDENT NAME
United States International Trade Commission	
Homeland Security/Customs and Border Protection	
{Agreement on Safeguards}	

**Tenth Class—November 7, 2018**

**The Challenge of Competing Against Unfairly Priced Goods**

**Prof Caplan**

	STUDENT NAME
Department of Commerce/International Trade Administration	
Agreement on Subsidies and Countervailing Measures Agreement on Implementation of Article VI of GATT 1994 (Antidumping Code)	

**Eleventh Class—November 14, 2018**

**Regulatory Coherence, Trade Agreements, and Geographic Indicators**

**Prof Caplan/Laurel Parker**

	STUDENT NAME
Agreement on Trade-Related Aspects of Intellectual Property Rights, Including Trade in Counterfeit Goods	
World Intellectual Property Organization (WIPO)	

