

Government 704
Spring 2015
Tuesdays 3:30-6:00
ICC 119

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Office Hours Tues. 1-2:30

Qualitative Research Methods

Course Goals

The central goal of the seminar is to enable students to create and critique methodologically sophisticated case study research designs in the social sciences. To do so, we will explore the techniques, uses, strengths, and limitations of case study methods, while emphasizing the relationships among these methods, alternative methods, and contemporary debates in the philosophy of science. The research examples used to illustrate methodological issues will be drawn from international relations, comparative politics, and American politics. However, the methodological content of the course is also applicable to the study of history, sociology, and economics.

The seminar will begin with a focus on the philosophy of science, theory construction, theory testing, causality, and causal inference. With this epistemological grounding, the seminar will then explore the core issues in case study research design, including methods of structured and focused comparisons of cases, typological theory, case selection, process tracing, congruence testing, and the use of counterfactual analysis. Next, the seminar will look at the epistemological assumptions, comparative strengths and weaknesses, and proper domain of case study methods and alternative methods, particularly statistical methods and formal modeling, and address ways of combining these methods in a single research project. The seminar then examines field research techniques, including archival research and interviews. It concludes with student presentations of case study research designs and constructive critiques of these designs by seminar participants. Presumably, many students will choose to present the research design for their thesis, though students could also present a research design for a separate project, article, or edited volume.

Requirements

Mastery of assigned readings and active participation in seminar discussions.

Research Design Paper and Comments on other Students' Papers. Students will be required to submit copies of a research design paper to all seminar participants one week in advance of presenting this design in the seminar. Each student will present their design in the seminar for a constructive critique of a half-hour or so, with a 2-minute introduction from the student and/or advance reading questions suggesting issues or methodological dilemmas upon which participants should focus. The rest of the time will focus on constructive critiques from the students and Prof. Bennett.

Research designs should address all of the following tasks (elaborated upon in the George-Bennett chapters in the assigned readings below): 1) specification of the research problem and research objectives, in relation to the current stage of development and research needs of the relevant research program, related literatures, and alternative explanations; 2) specification of the independent and dependent variables of the main hypothesis of interest and alternative hypotheses; 3) selection of a historical case or cases that are appropriate in light of the first two tasks, and justification of why these cases were selected and others were not; 4) consideration of how variance in the variables can best be described for testing and/or refining existing theories; 5) specification of the data requirements, including both process tracing data and measurements of the independent and dependent variables for the main hypotheses of interest, including alternative explanations

There is no minimum length limit, though most designs will probably be around 4000-5000 words (about 16-20 pages double spaced) and should be shorter than 7,000 words/28 pages.

Grading

35% mastery of the readings as evident through participation in class discussions and comments on others' research designs

65% written research design

Books for Purchase

Alexander L. George and Andrew Bennett, *Case Studies and Theory Development in the Social Sciences* (MIT Press 2005).

Andrew Bennett and Jeffrey Checkel, eds., *Process Tracing: From Metaphor to Analytic Tool* (Cambridge, 2014).

Gary King, Robert Keohane, and Sidney Verba, *Designing Social Inquiry* (Princeton University Press, 1994).

Charles Ragin, *Redesigning Social Inquiry: Fuzzy Sets and Beyond* (University of Chicago, 2008)

Henry Brady and David Collier, *Rethinking Social Inquiry*, second edition (2010)

Gary Goertz, *Social Science Concepts: A User's Guide*, (Princeton, 2005).

Gary Goertz and James Mahoney, *A Tale of Two Cultures: Qualitative and Quantitative Research in the Social Sciences*, Princeton University Press, 2012

Course Outline

I) Philosophy of Science and Epistemological Issues

- Jan. 13 Inferences About Causal Effects and Causal Mechanisms
- Jan. 20 Critiques and Justifications of Case Study Methods

II) Case Study Methods

- Jan. 27 Concept Formation and Measurement
- Feb. 3 Designs for Single and Comparative Case Studies and Alternative Research Goals
- Feb 10 Typological Theorizing
- Feb. 17 Process Tracing
- Feb. 24 Counterfactual Analysis, Natural Experiments
- March 3 Multimethod Research: Combining Case Studies with Statistics and/or Formal Modeling
- Mar. 10 No class - - Spring Break
- Mar. 17 Macro-Historical Comparison, Critical Junctures, and Path Dependency
- Mar. 24 Field Research Techniques: Archives, Interviews, and Surveys
- Mar. 31, April 7, 14, 21: Student research design presentations

Readings

I) Philosophy of Science and Epistemological Issues

Inferences about Causal Effects and Causal Mechanisms

Alexander L. George and Andrew Bennett, *Case Studies and Theory Development*, preface and chapter 7 on philosophy of science issues.

Keohane, King, and Verba (hereafter KKV), *Designing Social Inquiry* pp. 3-33, 76-91, 99-114.

Charles Ragin, *Redesigning Social Inquiry*, pp. 1-10.

Optional Readings:

Henry Brady, "Causation and Explanation in Social Science," in Janet Box-Steffensmeier, Henry Brady, and David Collier, eds., *Oxford Handbook of Political Methodology* (Oxford, 2008) pp. 217-270.

Andrew Bennett, Aharon Barth, and Ken Rutherford, "Do we Preach What we Practice? A survey of Methods in Journals and Graduate Curricula," PS, July 2003.

Miriam and Colin Elman, "Introduction," and "Lessons from Lakatos," in Colin and Miriam Elman, *Progress in International Relations Theory: Metrics and Methods of Scientific Change*, MIT Press 2001.

Andrew Bennett, "A Lakatosian Reading of Lakatos: What Can we Salvage from the Hard Core?," in Colin and Miriam Elman, *Progress in International Relations Theory: Metrics and Methods of Scientific Change*, MIT Press 2001.

Charles Taylor, "Interpretation and the Sciences of Man," in Paul Rabinow and William Sullivan, *Interpretive Social Science: A Second Look*, pp. 33-81.

Richard Lebow and Mark Lichbach, eds., *Theory and Evidence in Comparative Politics and International Relations* (Palgrave, 2007).

James Woodward, *Making Things Happen: A Theory of Causal Explanation* (Oxford, 2005)

II) Case Study Methods

Critiques and Justifications of Case Study Methods

KKV, *Designing Social Inquiry*, pp. 46-48, 118-121, 208-230.

Brady and Collier, pages 1-64, 123-201.

Charles Ragin, *Rethinking Social Inquiry*, pages 13-68, 176-189 (190-212 optional).

Gary Goertz and James Mahoney, *A Tale of Two Cultures*, pages 1-13, 41-82, 127-148, 177-226.

Optional:

George and Bennett, *Case Studies and Theory Development*, Chapter 1.

Gerardo Munck, "Canons of Research Design in Qualitative Analysis," *Studies in Comparative International Development*, Fall 1998.

John Goldthorpe, "Current Issues in Comparative Macrosociology;" Dietrich Reuschmeyer and John Stephens, "Comparing Historical Sequences-A Powerful Tool for Causal Analysis;" Jack Goldstone, "Methodological Issues in Comparative Macrosociology;" and John Goldthorpe, "A Response to the Commentaries," all in *Comparative Social Research* Vol 16 (1997) pp. 1-26, 55-72, 107-120, and 121- 132, respectively.

Phil Schrod, "Seven Deadly Sins of Contemporary Quantitative Political Analysis."

Jason Seawright, "Regression-based inference: A Case Study in Failed Causal Assessment," in Brady and Collier, *Rethinking Social Inquiry*, second edition, pp. 247-272.

Stanley Lieberson, "More on the Uneasy Case for Using Mill-Type Methods in Small-N Comparative Studies," *Social Forces* June 1994, pp. 1225-1237.

Olav Njolstad, "Learning From History? Case Studies and the Limits to Theory-Building," in Olav Njolstad, ed., *Arms Races: Technological and Political Dynamics* (Sage, 1990) pp. 220-246.

Timothy McKeown, "Case Studies and the Statistical World View," *International Organization* Vol. 53, No. 1 (Winter, 1999) pp. 161-190.

David Collier, "Translating Quantitative Methods for Qualitative Researchers: The Case of Selection Bias;" Ronald Rogowski, "The Role of Theory and Anomaly in Social-Scientific Inference;" and Sidney Tarrow, "Bridging the Quantitative-Qualitative Divide in Political Science," in *American Political Science Review* vol. 89 no. 2 (June, 1995) pp. 4461-474.

APSA-CP: Newsletter of the APSA Organized Section in Comparative Politics, Vo. 9, No. 1 (Winter 1998) articles by David Collier, Tim McKeown, Roger Petersen and John Bowen, Charles Ragin, and John Stephens.

Concept Formation and Measurement

John Gerring, "What Makes a Concept Good?," *Polity* Spring 1999: 357-93.

Robert Adcock and David Collier, "Measurement Validity: A Shared Standard for Qualitative and Quantitative Research," *APSR* Vol. 95, No. 3 (September, 2001) pp. 529-546.

Ragin, *Redefining Social Inquiry*, pp. 71-105.

Gary Goertz, *Social Science Concepts*, chapters 1, 2, 3.

Gary Goertz has heroically created a large number of exercises related to his book. The exercises are published online at the following link, but note if Gary has updated this after January 8, 2015 the new exercise numbers may be different (note the update date at the start of the exercises):

<http://press.princeton.edu/releases/m8089.pdf>

Please think through the following exercises: 7, 21, 48, 49, 52, 163, 252, 253, 256, 257.

Optional:

Gary Goertz, "A Checklist for Constructing, Evaluating, and Using Concepts or Quantitative Measures."

Carsten Q. Schneider and Claudius Wagemann, *Set-Theoretic Methods for the Social Sciences* (Cambridge University Press, 2012)

Robert Adcock and David Collier, "Democracy and Dichotomies," *Annual Review of Political Science*, Vol. 2, 1999, pp. 537-565.

David Collier and Steven Levitsky, "Democracy with Adjectives: Conceptual Innovation in Comparative Research," *World Politics*, Vol. 49, No. 3 (April 1997) pp. 430-451.

David Collier, "Data, Field Work, and Extracting New Ideas at Close Range," *APSA -CP Newsletter* Winter 1999 pp. 1-6.

Gerardo Munck and Jay Verkuilen, "Conceptualizing and Measuring Democracy: Evaluating Alternative Indices," *Comparative Political Studies* Feb. 2002, pp. 5-34.

Giovanni Sartori, "Concept Misformation in Comparative Politics," *American Political Science Review*, December 1970.

David Collier and James Mahon, "Conceptual Stretching Revisited: Adapting Categories in Comparative Analysis," *APSR* December 1993, pp. 845-855.

James Mahoney, Erin Kimball, and Kendra Koivu, *The Causal Logic of Historical Explanation*, manuscript, Northwestern University. (Pk) (Er - updated version posted 12.20.07)

Designs for Single and Comparative Case Studies and Alternative Research Goals

Andrew Bennett and Alexander George, *Case Studies and Theory Development*, chapter 4.

Designing Social Inquiry pp. 124-149.

Van Evera, *Guide to Methodology*, pp. 77-88.

John Gerring and Jason Seawright, "Case Selection Techniques in Case Study Research: A Menu of Qualitative and Quantitative Options," available at <http://blogs.bu.edu/jgerring/files/2013/06/CaseSelection.pdf>

Forthcoming article on most- and least-likely cases.

Stephen Walt, *Revolution and War*, pp. 12-17

Jack Snyder, *Myths of Empire*, pp. 60-65

Optional:

Gary Goertz, "Statistical Multimethod and Case Selection," "Case Studies, Causal Mechanisms, and Selecting Cases: Part I," and "Case Studies, Causal Mechanisms, and Selecting Cases, Part II: Necessary Conditions." Manuscripts (2013).

Richard Nielsen, "Case Selection via Matching," *Sociological Methods and Research* (forthcoming).

James Lee Ray, *Democracies and International Conflict*, pp. 158-174.

David Collier and James Mahoney, "Insights and Pitfalls: Selection Bias in Qualitative Research," *World Politics* vol. 49, no. 1 (October, 1996) pp. 56-91.

Thomas Cook and Donald Campbell, *Quasi-Experimentation*, pp. 37-39, 50-91.

Theodore Meckstroth, "'Most Different Systems' and 'Most Similar Systems': A Study in the Logic of Comparative Inquiry," *Comparative Political Studies* July 1975, pp. 133-177.

Sidney Tarrow, "Expanding Paired Comparison: A Modest Proposal," *APSA-CP Newsletter* Summer 1999: 9-12.

David Collier, "The Comparative Method," in Ada Finifter, ed., *Political Science: the State of the Discipline II* (Washington, D.C.: American Political Science Association, 1993), pp. 105-119.

Van Evera, *Guide to Methodology*, pp. 49-76.

Typological Theory and Case Selection

Andrew Bennett and Alexander George, *CSTD* chapter 11.

Ragin, *Redesigning Social Inquiry* pages 109-146.

Colin Elman, "Explanatory Typologies and Property Space in Qualitative Studies of International Politics," *International Organization*, Spring 2005, pp. 293-326.

Gary Goertz and James Mahoney, "Negative Case Selection: The Possibility Principle," in Goertz, chapter 7.

Brief Examples:

Bennett, Lepgold, and Unger, *Friends in Need*, pp. 24-28

Andrew Bennett, *Condemned to Repetition: The Rise, Fall, and Reprise of Soviet-Russian Military Interventionism 1973-1996* pp. 12-29, 104-112.

David Edelstein, "Occupational Hazards: Why Military Occupations Succeed or Fail," *International Security* Vol. 29 No. 1 (Summer 2004) pp. 49-56, 80-91.

Also, think through Goertz's exercises for this chapter. Again, note that if Gary has updated this after January 8, 2015 the new exercise numbers may be different (note the update date at the start of the exercises):

<http://press.princeton.edu/releases/m8089.pdf>

Please think through the following exercises: 312, 313, 316, 328, 333, 336

Optional:

Andrew Bennett, chapter excerpt from Jeff Checkel, ed., *Transnational Dynamics of Civil War*

Gary Goertz and James Mahoney, *A Tale of Two Cultures*, pp. 161-171.

Bear Braumoeller, "Analyzing Interactions: Four Alternative Models," *Qualitative & Multi-Method Research* 12(1) (2014).

Daniel Little, *Microfoundations, Method, and Causation* Chapter 11, pp. 215-236.

Barbara Geddes, "How the Cases You Choose Affect the Answers You Get: Selection Bias in Comparative Politics," *Political Analysis* vol. 2 (1990).

David Collier, James Mahoney, and Jason Seawright, "Claiming Too Much: Warnings about Selection Bias," chapter 6 in Brady and Collier.

Process Tracing

Bennett and Checkel, intro chapter, Waldner chapter, concluding chapter, and appendix.

Brief Examples of Process Tracing:

Scott Sagan, *The Limits of Safety*, pp. 1-14, 45-52; Yuen Foong Khong, *Analogies at War*, pp. 1-28, 51-68.

David Collier exercises on process tracing, at:

<http://polisci.berkeley.edu/people/faculty/CollierD/Proc%20Trac%20-%20Text%20and%20Story%20-%20Sept%2024.pdf>

Optional:

David Waldner, "Process Tracing and Causal Mechanisms." In Harold Kincaid, ed., *The Oxford Handbook of Philosophy of Social Science* (Oxford University Press, 2012), pp. 65-84.

Ragin, *Redesigning Social Inquiry*, pp. 147-175.

Gary Goertz and James Mahoney, *A Tale of Two Cultures*, pp. 87-126.

James Mahoney, "The Logic of Process Tracing Tests in the Social Sciences," *Sociological Methods and Research*, 41:570-597 (November 2012).

Adam Przeworski, contribution to "The Role of Theory in Comparative Politics: A Symposium," *World Politics* October 1995 pp. 16-21.

Jack Levy, "Necessary Conditions in Case Studies: Preferences, Constraints, and Choices in July 1914," in Gary Goertz and Harvey Starr, eds., *Necessary Conditions* (Rowman and Littlefield, 2002), pp. 113-145.

George and Bennett, *CSTD*, chapter 9.

Gary Goertz and Jack Levy, "Causal Explanation, Necessary Conditions, and Case Studies: The Causes of World War I," manuscript, Dec. 2002.

Richard Ned Lebow, "Contingency, Catalysts, and International System Change," *Political Science Quarterly* 115 (4) pp. 591-616.

Stephen Brooks and William Wohlforth, "Power, Globalization, and the End of the Cold War: Reevaluating a Landmark Case for Ideas," *International Security* (Winter, 2000-2001) pp. 5-53.

Andrew Bennett, "The Guns that Didn't Smoke: Ideas and the Soviet Non-Use of Force in 1989."

David Waldner, *State Building and Late Development* (Cornell, 1998) pp. 230-240.

Counterfactual Analysis, Natural Experiments

Jack Levy, forthcoming paper in *Security Studies* on counterfactual analysis.

Frank Harvey, "President Al Gore and the 2003 Iraq War: A Counterfactual Test of Conventional 'W'isdom, *Canadian Journal of Political Science* 45(1) (March 2012): 1-32. Online access also includes Supplementary Material: Appendix 1, Appendix 2, and Bibliography for Appendices: www.journals.cambridge.org/cjp/S0008423911000904sup001.

Thad Dunning, "Design-Based Inference: Beyond the Pitfalls of Regression Analysis?" in Brady and Collier, pp. 273-312.

Thad Dunning, *Natural Experiments in the Social Sciences: A Design-Based Approach* (Cambridge University Press, 2012), Chapters 1,7

Optional:

Richard Ned Lebow, "Contingency, Catalysts, and Nonlinear Change: The Origins of World War I." In Gary Goertz and Jack S. Levy, eds., *Explaining War and Peace: Case Studies and Necessary Condition Counterfactuals* (Routledge, 2007), pp. 85-111.

Frank Harvey, *Explaining the Iraq War: Counterfactual Theory, Logic, and Evidence* (Cambridge University Press, 2012), Chapters 1 and 10.

Richard Ned Lebow, "What's So Different About a Counterfactual?," *World Politics* July 1999: 550-85.

Jack S. Levy, "Counterfactuals and Case Studies." In Janet Box-Steffensmeier, Henry Brady, and David Collier, eds., *Oxford Handbook of Political Methodology* (Oxford University Press, 2008), pp. 627-644.

Philip Tetlock and Aaron Belkin, eds., *Counterfactual Thought Experiments*, chapters 1, 12.

Multimethod Research: Combining Case Studies with Statistics and/or Formal Modeling

Andrew Bennett and Bear Braumoeller, "Where the Model Frequently Meets the Road: Combining Statistical, Formal, and Case Study Methods," draft paper.

Evan Lieberman, "Nested Analysis as a Mixed-Method Strategy for Comparative Research," *APSR* August 2005, pp. 435-52.

Mario Small, 2011. How to Conduct a Mixed Methods Study: Recent Trends in a Rapidly Growing Literature, *Annual Review of Sociology*, Vol. 37: 57-86

Kenneth Schultz, *Democracy and Coercive Diplomacy* (Cambridge, 2001) pp. 1-20, 120-122, 163-175.

[Seawright draft chapter(s) if available]

Optional:

Rudra Sil, "The Division of Labor in Social Science Research: Unified Methodology or 'Organic Solidarity,'" *Polity* Vol. 32, no. 4 (Summer, 2000) pp. 499-531.

Charles Ragin, "Turning the Tables: How Case-Oriented Research Challenges Variable-Oriented Research," *Comparative Social Research* Vol. 16, 1997, pp. 27-42.

David Dessler, "Beyond Correlations: Toward a Causal Theory of War," *International Studies Quarterly* vol. 35 no. 3 (September, 1991), pp. 337-355.

Yee, "Effects of Ideas on Policies," pp. 68-82.

Vaughn McKim and Stephen Turner, eds., *Causality in Crisis? Statistical Methods and the Search for Causal Knowledge in the Social Sciences* (University of Notre Dame, 1997) pp. 1-19.

Robert Powell, *In the Shadow of Power*, pp. 23-39.

Charles Ragin and David Zaret, "Theory and Method in Comparative Research: Two Strategies," in *Social Forces*, Vol. 61, No. 3 (March 1983), pp. 731-754.

Alexander George and Andrew Bennett, *Case Studies and Theory Development*, Chapter 2.

KKV, *Designing Social Inquiry*, pp. 55-63, 91-95.

Robert Bates, Avner Greif, Margaret Levi, Jean-Laurent Rosenthal, and Barry Weingast, *Analytic Narratives*, pp. 3-18; reviews by David Dessler (*International Studies Review* 2000 2 (3) 176-179) and Andrew Bennett (*Journal of Politics* August 2001 63 (3) 978-980).

Ingo Rohlfing, critique of Lieberman, forthcoming.

Macro-Historical Comparison, Critical Junctures, and Path Dependency

Paul Pierson, "Big, Slow Moving, and Invisible: Macro-Social Processes in the Study of Comparative Politics," in Mahoney and Rueschemeyer, *Comparative Historical Analysis in the Social Sciences* (Cambridge, 2003).

James Mahoney, "Path Dependence in Historical Sociology," *Theory and Society* 29 (2000) pp. 507-548.

Scott Page, "Path Dependence," *Quarterly Journal of Political Science*, 2006, 1: 87-115.

James Mahoney, "Toward a Unified Theory of Causality," *Comparative Political Studies*, vol. 41 no. 4/5, April/May 2008.

Gary Goertz and James Mahoney, "Concepts in Theories: Two Level Theories," in Goertz, chapter 9.

Goertz exercises TBD

Optional:

James Mahoney, "Strategies of Causal Assessment in Comparative-Historical Analysis," in

Mahoney and Rueschemeyer, *Comparative Historical Analysis in the Social Sciences* (Cambridge, 2003).

Ruth Berins Collier and David Collier, *Shaping the Political Arena: Critical Junctures, the Labor Movement, and Regime Dynamics in Latin America* (Princeton, 1991) pp. 27-39.

Mahoney and Rueschemeyer, "Comparative-Historical Analysis: Achievements and Agendas," in their *Comparative Historical Analysis in the Social Sciences* (Cambridge, 2003).

Paul Pierson, "Increasing Returns, Path Dependence, and the Study of Politics," *American Political Science Review*, June 2000, pp.251-268.

Ira Katznelson, "Structure and Configuration in Comparative Politics," in Mark Lichbach, and Alan Zuckerman, eds., *Comparative Politics: Rationality, Culture, and Structure* (Cambridge, 1997) pp. 81-111.

Kathleen Thelen, "How Institutions Evolve: Insights from Comparative-Historical Analysis," in Mahoney and Rueschemeyer, *Comparative Historical Analysis in the Social Sciences* (Cambridge, 2003).

David Collier, "Comparative-Historical Analysis: Where Do We Stand?" *APSA-CP Newsletter*, Vol. 9, No. 2 (Summer, 1998) pp. 1-5.

James Mahoney, "Nominal, Ordinal, and Narrative Appraisal in Macro-Causal Analysis," *American Journal of Sociology*, Vol. 104, No.3 (January 1999).

Thomas Ertman, *Birth of the Leviathan: Building States and Regimes in Medieval and Early Modern Europe*, pp. 1-34, 317-334.

Gregory Luebbert, "Social Foundations of Political Order in Interwar Europe," *World Politics* July 1987.

Additional examples:

Brian Downing, *The Military Revolution and Political Change*, pp. 1-18, 239-55

Peter Evans, *Embedded Autonomy: States and Industrial Transformation*

Jack Goldstone, *Revolution and Rebellion in the Early Modern World*

Jeff Goodwin, *States and Revolutionary Movements*

Peter Hall, *Governing the Economy: The Politics of State Intervention in Britain and France*, pp. 3-22, 229-284.

Gregory Leubbert, *Liberalism, Fascism, or Social Democracy* (related to his article above)

Juan Linz and Alfred Stepan, *Problems of Democratic Transition and Consolidation*

Ian Lustick, *Unsettled States, Disrupted Lands: Britain and Ireland, France and Algeria, Israel and the West Bank-Gaza*, pp. 1-51, 439-53

Ann Shola Orloff, *The Politics of Pensions: A Comparative Analysis of Britain, Canada, and the United States*

Paul Pierson, *Dismantling the Welfare State? Reagan, Thatcher, and the Politics of Retrenchment*

Robert Putnam, *Making Democracy Work*

Dietrich Reuschmeyer and Evelyn and John Stephens, *Capitalist Development and Democracy*

Theda Skocpol, *States and Social Revolutions*

Hendrik Spruyt, *The Sovereign State and Its Competitors: An Analysis of Systems Change*

Charles Tilly, *The Formation of National States in Western Europe*

David Waldner, *State Building and Late Development*

Timothy Wickham-Crowley, *Guerillas and Revolution in Latin America*

Field Research Techniques: Archives, Interviews, and Surveys

Diana Kapiszewski, Lauren M. MacLean, and Benjamin L. Read, "Field Research in Political Science: Practices and Principles," chapter 1 in *Field Research in Political Science: Practices and Principles* (Cambridge University Press, forthcoming). Read pages 15-33.

Diana Kapiszewski, Lauren M. MacLean, and Benjamin L. Read, "Interviews, Oral Histories, and Focus Groups" in *Field Research in Political Science: Practices and Principles* (Cambridge University Press, forthcoming).

Elisabeth Jean Wood, "Field Research," in Carles Boix and Susan Stokes, eds., *Oxford Handbook of Comparative Politics*, Oxford University Press 2007, pp. 123-146.

David Collier, "Data, Field Work, and Extracting Ideas at Close Range," *CP Newsletter*, 10:1, pp. 1-6.

Cameron Thies, "A Pragmatic Guide to Qualitative Historical Analysis in the Study of International Relations," *International Studies Perspectives* 3 (4) (November 2002) pp. 351-72.

Soledad Loaeza, Randy Stevenson, and Devra C. Moehler. 2005. "Symposium: Should Everyone Do Fieldwork?" *APSA-CP* 16(2) 2005: 8-18.

Optional:

Layna Mosley, ed., *Interview Research in Political Science*, Cornell University Press, 2013.

Hope Harrison, "Inside the SED Archives," *CWIHP Bulletin*

Ian Lustick, "History, Historiography, and Political Science: Multiple Historical Records and the Problem of Selection Bias," *APSR* September 1996, pp. 605-618.

Symposium on interview methods in political science in *PS: Political Science and Politics* (December, 2002), articles by Beth Leech (“Asking Questions: Sampling and Completing Elite Interviews”), Kenneth Goldstein (“Getting in the Door: Sampling and Completing Elite Interviews”), Joel Aberbach and Bert Rockman (“Conducting and Coding Elite Interviews”), Laura Woliver (“Ethical Dilemmas in Personal Interviewing”), and Jeffrey Barry (“Validity and Reliability Issues in Elite Interviewing”), pp. 665-682.

Diana Kapiszewski, Lauren M. MacLean, and Benjamin L. Read, “A Historical and Empirical Overview of Field Research in the Discipline” Chapter 2 in *Field Research in Political Science: Practices and Principles* (Cambridge University Press, forthcoming).

Elisabeth Wood, “The Ethical Challenges of Field Research in Conflict Zones,” *Qualitative Sociology* 29(3) (September 2006): 373-386.

Marc Trachtenberg, *The Craft of International History* (Princeton University Press, 2006), Appendix I and Appendix II. Available at <http://www.sscnet.ucla.edu/polisci/faculty/trachtenberg/methbk/AppendixI.html>
<http://www.sscnet.ucla.edu/polisci/faculty/trachtenberg/methbk/AppendixII.html>

Christopher Barrett and Jeffrey Cason, *Overseas Research: A Practical Guide*, (Johns Hopkins, 1997), pp. 90-105.

Frank Bonilla, “Survey Techniques,” in Robert Ward et. Al., *Studying Politics Abroad* (Little, Brown, 1964), pp. 134-52.

Stephen Devereaux and John Hoddinott, “Issues in Data Collection,” in Stephen Devereaux and John Hoddinott, eds., *Fieldwork in Developing Countries* (Lynne-Reiner, 1993) pp. 25-40.

Selections from Jaber Gubrium and James Holstein, eds., *Handbook of Interview Research* (Sage, 2002): Carol Warren, “Qualitative Interviewing,” pp. 83-101; John Johnson, “In-Depth Interviewing,” pp. 103-119; Patricia Adler and Peter Adler, “The Reluctant Respondent,” pp. 515-535; Teresa Odendahl and Aileen Shaw, “Interviewing Elites,” pp. 299-316; and Anne Ryen, “Cross-Cultural Interviewing,” pp. 335-54.

Marc Trachtenberg, *The Craft of International History* (Princeton, 2006), esp. chapt. 5 on working with documents.

Possible session on research transparency and ethics

--Andrew Moravcsik in Security Studies on research transparency

--the IRB process

Joseph Simmons, Leif Nelson, and Uri Simonsohn. 2011. "False-Positive Psychology: Undisclosed Flexibility in Data Collection and Analysis Allows Presenting Anything as Significant," *Psychological Science* 22 (11) 1359-1366. Available at: <http://pss.sagepub.com/content/22/11/1359.full.pdf+html>

Andrew Moravcsik, "Active Citation: A Precondition for Replicable Qualitative Research," *PS: Political Science and Politics* 43(1) (January 2010): 29-35.

Colin Elman, Diana Kapiszewski, and Lorena Vinuela, "Qualitative Data Archiving: Rewards and Challenges," *PS: Political Science and Politics* 43(1) (January 2010): 23-27.

Additional Useful Readings

Case Studies and The Interdemocratic Peace Research Program

James Lee Ray, *Democracies and International Conflict*, pp. 11-42, 86-87.

Christopher Layne, "Kant or Cant: The Myth of Democratic Peace," and John Owen, "How Liberalism Produces Democratic Peace," in *International Security* Fall 1994.

Miriam Elman, ed., *Paths to Peace: Is Democracy the Answer?* (MIT Press, 1997). pp. 1-57, 473-506.

Case Studies in American Politics

Richard Neustadt, *Presidential Power*
Burke and Greenstein, *How Presidents Test Reality*

Larry Sabato, *Media Feeding Frenzies*
Stephen Skowronek, *The Politics Presidents Make: Leadership from John Adams to George Bush*

Richard Fenno, *Homestyle: House Members in their Districts*

I) Philosophy of Science and Epistemological Issues:

Charles Ragin and Howard Becker, "Introduction" to Ragin and Becker, *What is a Case?* (Cambridge, 1995), pp. 1-17.

Imre Lakatos, "Falsification and the Methodology of Scientific Research Programs," in Lakatos and Musgrave, eds., *Criticism and the Growth of Knowledge* (Cambridge University Press, 1970) pp. 91-138, 173-180.

Milton Friedman, "The Methodology of Positive Economics," in Daniel Hausman, ed., *The Philosophy of Economics*, pp. 210-238.

Albert Yee, "The Effects of Ideas on Policies," *International Organization* vol. 50, no. 1 (Winter, 1996) brief excerpt pp. 82-85.

Daniel Little, *Microfoundations*, chapters 9, 10, and 12, pp. 173-214, 237-256.

David Waldner, chapter in Lebow and Lichbach book.

Robert Jervis, *Systems Effects* (Princeton, 1997) pp. 29-91, or read Jervis, "Complexity and the Analysis of Political and Social Life," *Political Science Quarterly* Winter 1997/98, pp. 569-594.

Peter Hedstrom and Richard Swedberg, "Social Mechanisms," *ACTA Sociologica* 1996 no. 3, pp. 281-308.

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