

## **Seminar on Selected Topics in International Security and Qualitative Methods**

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### **Course Description**

The seminar focuses on selected topics in international security that have not been sufficiently covered in other courses and seminars offered by the department. These include topics such as power, military effectiveness, signaling and interstate communication, beliefs and emotions, the role of reputation etc. Each session, the group will discuss the evolution in a particular body of literature in the field, while placing the emphasis on issues such as conceptualization and measurement of key variables, case selection criteria, research strategy and execution. In addition, the seminar will be dedicated to discussing practical strategies to address research questions pertaining to the students' dissertations or topics of interest. Methods to collect and code primary documents, interview strategies, standardized questions to code sources, and effective ways to present historical case studies will be highlighted. The seminar is thus designed to help graduate students, whose primary focus is in the field of international relations, write their dissertation proposal or develop a draft of the theory and/or one of the case-studies chapters of the dissertation

### **Requirements**

Each student is required to write 1) a 5 page critical literature review of one of the key topics in international security covered in the seminar; 2) a proposal of a research strategy to address an interesting research question in the field that is of interest to the student. And 3) at the end of the semester, students will be asked to submit a draft dissertation prospectus or a theory/empirical chapter of the dissertation. The students will present

their proposal and address questions from their colleagues about different aspects of their research.

Participation: 10%

Lit Review: 20%

Research Proposal: 20%

Final Paper: 50%

### ***Introduction***

Jack S. Levy, "Explaining Events and Developing Theories: History, Political Science, and the Analysis of International Relations." In Colin Elman and Mirian Fendius Elman, *Bridges and Boundaries: Historians, Political Scientists, and the Study of International Relations*. Cambridge, MA: MIT Press, 2001. Pp. 39-83.

John Lewis Gaddis, "In Defense of Particular Generalization: Rewriting Cold War History, Rethinking International Relations History." In Colin Elman and Mirian Fendius Elman, *Bridges and Boundaries: Historians, Political Scientists, and the Study of International Relations*. Cambridge, MA: MIT Press, 2001. Pp. 327-50.

Robert Jervis, International History and International Politics: Why Are They Studied Differently? In Colin Elman and Mirian Fendius Elman, *Bridges and Boundaries: Historians, Political Scientists, and the Study of International Relations*. Cambridge, MA: MIT Press, 2001. Pp. 385-402.

Paul W. Schroeder, "International History: Why Historians Do It Differently than Political Scientists." In Colin Elman and Mirian Fendius Elman, *Bridges and Boundaries: Historians, Political Scientists, and the Study of International Relations*. Cambridge, MA: MIT Press, 2001. Pp. 403-14.

### ***Key Concepts in Qualitative Methods (Part I)***

#### **Causation**

King, Keohane, and Verba, *Designing Social Inquiry*, chap. 3.

George and Andrew Bennett, *Case Studies and Theory Development in the Social Sciences*, chap. 7.

Gary Goertz and Jack S. Levy, "Causal explanations, necessary conditions, and case studies: World War I and the end of the Cold War." In Gary Goertz and Jack S. Levy, eds., *Causal Explanations, Necessary Conditions, and Case Studies: World War I and the End of the Cold War*. Unpublished book manuscript, 2005.

Robert Jervis, "Complexity and the Analysis of Political and Social Life," *Political Science Quarterly* (Winter 1997-98) 112(4): 569-594.

James Mahoney, "Path Dependence in Historical Sociology," *Theory and Society* 29, 4 (2000): 507-48.

Paul Pierson, "Increasing Returns, Path Dependence, and the Study of Politics." *American Political Science Review* 94, no. 2 (2000): 251-67.

#### Case Study: Case Design and Case Selection

Andrew Bennett, QUALITATIVE RESEARCH: Recent Developments in Case Study Methods, Annual Review of Political Science, Vol. 9: 455-476, 2006

George and Bennett, *Case Studies and Theory Development*, chap. 1 (plus preface), 3-6, 8-9

David Collier and James Mahoney, "Insights and Pitfalls: Selection Bias in Qualitative Research." *World Politics*, 49/1 (October 1996), pp. 56-91.

Jack S. Levy, "Qualitative Methods in International Relations." In Michael Brecher and Frank P. Harvey, eds., *Millennial Reflections on International Studies*. Ann Arbor: University of Michigan Press, 2002. Pp. 432-54.

Ian Lustick, "History, Historiography, and Political Science: Multiple Historical Records and the Problem of Selection Bias," *American Political Science Review* 90, 3 (September 1996): 605-618

King, Keohane, and Verba, *Designing Social Inquiry*, chap. 4, 6.

Brady and Collier, *Rethinking Social Inquiry*, chap. 6.

#### **Key Concepts in Qualitative Methods (Part II)**

### Concepts, Conceptualization and Measurement

King, Keohane, and Verba, *Designing Social Inquiry*, chap. 5.

Adcock, Robert, and David Collier. "Measurement Validity: A Shared Standard for Qualitative and Quantitative Research." *American Political Science Review* 95:3 (2001): 529-47. (26 pp.)

Sartori, Giovanni. "Concept Misformation in Comparative Politics." *American Political Science Review* 64:4 (December 1970): 1033-1053.

### Causal Mechanisms

Robert Jervis, "Complexity and the Analysis of Political and Social Life," *Political Science Quarterly* (Winter 1997-98) 112(4): 569-594.

David Collier and Henry Brady, eds., *Rethinking Social Inquiry: Diverse Tools, Shared Standards*. Lanham: Rowman and Littlefield, 2004. Chapter 10

George and Bennett, *Case Studies and Theory Development*, chap. 9 -10.

Fearon, Counterfactuals and Hypothesis Testing in Political Science. *World Politics* 43:2 (January 1991): 169-95.

Jack Levy, "Counterfactuals and Case Studies," in Janet M. Box-Steffensmeier, Henry E. Brady, and David Collier, eds. *Oxford Handbook of Methodology* (Oxford: Oxford University Press, 2008)

### Replicability

Andrew Moravscik, Active Citation: A Precondition for Replicable Qualitative Research, PS 2010

King, Gary "Replication, Replication," *Political Science and Politics* 28:3 (September 1995), pp. 444-452.

James McAllister, "Who Lost Vietnam? Soldiers, Civilians, and U.S. Military Strategy," *International Security* 35:3 (Winter 2010/11), 95-123.

Jonathan Caverley, "Explaining U.S. Military Strategy in Vietnam: Thinking Clearly about Causation," *International Security* 35:3 (Winter 2010/11), 124-143.

### ***Conceptualizing Power in International Relations***

- Klaus Knorr, *Military Power and Potential*. Lexington: DC Heath, 1970.
- David A. Baldwin, *Paradoxes of Power*. New York: Basil Blackwell, 1989.
- Stuart A. Bremer, "National Capabilities and War Proneness." In J. David Singer, ed., *The Correlates of War II*. New York: Free Press, 1980. Chap. 3.
- Jacek Kugler and William Domke, "Comparing the Strength of Nations." *Comparative Political Studies* 19 (April 1986): 39-69.
- Joseph S. Nye, *Bound to Lead: The Changing Nature of American Power*. New York: Basic Books, 1990.
- William C. Wohlforth, *The Elusive Balance: Power and Perceptions during the Cold War*. Ithaca, New York: Cornell University Press, 1993.
- Risa A. Brooks and Elizabeth A. Stanley, ed., *Creating Military Power: The Sources of Military Effectiveness*. Stanford, CA: Stanford University Press, 2007.
- Barnett, Michael N. and Raymond Duvall. "Power in International Politics." *International Organization* vol.59 no.1 (Winter 2005): 39-75.
- Stephen Biddle, "Rebuilding the Foundations of Offense-Defense Theory." *Journal of Politics*, 63, 3 (August 2001): 741-774.
- Yoav Gortzak, Yoram Z. Haftel, and Kevin Sweeney, "Offensive-Defense Theory: An Empirical Assessment." *Journal of Conflict Resolution*, 49, 1 (February 2005), 67-89.
- Keir A. Lieber, *War and the Engineers: The Primacy of Politics over Technology*. Ithaca, NY: Cornell University Press, 2005.

### ***Military Doctrine, Innovation, and Effectiveness***

- Posen, Barry R., *The Sources of Military Doctrine: France, Britain, and Germany between the World Wars*, London: Cornell University Press, 1984.
- Horowitz, Michael C., *The Diffusion of Military Power: Causes and Consequences for International Relations*, Princeton, N.J.: Princeton University Press, 2010.
- Adamsky, Dima. *The Culture of Military Innovation : The Impact of Cultural Factors on the Revolution in Military Affairs in Russia, the US, and Israel*. Stanford, Calif.: Stanford University Press, 2010.
- Rosen, Stephen Peter. *Societies and Military Power : India and its Armies*. Cornell Studies in Security Affairs. Ithaca, NY: Cornell University Press, 1996.
- Elizabeth Kier, *Imagining War: French and British Military Doctrine Between the Wars*. Princeton: Princeton University Press, 1997.
- Stephen Peter Rosen, "Military Effectiveness: Why Society Matters." *International Security* 19,4 (Spring 1995): 5-31.

- Christopher Twomey, *THE MILITARY LENS: Doctrinal Difference and Deterrence Failure in Sino-American Relations*, Cornell University Press, 2009

### ***Signaling and Interstate Communication***

- Robert Jervis, *The Logic of Images in International Relations*. Princeton: Princeton University Press, 1970.
- Jessica L. Weeks, "Autocratic Audience Costs: Regime Type and Signaling Resolve," *International Organization*, Vol. 62, No. 1 (2008), pp. 35-68.
- Andrew H. Kydd, *Trust and Mistrust in International Relations*. Princeton: Princeton University Press, 2005.
- Charles Glaser, *Rational Theory of International Politics: The Logic of Competition and Cooperation*. Princeton University Press, 2010.
- Jacques Hymans. "The Psychology of Nuclear Proliferation: Identity, Emotions, and Foreign Policy." Cambridge University Press

### ***Reputation: When, Why, and How it Matters?***

- Daryl G. Press, *Calculating Credibility: How Leaders Assess Military Threats*. Ithaca, N.Y.: Cornell University Press, 2005.
- Robert Jervis, "Domino Beliefs and Strategic Behavior." In Robert Jervis and Jack Snyder, eds., *Dominoes and Bandwagons*. New York: Oxford University Press, 1991.
- Jonathan Mercer. *Reputation and International Politics*. Ithaca, NY: Cornell University Press, 1996. Chap. 1-2.
- "What's in a Name? Debating Jonathan Mercer's *Reputation and International Politics*." *Security Studies*, 7,1 (Autumn 1997), essays by Dale C. Copeland, Paul K. Huth, and Jonathan Mercer.
- Daniel Markey, "Prestige and the Origins of War: Returning to Realism's Roots." *Security Studies*, 8, 4 (Summer 1999), 126-72.
- Mark J. C. Crescenzi, "Reputation and Interstate Conflict." *American Journal of Political Science*, 51, 2 (April 2007): 382-396.

### ***Beliefs, Perceptions, and Emotions in International Security***

- Robert Jervis, "Signaling and Perception: Drawing Inferences and Projecting Images." In Kristen Renwick Monroe, ed., *Political Psychology*. Mahwah, NJ: Lawrence Erlbaum, 2002
- Todd Hall and Keren Yarhi-Milo, "The Personal Touch: Leaders' Impressions, Costly Signaling, and Sincerity in International Politics." (unpublished ms.)

- Jennifer Lind, *Sorry States: Apologies in International Politics* (Ithaca, NY: Cornell University Press, 2008) Jennifer Lind, "Sorry States"
- Rose McDermott, *Presidential Leadership, Illnesses, and Decision-Making*. Cambridge University Press, 2010.
- Mark L. Haas, *The Ideological Origins of Great Power Politics, 1789-1989*. Ithaca, NY: Cornell University Press, 2005
- Jonathan Mercer, *Emotional Beliefs, International Organizations*, 2010.

### ***Military Interventions***

Taliaferro, Jeffrey W. 2004. *Balancing Risks: Great Power Intervention in the Periphery*. Ithaca: Cornell University Press.

Rose McDermott, *Risk-Taking in International Politics: Prospect Theory in American Foreign Policy*. Ann Arbor: University of Michigan Press, 1998

Elizabeth Saunders, *Wars of Choice: How Leaders Shape Military Interventions* (forthcoming: Cornell University Press)

Bennett, Andrew. 1999. *Condemned to Repetition? The Rise, Fall, and Reprise of Soviet-Russian Military Interventionism, 1973-1996*. Cambridge: MIT Press.

### ***Patron-Client Relations***

Alexander Cooley and Hendrik Spruyt, *Contracting States*, Princeton, NJ: Princeton University Press, 2009.

Alexander Cooley, *Base Politics*, Ithaca, NY: Cornell University Press, 2008.

Alexander Cooley, *Logics of Hierarchy*, Ithaca, NY: Cornell University Press, 2005.

Andrew Yeo, "Signaling Democracy: Patron-Client Relations and Democratization in South Korea and Poland," *Journal of East Asian Studies* 6-2 (2006): 259-287.

Daniel Nexon, *The Struggle for Power in Early Modern Europe: Religious Conflict, Dynastic Empires, and International Change*, Princeton, NJ: Princeton University Press, 2009.

### ***Getting to Business: Archival Work, Interview Strategies, and Field Work***

- Discussion of students' research proposals
- Archival Work and Interview Strategies

Goldstein, Kenneth. "Getting in the Door: Sampling and Completing Elite Interviews." *PS: Political Science and Politics* vol.35 no.4 (December 2002): 669-672.

Leech, Beth L. "Asking Questions: Techniques for Semistructured Interviews." *PS: Political Science and Politics* vol.35 no.4 (December 2002): 665-668.

Weiss, Robert S. [Selections from:] "Preparation for Interviewing;" "Interviewing;" [and] "Appendix C: Sources of Bias and Their Control;" In *Learning from Strangers: The Art and Method of Qualitative Interview Studies*. New York: Free Press, 1994: 39-42, 53-59; 61-83 (skim 83-119); 211-213; 214-218.

Aberbach, Joel D., and Bert A. Rockman. "Conducting and Coding Elite Interviews." *PS: Political Science and Politics* vol.35 no.4 (December 2002): 673-676.

Berry, Jeffrey M. "Validity and Reliability Issues in Elite Interviewing." *PS: Political Science and Politics* vol.35 no.4 (December 2002): 679-682

Wedeen, Lisa. "Reflections on Ethnographic Work in Political Science," *Annual Review of Political Science* 13 (2010): 255-72.

Fujii, L.A. 2010. "Shades of truth and lies: Interpreting testimonies of war and violence." *Journal of Peace Research* 47 (2):231-41.

### ***Presentations of Final Papers/Dissertation Chapters***

### ***Presentation of Final Papers/Dissertation Chapters***



